AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Aenlle-Rocha, Fernando L.	U.S. District Court, Central District of California	04/28/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
First Street Courthouse 350 West First Street Los Angeles, CA 90012		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.	Board of Governors	Association of Business Trial Lawyers, Los Angeles Chapter
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2017-2021	County of Los Angeles: Judicial 401k Savings Plan and 457(b) Deferred Compensation and Thrift (Horizons) Plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Los Angeles County District Attorney's Office, income for legal services performed as a prosecutor
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Association of Business Trial Lawyers, Los Angeles Chapter	October 10-15, 2023	Kona, HI	Attend annual conference and board meeting	Transportation, meals, hotel, registration fee
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Manatt, Phelps & Phillips, LLP	Tickets to Mexican American Bar Foundation's 32nd Annual Scholarship and Awards Gala	$600.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Citibank	Line of credit	L
2.	Citibank	Credit card	K
3.	Citibank	Credit card	J
4.	Bank of America	Credit card	K
5.	Nordstrom	Credit card	K
6.	American Express	Credit Card	K

Name of Person Reporting	Date of Report
Aenlle-Rocha, Fernando L.	04/28/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Citibank cash accounts	A	Interest	J	T					
2. American Funds AMCAP Fund	A	Dividend	K	T	Distributed (part)	01/25/23	J		
3.					Distributed (part)	03/10/23	J		
4.					Distributed (part)	03/21/23	J		
5.					Distributed (part)	05/22/23	J		
6.					Distributed (part)	06/05/23	J		
7.					Distributed (part)	08/09/23	J		
8.					Distributed (part)	09/19/23	J		
9. American Funds American Mutual Fund	B	Dividend	K	T	Distributed (part)	04/18/23	J		
10.					Distributed (part)	05/22/23	J		
11.					Distributed (part)	08/18/23	J		
12.					Distributed (part)	12/18/23	J		
13. American Funds Capital Income Builder	B	Dividend	K	T	Distributed (part)	01/04/23	J		
14.					Distributed (part)	06/05/23	J		
15.					Distributed (part)	07/24/23	J		
16.					Distributed (part)	11/16/23	J		
17. American Funds Capital World Growth & Income Fund	A	Dividend	K	T	Distributed (part)	02/15/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Aenlle-Rocha, Fernando L.	04/28/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Distributed (part)	05/16/23	J		
19.					Distributed (part)	06/14/23	J		
20.					Distributed (part)	08/18/23	J		
21.					Distributed (part)	09/19/23	J		
22. American Funds Developing World Growth & Income Fund	A	Dividend	K	T					
23. American Funds EuroPacific Growth Fund	B	Dividend	K	T	Distributed (part)	01/17/23	J		
24.					Distributed (part)	03/10/23	J		
25.					Distributed (part)	04/18/23	J		
26.					Distributed (part)	05/22/23	J		
27.					Distributed (part)	08/18/23	J		
28.					Distributed (part)	12/18/23	J		
29. American Funds Fundamental Investors Fund	A	Dividend	K	T	Distributed (part)	01/17/23	J		
30.					Distributed (part)	03/21/23	J		
31.					Distributed (part)	05/16/23	J		
32.					Distributed (part)	06/21/23	J		
33.					Distributed (part)	07/07/23	J		
34.					Distributed (part)	08/25/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.	American Funds Growth Fund of America	B	Dividend	K	T					
36.	American Funds Income Fund of America	A	Dividend	K	T					
37.	American Funds International Growth & Income Fund	A	Dividend	K	T	Distributed (part)	07/18/23	J		
38.	American Funds Investment Company of America Fund	B	Dividend	K	T					
39.	American Funds New Economy Fund	A	Dividend	K	T					
40.	American Funds New Perspective Fund	B	Dividend	K	T					
41.	American Funds New World Fund	A	Dividend	K	T					
42.	American Funds SMALLCAP World Fund	A	Dividend	K	T					
43.	American Funds Washington Mutual Investors Fund	B	Dividend	K	T					
44.	L.A. County 457(b) Deferred Comp (Horizons) Plan 2020 Target Date Fund		None	M	T	Open	03/31/23	M		
45.	L.A. County 457(b) Deferred Comp (Horizons) Plan Non-U.S. Equity Fund		None	M	T					
46.	L.A. County 457(b) Deferred Comp (Horizons) Plan Small Cap Equity Fund		None	M	T					
47.	L.A. County 457(b) Deferred Comp (Horizons) Plan Mid Cap Equity Fund		None	N	T					
48.	L.A. County 457(b) Deferred Comp (Horizons) Plan Large Cap Equity Fund		None	N	T					
49.	L.A. County 457(b) Deferred Comp (Horizons) Plan Balanced Fund		None			Closed	03/31/23	M		
50.	L.A. County 401(k) Savings Plan 2020 Target Date Fund		None	K	T	Open	03/31/23	K		
51.						Distributed (part)	09/24/23	J		

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52. L.A. County 401(k) Savings Plan Non-U.S. Equity Fund		None	L	T	Distributed (part)	09/24/23	K		
53. L.A. County 401(k) Savings Plan Small Cap Equity Fund		None	K	T	Distributed (part)	09/24/23	J		
54. L.A. County 401(k) Savings Plan Mid Cap Equity Fund		None	L	T	Distributed (part)	09/24/23	K		
55. L.A. County 401(k) Savings Plan Large Cap Equity Fund		None	M	T	Distributed (part)	09/24/23	K		
56. L.A. County 401(k) Savings Plan Balanced Fund		None			Closed	03/31/23	K		
57. L.A. County 401(k) Savings Plan Stable Value Fund		None	K	T					

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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Although I am a trustee of Trust #1, there are no reportable assets in the trust.

Name of Person Reporting	Date of Report
Aenlle-Rocha, Fernando L.	04/28/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Fernando L. Aenlle-Rocha

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544