AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Aiken, Ann L.	District of Oregon	05/10/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active U. S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021

7. Chambers or Office Address

U. S. Courthouse
405 E. 8th Avenue, Suite 5500
Eugene, OR 97401

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Relief Nursery (non-profit) Board of Directors
2.	Director	Relief Nursery (non-profit) Board of Stewards
3.	Board Member	Lines for Life (non-profit) Board of Directors
4.	Member	Eugene Civic Alliance (non-profit) Advisory Board
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	United Miles VISA (Chase)	Credit Account	J
2.	Alaska Miles VISA (Bank of America)	Credit Account	J
3.	Sapphire Reserve VISA (Chase)	Credit Account	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Oregon Community Credit Union Savings	A	Interest	K	T					
2. Oregon Community Credit Union Checking	A	Interest	K	T					
3. First Allied FlexInsure Money Market	A	Interest			Redeemed	12/28/21	K	A	
4. Franklin Oregon Tax Free Income Fund	B	Dividend	M	T					
5. Fidelity Treasury Money Market Fund		None	K	T	Buy	12/28/21	K		
6. Voya-IRA (H)									
7. Voya Russell Large Cap Index		None			Buy	10/15/21	K		
8.					Redeemed	12/28/21	K		
9. Voya Russell Mid Cap Growth		None			Buy	10/15/21	K		
10.					Redeemed	12/28/21	K		
11. Voya Mid Cap Opportunities		None			Sold	10/15/21	K		
12. Voya Growth and Income		None			Sold	10/15/21	K		
13. VYT Rowe Price Capital Appreciation		None			Redeemed	12/28/21	L		
14. Voya Global High Dividend Low Vol		None			Redeemed	12/28/21	J		
15. Voya Russell Large Cap Value Index		None			Redeemed	12/28/21	K		
16. Voya check payable to Fidelity IRA		None	M	T					
17. Prudential Variable Annuity IRA (H)									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. AST T.Rowe Price Asset Allocation		None			Redeemed	12/27/21	K		
19. AST Capital Growth Asset Allocation		None			Redeemed	12/27/21	L		
20. AST Academic Strategies Asset Allocation		None			Redeemed	12/27/21	K		
21. AST Prudential Growth Allocation		None			Redeemed	12/27/21	L		
22. AST Advanced Strategies Portfolio		None			Redeemed	12/27/21	K		
23. Prudential check payable to Fidelity IRA		None	M	T					
24. MFS 529 Plans (H)									
25. MFS Growth Allocation Fund	A	Dividend	J	T	Buy	12/01/21	J		
26. MFS Aggressive Growth Allocation Fund	A	Dividend	J	T	Buy	12/01/21	J		
27. MFS Aggressive Growth Allocation Fund	A	Dividend	K	T	Buy	12/01/21	J		
28. Oregon College Savings Plan Growth Allocation	A	Dividend	J	T	Buy	12/01/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Section VII. Investments and Trusts, Line 16 represents the redemption proceeds of assets in Lines 8, 10 and 13-15. A check was issued for these redemption proceeds to the receiving custodian, Fidelity Investments, but not deposited into the new IRA account until January 3, 2022. Additionally, the same situation applies for Line 23, which represents
the proceeds of the redemptions found in Lines 18-22.

In Section VII. Investments and Trusts, Lines 25-28, Section D represents purchases of $1200 (Code J) made on December 1, 2021 for each of the four MFS 529 accounts. To be more specific, each account was on a monthly auto-purchase plan where $100 was invested on the 1st of each month throughout 2021. Instead of listing 12 entries for each account during the year, we are showing the purchases consolidated into a single purchase made on December 1, 2021.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ann L. Aiken

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544