AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Aiken, Ann L.	District of Oregon	12/11/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active U. S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U. S. Courthouse 405 E. 8th Avenue, Suite 5500 Eugene, OR 97401		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Relief Nursery (non-profit) Board of Directors
2.	Director	Relief Nursery (non-profit) Board of Stewards
3.	Board Member	Lines for Life (non-profit) Board of Directors
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	United Miles VISA (Chase)	Credit Account	J
2.	Alaska Miles VISA (Bank of America)	Credit Account	J
3.	Sapphire Reserve VISA (Chase)	Credit Account	J
4.	Capital One	Credit Account	J
5.			

Name of Person Reporting	Date of Report
Aiken, Ann L.	12/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Oregon Community Credit Union Savings	E	Interest	K	T					
2. Oregon Community Credit Union Checking	C	Interest	K	T					
3. Franklin Oregon Tax Free Income Fund	A	Dividend			Sold	01/13/22	M	A	
4. FZFXX-Fidelity Treasury Money Market Fund	B	Dividend	K	T					
5. IAU-ISHARES GOLD TR ISHARES NEW ISIN #US4642852044 SEDOL #BKP74N6		None	K	T	Buy	01/19/22	K		
6. BFFAX-THE BOND FUND OF AMERICA F3	A	Dividend	K	T	Buy	01/18/22	J		
7.					Sold	05/17/22	J	A	
8.		Dividend			Buy	06/21/22	J		
9.		Dividend			Buy	01/18/22	J		
10. CMJIX-CALVERT US MID CAP CORE RSPNB IDX 1	B	Dividend	M	T	Buy	01/18/22	K		
11.		Dividend			Buy	02/18/22	K		
12.		Dividend			Buy	05/16/22	K		
13.		Dividend			Buy	03/15/22	K		
14.		Dividend			Buy	04/18/22	K		
15.		Dividend			Buy	07/18/22	K		
16.		Dividend			Buy	08/16/22	K		
17.		Dividend			Buy	09/22/22	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.		Dividend			Buy	06/17/22	K		
19.		Dividend			Buy	10/17/22	K		
20. CSVIX-CALVERT SMALL CAP CL 1	A	Dividend	K	T	Buy	01/18/22	J		
21.		Dividend			Buy	02/18/22	J		
22.		Dividend			Buy	03/15/22	J		
23.		Dividend			Buy	04/18/22	J		
24.		Dividend			Buy	05/16/22	J		
25.		Dividend			Buy	07/18/22	J		
26.		Dividend			Buy	06/17/22	J		
27.		Dividend			Buy	08/16/22	J		
28.		Dividend			Buy	09/22/22	J		
29.		Dividend			Buy	10/17/22	J		
30. CVMIX-CALVERT EMERGING MARKETS EQUITY CL 1	A	Dividend	L	T	Buy	01/18/22	J		
31.		Dividend			Buy	02/18/22	J		
32.		Dividend			Buy	03/15/22	J		
33.		Dividend			Buy	04/18/22	J		
34.		Dividend			Buy	05/16/22	J		

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35.		Dividend			Buy	06/17/22	J		
36.		Dividend			Buy	07/18/22	J		
37.		Dividend			Buy	09/22/22	J		
38.		Dividend			Buy	10/17/22	J		
39.		Dividend			Buy	08/16/22	J		
40. LFIX-BRANDYWINEGLOBAL GLOBAL FLEX INCOME I	A	Dividend	K	T	Buy	01/18/22	J		
41.		Dividend			Buy	10/20/22	J		
42.		Dividend			Buy	01/18/22	J		
43. PIFZX-PGIM SHORT-TERM CORP BOND CL Z	A	Dividend	J	T	Buy	01/18/22	J		
44.		Dividend			Buy	01/18/22	J		
45. PRILX-PARNASSUS CORE EQUITY INSTL	B	Dividend	K	T	Buy	01/18/22	J		
46.		Dividend			Buy	02/18/22	J		
47.		Dividend			Buy	05/16/22	J		
48.		Dividend			Buy	03/15/22	J		
49.		Dividend			Buy	04/18/22	J		
50.		Dividend			Buy	06/17/22	J		
51.		Dividend			Buy	07/18/22	J		

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52.		Dividend			Buy	08/16/22	J		
53.		Dividend			Buy	09/22/22	J		
54.		Dividend			Buy	10/17/22	J		
55. TBWIX-THORNBURG BETTER WORLD INTNL WORLD I	A	Dividend	L	T	Buy	07/18/22	J		
56.		Dividend			Buy	01/18/22	J		
57.		Dividend			Buy	02/18/22	J		
58.		Dividend			Buy	03/15/22	J		
59.		Dividend			Buy	04/18/22	J		
60.		Dividend			Buy	05/16/22	J		
61.		Dividend			Buy	06/17/22	J		
62.		Dividend			Buy	08/16/22	J		
63.		Dividend			Buy	09/22/22	J		
64.		Dividend			Buy	10/17/22	J		
65. EIGMX-EATON VANCE GLOBAL MACRO ABSLTE RT CL I	A	Dividend			Buy	01/18/22	J		
66. EIGMX-EATON VANCE GLOBAL MACRO ABSLTE RT CL I	A	Dividend			Sold	10/22/22	J	A	
67. SHY-ISHARES TRUST 1-3 YEAR TREASURY BOND ETF	A	Dividend			Buy	01/19/22	K		
68. SHY-ISHARES TRUST 1-3 YEAR TREASURY BOND ETF	A	Dividend			Sold	10/18/22	K	A	

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69.	SHRIX-STONE RIDGE HIGH YLD REINSRNCE RSK PREM I	A	Dividend	K	T	Buy	01/18/22	J		
70.			Dividend			Buy	10/17/22	K		
71.	TTRZX-TEMPLETON GLOBAL TOTAL RT ADV CL SH	A	Dividend			Buy	01/18/22	J		
72.	TTRZX-TEMPLETON GLOBAL TOTAL RT ADV CL SH	A	Dividend			Sold	05/17/22	J	A	
73.	AGG-ISHARES CORE US AGGREGATE BOND ETF	A	Dividend			Buy	05/18/22	J		
74.	AGG-ISHARES CORE US AGGREGATE BOND ETF	A	Dividend			Sold	06/22/22	J	A	
75.	MFS Growth Allocation Fund	A	Dividend	J	T	Buy	12/01/21	J		
76.	MFS Aggressive Growth Allocation Fund	A	Dividend	J	T	Buy	12/01/21	J		
77.	MFS Aggressive Growth Allocation Fund	A	Dividend	K	T	Buy	12/01/21	J		
78.	Oregon College Enrollment Year 2039 Fund Allocation	A	Dividend	J	T	Buy	12/01/21	J		
79.										
80.										
81.										
82.										
83.										
84.										
85.										

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Section VII. Investments and Trusts, Line 16 represents the redemption proceeds of assets in Lines 8, 10 and 13-15. A check was issued for these redemption proceeds to the receiving custodian, Fidelity Investments, but not deposited into the new IRA account until January 3, 2022. Additionally, the same situation applies for Line 23, which represents
the proceeds of the redemptions found in Lines 18-22.

In Section VII. Investments and Trusts, Lines 25-28, Section D represents purchases of $1200 (Code J) made on December 1, 2021 for each of the four MFS 529 accounts. To be more specific, each account was on a monthly auto-purchase plan where $100 was invested on the 1st of each month throughout 2021. Instead of listing 12 entries for each account during the year, we are showing the purchases consolidated into a single purchase made on December 1, 2021.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ann L. Aiken

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544