AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Albritton, William H.	District Court-MD Alabama	05/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge-Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2021 **to** 12/31/2021
	5b. ☐ Amended Report	
7. Chambers or Office Address		
1 Church Street Montgomery, AL		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Montgomery Symphony Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	UBS Financial Services Inc.-IRA-Distributions	$17,800.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	UBS Financial Services, Inc-IRA-Distribution
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Albritton, William H.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. SERVISFIRST BANK CASH ACCOUNTS	A	Interest	M	T					
2.									
3. BROKERAGE ACCOUNT #1 (H)									
4. -UBS BANK USA DEP CASH ACCT	A	Interest	J	T					
5. -UNTS AAM DIV RULER PRTFO SER 2020A	A	Dividend			Sold	04/28/21	L	D	
6. -UNITS FT S P DVD ARISTOCRATS TGT 25 2Q 21 REIN 07/08/22	A	Dividend	L	T	Buy	05/03/21	L		
7.									
8. TRUST #1 (H)									
9. -UBS BANK USA DEP CASH ACCT		None	J	T					
10. -EXXON MOBILE CORP	D	Dividend	M	T					
11. -APPLE INC	A	Dividend	K	T	Buy (add'l)	07/08/21	J		
12.									
13. TRUST #2 (H)									
14. -ABBVIE INC COM	B	Dividend	K	T					
15. -BLACKROCK TAXABLE MUNICIPAL BOND TRUST	B	Dividend	K	T					
16. -BOEING COMPANY COMMON STOCK		None	K	T					
17. -CATERPILLAR INC COMMON STOCK	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. -COCA COLA CO COM COMMON STOCK	A	Dividend	J	T					
19. -FACEBOOK INC CL A		None			Merged (with line 36)	12/01/21	K		
20. -WALT DISNEY CO (HOLDING CO) DISNEY		None	K	T					
21. -FIRST TRUST DORSEY WRIGHT FOCUS 5 ETF	A	Dividend	J	T					
22. -FT-PREFERRED SECUR & INC ETF	B	Dividend	L	T					
23. -GENERAL ELECTRIC	A	Dividend	K	T					
24. -HOME DEPOT INC	A	Dividend	K	T					
25. -JPMORGAN CHASE & CO	B	Dividend	L	T					
26. -MCDONALDS CORP	A	Dividend	K	T					
27. -PFIZER INC COMMON STOCK	A	Dividend	K	T					
28. -VANGUARD S&) 500 ETF	A	Dividend	K	T					
29. -UBS BANK USA DEP CASH ACCOUNT	A	Interest	J	T					
30. -FIRST ENHANCED SHORT MAT FUND ETF	A	Dividend	K	T					
31. -AMAZON.COM IN		None	K	T					
32. -APPLE INC.	A	Dividend	K	T					
33. -MICROSOFT CORP	A	Dividend	K	T					
34. -SALESFORCE.COM INC		None	K	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35. -TESLA INC.		None	L	T	Buy (add'l)	07/08/21	J		
36. -META PLATFORMS INC. CL A		None	K	T	Open	12/01/21	K		
37.									
38. IRA ACCOUNT #1 (H)									
39. -UBS BANK USA DEP CASH ACCT		None	J	T					
40. -UNTS FT CAP STRENGTH PRTFO SER 54	A	Dividend	K	T	Sold (part)	12/02/21	J	A	
41.									
42. IRA ACCOUNT #2 (H)									
43. -UBS BANK USA DEP CASH ACCT		None	J	T					
44. -JP MORGAN CHASE & CO DE	A	Dividend	J	T					
45. -UNITEDHEALTH GROUP INC DE	A	Dividend	J	T					
46. -FIRST TRUST ENHANCED SHORT MAT FUND ETF	A	Dividend			Sold (part)	01/25/21	J	A	
47.					Sold	07/21/21	J	A	
48. -FIRST TRUST LOW DURATION MORTGAGE OPPORTUNITIES	A	Dividend	J	T	Sold (part)	01/25/21	J	A	
49.					Sold (part)	08/24/21	J	A	
50.					Sold (part)	12/02/21	J	A	
51. -ROCKWELL AUTOMATION INC NEW	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. -ADOBE SYSTEMS INC		None	J	T					
53. -VISA INC CL A DE	A	Dividend	J	T					
54. -COCA COLA COM DE	A	Dividend	J	T	Sold (part)	08/24/21	J	A	
55.					Sold (part)	12/02/21	J	A	
56. -AMERICAN TOWER CORP REIT DE	A	Dividend	J	T	Sold (part)	02/23/21	J	A	
57. -APPLE INC DE	A	Dividend	J	T	Sold (part)	08/24/21	J	A	
58.					Sold (part)	12/02/21	J	A	
59. -LAUDER ESTEE COS CL A DE	A	Dividend	J	T					
60. -VF CORP DE	A	Dividend	J	T	Sold (part)	08/24/21	J	A	
61.					Sold (part)	12/02/21	J	A	
62. -TJX COS INC NEW DE	A	Dividend	J	T	Sold (part)	08/24/21	J	A	
63.					Sold (part)	12/02/21	J	A	
64. -TEXAS INSTRUMENTS DE	A	Dividend	J	T	Sold (part)	08/24/21	J	A	
65.					Sold (part)	12/02/21	J	A	
66. -NOVARTIS AG SPON ADR DE	A	Dividend			Sold (part)	08/24/21	J	A	
67.					Sold	09/16/21	J	A	
68. -MONDELEZ INTL INC DE	A	Dividend	J	T	Sold (part)	08/24/21	J	A	

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69.					Sold (part)	12/02/21	J	A	
70. -MARSH & MCLENNAN COS INC DE	A	Dividend	J	T	Sold (part)	12/02/21	J	A	
71. -MICROSOFT CORP DE	A	Dividend	J	T	Sold (part)	01/25/21	J	A	
72.					Sold (part)	08/24/21	J	A	
73.					Sold (part)	12/02/21	J	A	
74. -INTERCONTINENTAL EXCHANGE GROUP	A	Dividend	J	T	Sold (part)	08/24/21	J	A	
75.					Sold (part)	12/02/21	J	A	
76. -INTEL CORP DE	A	Dividend	J	T	Sold (part)	08/24/21	J	A	
77.					Sold (part)	12/02/21	J	A	
78. -FT-PREFERRED SECUR & INC ETF	A	Dividend	J	T	Sold (part)	01/25/21	J	A	
79.					Sold (part)	08/24/21	J	A	
80.					Sold (part)	12/02/21	J	A	
81. -HONEYWELL INTL INC	A	Dividend	J	T					
82. -ACCENTURE PLC IRELAND CL A	A	Dividend	J	T	Sold (part)	02/23/21	J	A	
83. -ALPHABET INC CL A		None	J	T					
84. -AMERIPRISE FINANCIAL INC	A	Dividend	J	T	Sold (part)	02/23/21	J	A	
85. -BLACKROCK INC	A	Dividend	J	T	Sold (part)	02/23/21	J	A	

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86. -CHEVRON CORP	A	Dividend			Sold	09/16/21	J	A	
87. -CHUBB LTD DHF	A	Dividend	J	T					
88. -CRANE CO DE	A	Dividend			Sold	09/16/21	J	A	
89. -DANAHER CORP	A	Dividend	J	T	Sold (part)	02/23/21	J	A	
90. -DIAGEO PLC NEW GB SPON ADR	A	Dividend			Sold	09/16/21	J	A	
91. -FACEBOOK INC CL A		None			Merged (with line 106)	12/01/21	J		
92. -HOME DEPOT INC	A	Dividend	J	T	Sold (part)	02/23/21	J	A	
93. -LOCKHEED MARTIN CORP	A	Dividend	J	T					
94. -LOWES COMPANIES INC	A	Dividend	J	T					
95. -MCDONALDS CORP	A	Dividend	J	T					
96. -MEDTRONIC PLC	A	Dividend	J	T	Sold (part)	12/02/21	J	A	
97. -NEXTERA ENERGY INC COM		None	J	T	Sold (part)	08/24/21	J	A	
98.					Sold (part)	12/02/21	J	A	
99. -O REILLY AUTOMOTICE INC		None	J	T					
100. -PARKER HANNIFIN CORP	A	Dividend	J	T					
101. -UNION PACIFIC CORP	A	Dividend	J	T					
102. -RAYTHEON TECHNOLOGIES CORP	A	Dividend			Sold	07/21/21	J	A	

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103. -WALT DISNEY CO (HOLDING CO) DISNEY COM		None	J	T					
104. -THERMO FISHER SCIENTIFIC INC	A	Dividend	J	T					
105. -PHILLIPS 66 (X)	A	Dividend			Sold	07/21/21	J	A	
106. META PLATFORMS INC CL A		None	J	T	Open	12/01/21	J		
107.									
108. TRUST #3 (H)									
109. -SCHWAB VALUE ADVANTAGE MONEY MARKET FUND	A	Interest	J	T					
110. -VANGUARD SMALL-CAP INDEX FUND	A	Dividend	K	T	Buy	01/15/21	J		
111.					Buy (add'l)	04/26/21	K		
112.					Buy (add'l)	12/02/21	J		
113. -VANGUARD FTSE DEVELOPED MARKETS ETF	A	Dividend	K	T	Buy	01/15/21	J		
114.					Buy (add'l)	04/26/21	J		
115.									
116. TRUST #4 (H)									
117. -ATHENS ALA 5%	A	Interest	K	T					
118. -AUBURN ALA 5%	A	Interest	K	T					
119. -BIRMINGHAM ALA SPL CARE FACS F 5%	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Albritton, William H.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. -FLORENCE ALA 5%	A	Interest			Matured	09/01/21	J	A	
121. -MOBILE AL W/S 5%	B	Interest	K	T					
122. -MOBILE CNTY AL 5%	A	Interest	K	T					
123. -OXFORD ALA 5%	A	Interest			Matured	05/01/21	K	A	
124. -TEXAS ST 5%	A	Interest	K	T					
125. -TUSCALOOS ALA CITY BRD ED SCH 5%	A	Interest			Matured	08/01/21	K	A	
126. -TUSCALOOSA AL 4% GO UTX	A	Interest	K	T					
127. -UNIVERSITY OF ALA GEN REV 5%	A	Interest	K	T					
128. -FLORIDA ST BRD ED LOTTERY REV 5%	A	Interest	K	T					
129. -MINNEAPOLIS & ST PAUL MINN HSG	A	Interest	K	T					
130. -DENTON TEX 5%	A	Interest	K	T					
131. -HARRIS CNTY TEX MET TRAN AUTH 5%	A	Interest	K	T					
132. -NORTH TEX MUN WTR DIST UPPER E 5%	A	Interest	K	T					
133. -PORT SEATTLE WAS REV 5%	A	Interest	K	T					
134. -THURSTON CNTY WASH SCH DIST NO 5%	B	Interest	K	T					
135. -WASHINGTON ST 5%	A	Interest	K	T					
136. -WEST VA COMMR OF HWYS SPL OBLI 5%	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Albritton, William H.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137. -CLARK CNTY NV PFC 5%	B	Interest	K	T					
138. -AMERN MUNI PWR OH 5% ELEC UTIL	B	Interest	K	T					
139. -SCHWAB GOVT MONEY FUND	A	Interest	K	T					
140. -HONOLULU HAWAII CITY & CNTY 5%	A	Interest	K	T					
141. -NORTH CAROLINA ST GRANT ANTIC 5%	A	Interest	K	T					
142. -AMARILLO TX WWKS&SW 5% 22	A	Interest	K	T					
143. -COOK KANE LAKE & MCHENRY CNTY 4% 12/15/2007	B	Interest	K	T					
144. -UNIVERSITY OF ALA AT BIRMINGHA 5% 10/1/2030	B	Interest	K	T	Buy	02/25/21	K		
145. -BALDWIN CNTY ALA BRD ED 4% 06/01/2033	A	Interest	K	T	Buy	08/04/21	K		
146.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII: INVESTMENTS AND TRUSTS - LINE 19 AND LINE 91 FACEBOOK INC CL A NAME CHANGED TO META PLATFORMS INC CL A REPORTED ON LINE 36 AND LINE 106.

Name of Person Reporting	Date of Report
Albritton, William H.	05/09/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ William H. Albritton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544