AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Altman, Roy K.	U.S. District Court, Southern District of Florida	10/25/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	**6. Reporting Period** 01/01/2022 **to** 12/31/2022
7. Chambers or Office Address Wilkie D. Ferguson, Jr. Federal Courthouse 400 North Miami Avenue Chambers 12-4 Miami, Florida 33128		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	AEI Enterprise Club National Board
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Biscayne Bay Waterkeeper
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	RODEL INSTITUTE	SEPTEMBER 15, 2022 THROUGH SEPTEMBER 18, 2022	SUN VALLEY, IDAHO	RODEL JUDICIAL FELLOWSHIP CONFERENCE	FLIGHT, LODGING AND FOOD
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	STEVEN C. MARKS	TWO MIAMI OPEN TICKETS ($1,200 EACH)	$2,400.00
2.	PHIL WIDLANSKI	TWO NEW YORK YANKEES TICKETS ($1,000 EACH)	$2,000.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Dovenmuehle Mortgage, Inc.	Mortgage	O
2.	NELNET Loan Services	Student Loan	K
3.	Carrington Mortgage Services, LLC	Mortgage	O
4.			
5.			

Name of Person Reporting	Date of Report
Altman, Roy K.	10/25/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Rental House - Asheville, North Carolina, Buncombe County	D	Rent	P1	R					
2.	Trust dtd 1/11/11 (H)		None							
3.	Bank of America Sweep Account	A	Interest	N	T					
4.	Abbott Labs - Common Stock	A	Dividend	K	T					
5.	Abbvie Inc. - Common Stock	B	Dividend	L	T					
6.	Automatic Data Proc. - Common Stock	B	Dividend	M	T					
7.	Beckton Dickinson - Common Stock	A	Dividend	L	T					
8.	Cisco Systems Inc. - Common Stock	B	Dividend	K	T					
9.	Diageo PLC SPSD ADR New	A	Dividend	K	T					
10.	Dover - Common Stock	A	Dividend	K	T					
11.	Intel Works - Common Stock	B	Dividend			Sold	10/19/22	K		
12.	Embecta Corp	A	Dividend			Sold	07/11/22	J		
13.	Intel Corp	A	Dividend			Sold	10/17/22	K		
14.	Johnson & Johnson - Common Stock	B	Dividend	L	T					
15.	Kimberly Clark - Common Stock	B	Dividend	L	T					
16.	McDonalds Corp - Common Stock	C	Dividend	L	T					
17.	Pepsico Inc. - Common stock	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Procter & Gamble Co. - Common Stock	B	Dividend	L	T					
19. Reckitt Benckiser Group	A	Dividend	K	T					
20. Unilever PLC New ADR	B	Dividend	K	T					
21. Waste Management Inc. - Common Stock	B	Dividend	M	T					
22. Zoetis Inc. - Common Stock	A	Dividend	M	T					
23. Amazon - Common Stock	A	Dividend	L	T					
24. Apple Inc. - Common Sotck	D	Dividend	O	T					
25. Chipotle Mexican Grill - Common Stock	A	Dividend	L	T					
26. META Platforms	B	Dividend	K	T					
27. Johnson & Johnson	B	Dividend	L	T					
28. JP Morgan	B	Dividend	L	T					
29. McDonald's Corp	B	Dividend	L	T					
30. Netflix - Common Stock	A	Dividend	K	T					
31. Starbucks Corp - Common Stock	B	Dividend	L	T					
32. Union Pacific	B	Dividend	M	T					
33. 3M Company - Common Stock	B	Dividend	K	T					
34. Calvert Global Water - Mutual Fund	A	Distribution	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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35. Invesco QQQ TR Series 1 - Mutual Fund	A	Distribution	K	T					
36. ML Deposit Account	A	Interest	O	T					
37. IRA (H)		None							
38. Charles Schwab Sweep Account	A	Interest	J	T					
39. Berkshire Hathaway - Common Stock	A	Dividend	J	T					
40. IShares Core S&P 500 - ETF	A	Dividend	J	T					
41. IShares Core US Aggregate Bond ETF	A	Dividend	J	T					
42. IShares MSCI EAFE - ETF	A	Dividend	J	T					
43. IShare North American Natural Resou - ETF	A	Dividend	J	T					
44. Vangaurd FTSE Emerging Markets - ETF	A	Dividend	J	T					
45. Vanguard Real Estate - ETF	A	Dividend	J	T					
46. Vanguard Small Cap - ETF	A	Dividend	J	T					
47. IRA Rollover (H)		None							
48. Charles Schwab Sweep Account	D	Dividend	J	T					
49. Berkshire Hathway - Common Stock	A	Dividend	K	T					
50. Deere & Co. - Common Stock	A	Dividend	L	T					
51. Freeport McMoran	A	Dividend	K	T					

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52. Goldman Sachs	A	Dividend	J	T					
53. Home Depot	A	Dividend	K	T					
54. IBM Corp. - Common Stock	A	Dividend	K	T					
55. JP Morgan Chase & Co. - Common Stock	A	Dividend	J	T					
56. Johnson & Johnson - Common Stock	A	Dividend	J	T					
57. Kyndryl Holdings	A	Dividend			Sold	07/18/22	J		
58. Mastercard, Inc. - Common Stock	A	Dividend	J	T					
59. Microsoft Corp. - Common Stock	A	Dividend	K	T					
60. Paypal Holdings, INc. - Common Stock	A	Dividend	J	T					
61. Pepsico, Inc. - Common Stock	A	Dividend	J	T					
62. Phillips 66	A	Dividend	J	T					
63. Raytheon Technologies	A	Dividend	J	T					
64. Southern Co. - Common Stock	A	Dividend	J	T					
65. Verizon Communications - Common Stock	A	Dividend	J	T					
66. Ishares Tips Bond ETF	A	Dividend	J	T					
67. Trust DT1\11\11 (H)		None							
68. Charles Schwab - Sweep Account	A	Interest	K	T					

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69. US Treasuries	A	Int./Div.	K	T					
70. Amazon.com Inc	A	Dividend	J	T					
71. Apple, Inc - Common Stock	A	Dividend	L	T					
72. Berkshire Hathaway - Common Stock	A	Dividend	M	T					
73. Bristol Myers Squibb - Common Stock	A	Dividend	K	T					
74. Caterpillar, Inc. - Common Stock	A	Dividend	K	T					
75. Chevron Corp. - Common Stock	A	Dividend	K	T					
76. Cracker Barrel Old Count - Common Stock	A	Dividend			Sold	03/29/22	K		
77. Deere & Co. - Common Stock	A	Dividend	L	T					
78. Freeport McMoran	A	Dividend	K	T					
79. Heico Corp	A	Dividend	K	T					
80. Home Depot Inc	A	Dividend	K	T					
81. IBM Corp. - Common Stock	A	Dividend	K	T					
82. Intel Corp. - Common Stock	A	Dividend	J	T					
83. JP Morgan - Common Stock	A	Dividend	K	T					
84. Johnson & Johnson - Common Stock	A	Dividend	K	T					
85. Mastercard, Inc. - Common Stock	A	Dividend	K	T					

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86. Microsoft Corp. - Common Stock	A	Dividend	K	T					
87. Paypal Holdings Inc. - Common Stock	A	Dividend	K	T					
88. Raytheon Technologies	A	Dividend	J	T					
89. Pepsico, Inc. - Common Stock	A	Dividend	K	T					
90. Southern Co. - Common Stock	A	Dividend	K	T					
91. Verizon Communication - Common Stock	A	Dividend	K	T					
92. SPDR S&P Midcap	A	Dividend	L	T					
93. Verizon Communications - Common Stock	A	Dividend	J	T					
94. Kyndryl Holdings Inc.	A	Dividend			Sold	03/17/22	J		
95. Trust DTD 10\01\18 (H)		None							
96. Charles Schwab Sweep Account	A	Interest	J	T					
97. Amazon	A	Dividend	K	T					
98. Apple, Inc. - Common Stock	C	Dividend	M	T					
99. Auto Data Processing	A	Dividend	K	T					
100. Berkshire Hathaway, Inc. - Common Stock	A	Dividend	M	T					
101. Bank of America	A	Dividend	J	T					
102. Bristol Myers Squibb - Common Stock	A	Dividend	K	T					

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103. Caterpillar, Inc. - Common Stock	A	Dividend	L	T					
104. Chevron Corp. - Common Stock	A	Dividend	K	T					
105. Costco Wholesale Co. - Common Stock	A	Dividend	K	T					
106. Deere & Co. - Common Stock	A	Dividend	L	T					
107. Duke Energy Corp. - Common Stock	A	Dividend	K	T					
108. Emerson Electric Co. - Common Stock	A	Dividend	K	T					
109. Freeport McMoran	A	Dividend	K	T					
110. FRP Holdings, Inc. - Common Stock	A	Dividend	K	T					
111. Goldman Sach	A	Distribution	J	T					
112. Home Depot	B	Dividend	L	T					
113. IBM Corp. - Common Stock	B	Dividend	K	T					
114. JP Morgan Chase & Co. - Common Stock	A	Dividend	K	T					
115. Johnson & Johnson - Common Stock	B	Int./Div.	L	T					
116. Kimberly Clark	A	Dividend			Sold	09/20/22	K		
117. Kyndryl Holdings	A	Dividend			Sold	02/23/22	J		
118. Lockheed Martin Corp. - Common Stock	A	Dividend	K	T					
119. Mastercard, Inc. - Common Stock	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Altman, Roy K.	10/25/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. McDonalds Corp. - Common Sotck	A	Dividend	L	T					
121. Merck	B	Dividend	L	T					
122. Metlife, Inc. - Common Stock	A	Dividend			Sold	02/25/22	J		
123. Microsoft Corp. - Common Stock	A	Dividend	L	T					
124. Morgan Stanley - Preferred Stock	A	Dividend			Sold	10/19/22	J		
125. Oracle Corp	A	Dividend	K	T					
126. Paypal Holdings, Inc. - Common Stock	A	Dividend			Sold	04/22/22	K		
127. Pepsico, Inc. - Common Stock	A	Dividend	K	T					
128. Raytheon	A	Dividend	K	T					
129. Southern Co. - Pfd	B	Dividend	L	T					
130. The Goldman Sachs - Common Stock	A	Int./Div.			Sold	10/19/22	J		
131. Verizon Communications - Common Stock	B	Dividend	J	T	Sold (part)	08/24/22	K		
132. Vulcan Materials - Common Stock	A	Dividend	L	T					
133. SPDR S&P Midcap 400 ETF	A	Dividend	L	T					
134. Ishares Treasury Inflation Protected	A	Dividend			Sold	03/25/22	J		
135. (H)		None							
136. Watermark Partners Fund IV LLC	A	Distribution	J	V					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Altman, Roy K.	10/25/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137. 529 Plan (H)		None							
138. Schwab 529 Aggressive Portfolio (95% Equity)	B	Dividend	L	T					
139. Schwab 529 Moderately Aggresive Portfolio (80% Equity)	A	Dividend	K	T					
140. Schwab 529 Moderate Portfolio (80% Equity)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Altman, Roy K.	10/25/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Valuation Method Ussed for the Watermark Partners Fund IV:
The Valuation method used for Watermark Partners Fund IV LLC is the capital account as listed on the 2022 Form K-1

The following securites were disposed in prior year(s):
- Embraer SA SponSRD ADR - Sold in 2020
- Emerson Electric Co. - Common Stock - Sold in 2020
- General Electric - Common Stock - Sold in 2020
- Illinois Tool Works - Common Stock - Sold in 2020
- Wabtec - Common Stock - Sold in 2020
- Oracle Corp. - Common Stock - Sold in 2020
- American Cap Inc BLDR - Mutual Fund - Sold in 2020
- Boeing Co. - Common Stock - Unknown Sales Year
- Berkshire Hathaway - Common Stock - Unknown Sales Year
- Cracker Barrel Old Count - Unknown Sales Year
- The Goldman Sachs - Pfd - Unknown Sales Year
- United Technologies - Common Stock - Unknown Sales Year
- Bank New York Mellon - Common Stock - Unknown Sales Year
- SPDR Dow Jones Industrial Average - Mutual Fund - Sold in 2020
- Apergey Corp. - Common Stock - Unknown Sales Year
- Kraft - Common Stock - Unknow Sales Year
- American /New World Fund - Mutual Fund - Sold 2019
- Metlife, Inc. - Common Stock - Sold 2019
-United Technologies - Common Stock - Unknown Sales Year

Name of Person Reporting	Date of Report
Altman, Roy K.	10/25/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Roy K. Altman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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