AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Altman, Roy K. | U.S. District Court, Southern District of Florida | 05/10/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Court Judge | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2023<br>**to**<br>12/31/2023 |
| **7. Chambers or Office Address** | | |
| Wilkie D. Ferguson, Jr. Federal Courthouse<br>400 North Miami Avenue<br>Chambers 12-4<br>Miami, Florida 33128 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Member | AEI Enterprise Club National Board |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2023 | Biscayne Bay Waterkeeper |
| 2. | 2023 | The Elevate Prize Foundation |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | FLORIDA BAR | JANAURY 19, 2023 THROUGH JANAURY 19, 2023 | ORLANDO, FL | SEMINAR | FLIGHT AND LODGING |
| 2. | YALE LAW SCHOOL | MARCH 14, 2023 THROUGH MARCH 15, 2023 | NEW HAVEN, CT | SEMINAR | FLIGHT AND LODGING |
| 3. | CORNELL LAW SCHOOL FEDERALIST SOCIETY | NOVEMBER 2, 2023 THROUGH NOVEMBER 5, 2023 | ITHICA, NY | SEMINAR | FLIGHT AND LODGING |
| 4. | | | | | |

5.

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | YALE LAW SCHOOL | FLIGHT AND LODGING | $583.00 |
| 2. | CORNELL LAW SCHOOL FEDERALIST SOCIETY | FLIGHT AND LODGIN | $1,711.00 |
| 3. | RODEL JUDICIAL FELLOWSHIP | FLIGHT, LODGING AND FOOD | $7,455.00 |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | US Bank National Association | Mortgage | O |
| 2. | NELNET Loan Services | Student Loan | J |
| 3. | Carrington Mortgage Services, LLC | Mortgage | O |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Altman, Roy K.** | 05/10/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. | Rental House - Asheville, North Carolina, Buncombe County | G | Rent | P1 | R | | | | | |
| 2. | Trust dtd 1/11/11 (H) | | None | | | | | | | |
| 3. | Bank of America Sweep Account | D | Interest | N | T | | | | | |
| 4. | Abbott Labs - Common Stock | A | Dividend | K | T | | | | | |
| 5. | Abbvie Inc. - Common Stock | B | Dividend | L | T | | | | | |
| 6. | Automatic Data Proc. - Common Stock | B | Dividend | M | T | | | | | |
| 7. | Beckton Dickinson - Common Stock | A | Dividend | K | T | Sold (part) | 07/13/23 | K | | |
| 8. | Cisco Systems Inc. - Common Stock | B | Dividend | K | T | | | | | |
| 9. | Diageo PLC SPSD ADR New | B | Dividend | K | T | | | | | |
| 10. | Dover - Common Stock | A | Dividend | L | T | | | | | |
| 11. | Johnson & Johnson - Common Stock | B | Dividend | L | T | | | | | |
| 12. | Kimberly Clark - Common Stock | B | Dividend | K | T | | | | | |
| 13. | McDonalds Corp - Common Stock | B | Dividend | L | T | | | | | |
| 14. | Pepsico Inc. - Common stock | B | Dividend | L | T | | | | | |
| 15. | Procter & Gamble Co. - Common Stock | B | Dividend | L | T | | | | | |
| 16. | Reckitt Benckiser Group | A | Dividend | | | Sold | 07/13/23 | K | | |
| 17. | Unilever PLC New ADR | A | Dividend | | | Sold | 07/13/23 | K | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. Waste Management Inc. - Common Stock | B | Dividend | M | T | | | | | |
| 19. Zoetis Inc. - Common Stock | B | Dividend | M | T | | | | | |
| 20. Wells Fargo & Co. | B | Dividend | L | T | | | | | |
| 21. U.S. Treasury Bill | A | Interest | L | T | | | | | |
| 22. Amazon - Common Stock | | None | M | T | | | | | |
| 23. Apple Inc. - Common Sotck | D | Dividend | P1 | T | | | | | |
| 24. Chipotle Mexican Grill - Common Stock | | None | L | T | | | | | |
| 25. META Platforms | | None | M | T | | | | | |
| 26. Johnson & Johnson | B | Dividend | K | T | | | | | |
| 27. JP Morgan | C | Dividend | M | T | | | | | |
| 28. McDonald's Corp | B | Dividend | L | T | | | | | |
| 29. Netflix - Common Stock | | None | K | T | | | | | |
| 30. Starbucks Corp - Common Stock | B | Dividend | L | T | | | | | |
| 31. Union Pacific | C | Dividend | M | T | | | | | |
| 32. 3M Company - Common Stock | B | Dividend | K | T | | | | | |
| 33. Calvert Global Water - Mutual Fund | C | Dividend | M | T | | | | | |
| 34. Invesco QQQ TR Series 1 - Mutual Fund | A | Dividend | K | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Altman, Roy K.** | 05/10/2024 |

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|---|---|---|---|---|---|---|---|---|---|
| 35. ML Deposit Account | C | Interest | L | T | | | | | |
| 36. IRA (H) | | None | | | | | | | |
| 37. Charles Schwab Sweep Account | A | Interest | J | T | | | | | |
| 38. Berkshire Hathaway - Common Stock | | None | J | T | | | | | |
| 39. IShares Core S&P 500 - ETF | A | Dividend | J | T | | | | | |
| 40. IShares Core US Aggregate Bond ETF | A | Dividend | J | T | | | | | |
| 41. IShares MSCI EAFE - ETF | A | Dividend | J | T | | | | | |
| 42. IShare North American Natural Resou - ETF | A | Dividend | J | T | | | | | |
| 43. Vangaurd FTSE Emerging Markets - ETF | A | Dividend | J | T | | | | | |
| 44. Vanguard Real Estate - ETF | A | Dividend | J | T | | | | | |
| 45. Vanguard Small Cap - ETF | A | Dividend | J | T | | | | | |
| 46. IRA Rollover (H) | | None | | | | | | | |
| 47. Charles Schwab Sweep Account | A | Interest | K | T | | | | | |
| 48. U.S. Treasury Bill | A | Interest | J | T | | | | | |
| 49. Berkshire Hathway - Common Stock | | None | L | T | | | | | |
| 50. Deere & Co. - Common Stock | A | Dividend | K | T | | | | | |
| 51. Freeport McMoran | A | Dividend | K | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 52. Goldman Sachs | A | Dividend | | | Sold | 09/14/23 | J | | |
| 53. Home Depot | A | Dividend | K | T | | | | | |
| 54. IBM Corp. - Common Stock | A | Dividend | K | T | | | | | |
| 55. JP Morgan Chase & Co. - Common Stock | A | Dividend | J | T | | | | | |
| 56. Johnson & Johnson - Common Stock | A | Dividend | J | T | | | | | |
| 57. Mastercard, Inc. - Common Stock | A | Dividend | K | T | | | | | |
| 58. Microsoft Corp. - Common Stock | A | Dividend | K | T | | | | | |
| 59. Paypal Holdings, INc. - Common Stock | | None | | | Sold | 05/18/23 | J | | |
| 60. Pepsico, Inc. - Common Stock | A | Dividend | J | T | | | | | |
| 61. Phillips 66 | A | Dividend | J | T | | | | | |
| 62. RTX (FKA Raytheon Technologies) | A | Dividend | J | T | | | | | |
| 63. Southern Co. - Common Stock | A | Dividend | J | T | | | | | |
| 64. Verizon Communications - Common Stock | A | Dividend | J | T | | | | | |
| 65. Ishares Tips Bond ETF | A | Distribution | J | T | | | | | |
| 66. Trust DT1\11\11 (H) | | None | | | | | | | |
| 67. Charles Schwab - Sweep Account | A | Interest | J | T | | | | | |
| 68. Schwab Government Money | C | Dividend | L | T | Sold (part) | 10/25/23 | J | | |

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|---|---|---|---|---|---|---|---|---|---|
| 69. US Treasuries | A | Interest | K | T | | | | | |
| 70. Amazon.com Inc | | None | K | T | | | | | |
| 71. Apple, Inc - Common Stock | A | Dividend | M | T | | | | | |
| 72. Berkshire Hathaway - Common Stock | | None | M | T | | | | | |
| 73. Bristol Myers Squibb - Common Stock | A | Dividend | J | T | | | | | |
| 74. Caterpillar, Inc. - Common Stock | A | Dividend | K | T | | | | | |
| 75. Chevron Corp. - Common Stock | A | Dividend | K | T | | | | | |
| 76. Deere & Co. - Common Stock | A | Dividend | L | T | | | | | |
| 77. Freeport McMoran | A | Dividend | K | T | | | | | |
| 78. Heico Corp | A | Dividend | K | T | | | | | |
| 79. Home Depot Inc | A | Dividend | K | T | | | | | |
| 80. IBM Corp. - Common Stock | A | Dividend | K | T | | | | | |
| 81. Intel Corp. - Common Stock | A | Dividend | K | T | | | | | |
| 82. JP Morgan - Common Stock | A | Dividend | L | T | | | | | |
| 83. Johnson & Johnson - Common Stock | A | Dividend | K | T | | | | | |
| 84. Mastercard, Inc. - Common Stock | A | Dividend | L | T | | | | | |
| 85. Microsoft Corp. - Common Stock | A | Dividend | L | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 86. Paypal Holdings Inc. - Common Stock | | None | | | Sold | 05/18/23 | K | | |
| 87. RTX (FKA Raytheon Technologies) | A | Dividend | J | T | | | | | |
| 88. Pepsico, Inc. - Common Stock | A | Dividend | K | T | | | | | |
| 89. Southern Co. - Common Stock | A | Dividend | K | T | | | | | |
| 90. Starbucks Corp - Common Stock | A | Dividend | K | T | | | | | |
| 91. SPDR S&P Midcap | A | Dividend | L | T | | | | | |
| 92. Verizon Communications - Common Stock | A | Dividend | J | T | | | | | |
| 93. Trust DTD 10\01\18 (H) | | None | | | | | | | |
| 94. Charles Schwab Sweep Account | A | Interest | J | T | | | | | |
| 95. Amazon | | None | L | T | | | | | |
| 96. Apple, Inc. - Common Stock | A | Dividend | M | T | Sold (part) | 06/26/23 | K | | |
| 97. Auto Data Processing | A | Dividend | K | T | | | | | |
| 98. Berkshire Hathaway, Inc. - Common Stock | | None | M | T | | | | | |
| 99. Bank of America | | None | | | Sold | 01/30/23 | J | | |
| 100. Bristol Myers Squibb - Common Stock | A | Dividend | K | T | | | | | |
| 101. Caterpillar, Inc. - Common Stock | B | Dividend | L | T | | | | | |
| 102. Chevron Corp. - Common Stock | B | Dividend | K | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Altman, Roy K.** | 05/10/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. Costco Wholesale Co. - Common Stock | A | Dividend | K | T | | | | | |
| 104. Deere & Co. - Common Stock | A | Dividend | L | T | | | | | |
| 105. Duke Energy Corp. - Common Stock | B | Dividend | K | T | | | | | |
| 106. Emerson Electric Co. - Common Stock | A | Dividend | | | Sold | 07/25/23 | K | | |
| 107. Freeport McMoran | A | Dividend | K | T | | | | | |
| 108. FRP Holdings, Inc. - Common Stock | | None | K | T | | | | | |
| 109. Goldman Sach | A | Dividend | | | Sold | 02/23/23 | J | | |
| 110. Home Depot | B | Dividend | L | T | | | | | |
| 111. IBM Corp. - Common Stock | B | Dividend | K | T | | | | | |
| 112. JP Morgan Chase & Co. - Common Stock | B | Dividend | K | T | | | | | |
| 113. Johnson & Johnson - Common Stock | B | Int./Div. | K | T | | | | | |
| 114. Lockheed Martin Corp. - Common Stock | A | Dividend | K | T | | | | | |
| 115. Mastercard, Inc. - Common Stock | A | Dividend | L | T | | | | | |
| 116. McDonalds Corp. - Common Sotck | B | Dividend | L | T | | | | | |
| 117. Merck | B | Dividend | L | T | | | | | |
| 118. Microsoft Corp. - Common Stock | A | Dividend | M | T | | | | | |
| 119. Oracle Corp | A | Dividend | K | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Altman, Roy K.** | 05/10/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. Pepsico, Inc. - Common Stock | B | Dividend | K | T | | | | | |
| 121. RTX (FKA Raytheon Technologies) | A | Dividend | | | Sold | 10/24/23 | K | | |
| 122. Southern Co. - Pfd | B | Dividend | L | T | | | | | |
| 123. Verizon Communication - Common Stock | A | Dividend | | | Sold | 03/22/23 | J | | |
| 124. Vulcan Materials - Common Stock | A | Dividend | L | T | | | | | |
| 125. SPDR S&P Midcap 400 ETF | A | Dividend | L | T | | | | | |
| 126. (H) | | None | | | | | | | |
| 127. Watermark Partners Fund IV LLC | C | Distribution | | | Sold | 12/31/23 | J | | |
| 128. 529 Plan (H) | | None | | | | | | | |
| 129. Schwab 529 Aggressive Portfolio (95% Equity) | B | Dividend | L | T | | | | | |
| 130. Schwab 529 Moderately Aggresive Portfolio (80% Equity) | A | Dividend | K | T | | | | | |
| 131. Schwab 529 Moderate Portfolio (80% Equity) | A | Dividend | K | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Altman, Roy K.** | 05/10/2024 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Valuation Method Ussed for the Watermark Partners Fund IV:
The Valuation method used for Watermark Partners Fund IV LLC is the capital account as listed on the 2022 Form K-1

| Name of Person Reporting | Date of Report |
|---|---|
| **Altman, Roy K.** | 05/10/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Roy K. Altman**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544