AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ambro, Thomas L.	U.S. Court of Appeals, 3rd Cir	05/11/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Federal Courthouse 844 N. King Street, Lock Box 32 Wilmington, DE 19801		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Thomas L. Ambro Revocable Trust
2.	Member (Emeritus)	Georgetown University Alumni Admissions Program Board of Advisors
3.	Member	Georgetown University Northern Delaware Alumni Admissions Program
4.	Member	Georgetown University Law Center Board of Visitors
5.	Member	Board of Editors, Delaware Lawyer
6.	Member, Advisory Board	Working Group on Legal Opinions Foundation
7.	Member, Editorial Board	Delaware Law Review

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	TD, Bank N.A.	Limited guaranty of commercial loan to Springside, LLC (Part VII, Line 252)	P1
2.	TD, Bank N.A.	Limited guaranty of commercial loan to Springside, LLC (Part VII, Line 252)	P1
3.	TD, Bank N.A.	Limited guaranty of commercial loan to Springside, LLC (Part VII, Line 252)	P1
4.			
5.			

Name of Person Reporting	Date of Report
Ambro, Thomas L.	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. M&T Bank Account		None	K	T					
2. M&T Bank Account		None	J	T					
3. IRA Account #2 (H)									
4. - Wells Fargo Bank, N.A. Bank Deposit Sweep	A	Interest	M	T					
5. - Dodge & Cox Intl Stock FD	B	Dividend	L	T					
6. - Natixis FDS TR I Oakmark Intl FD	B	Dividend	L	T					
7. - Matthews Asia Dividend FD	D	Dividend	K	T					
8. - Primecap Odyssey FDS Aggressive Growth FD	C	Dividend	K	T	Sold (part)	09/14/21	K	D	
9. - Primecap Odyssey Growth	C	Dividend	K	T	Sold (part)	09/14/21	J	C	
10. - Dodge & Cox Stock FD	C	Dividend	M	T					
11. - Dodge & Cox Income FD	D	Dividend	M	T	Sold (part)	03/19/21	K	A	
12.					Sold (part)	03/23/21	J	A	
13.					Sold (part)	04/01/21	J	A	
14.					Sold (part)	04/26/21	L	B	
15.					Sold (part)	04/27/21	K	A	
16.					Sold (part)	05/11/21	J	A	
17.					Sold (part)	09/01/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Ambro, Thomas L.	05/11/2022

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18.					Sold (part)	11/22/21	K		
19. - Oakmark Funds Oakmark Global Select Fund Institutional Class	D	Dividend	M	T					
20. - T Rowe Price New Era Fund	C	Dividend	M	T	Buy (add'l)	02/16/21	K		
21.					Buy (add'l)	03/17/21	K		
22. - Invesco Oppenheimer Steelpath MLP Select 40 Fund	B	Dividend			Sold (part)	08/06/21	J		
23.					Sold	10/18/21	K		
24. - Artisan Partners FDS Inc Global Value FD Adv	D	Dividend	L	T					
25. - Guggenheim FDS TR Macro Opportunities FD Instl CL	E	Dividend	O	T	Buy (add'l)	02/16/21	K		
26.					Sold (part)	04/09/21	J	A	
27.					Sold (part)	07/09/21	J	A	
28.					Buy (add'l)	08/23/21	K		
29.					Buy (add'l)	10/20/21	L		
30.					Sold (part)	11/22/21	J	A	
31.					Sold (part)	11/23/21	J	A	
32. - Kinetics MUT FDS Inc Small Cap Opportunities FD Instl CL	A	Dividend	K	T					
33. - Pimco Low Duration Income Fund	D	Dividend	N	T	Sold (part)	01/04/21	K	B	
34.					Sold (part)	01/08/21	J	A	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
35.					Buy (add'l)	02/16/21	K		
36.					Sold (part)	04/06/21	K	A	
37.					Sold (part)	05/12/21	J	A	
38.					Sold (part)	05/14/21	K	A	
39.					Sold (part)	05/25/21	K	A	
40.					Sold (part)	05/28/21	K	A	
41.					Sold (part)	06/01/21	J	A	
42.					Sold (part)	06/24/21	K	A	
43.					Sold (part)	07/14/21	J	A	
44.					Buy (add'l)	08/10/21	M		
45.					Sold (part)	08/20/21	J	A	
46.					Sold (part)	10/25/21	L	A	
47.	- Artisan Intl Small Cap Fund Investor Series	B	Dividend	K	T	Sold (part)	09/14/21	K	D	
48.	- Brown Cap Mgmt Small Co FD Instl CL	C	Dividend	K	T					
49.	- Exxon Mobil Corp	C	Dividend			Sold	10/18/21	M	E	
50.	- Oakmark Funds International Small Cap Fund Institutional CL	A	Dividend	K	T					
51.	- Mowi Asa Spon ADR	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. - Vanguard Specialized Energy FD Admiral Shares	A	Dividend			Sold	08/06/21	J		
53. - Enbridge Inc	D	Dividend			Sold	12/10/21	L	D	
54. - Golar LNG LTD		None			Sold	08/20/21	J		
55. - Hoegh LNG Partners LP	B	Dividend			Sold (part)	02/24/21	K		
56.					Sold	08/20/21	J		
57. - Matthews Asia Funds Innovators Fund Investor CL IV	D	Dividend	K	T	Sold (part)	09/14/21	K	D	
58. - Vanguard World FD Intl Growth FD	B	Dividend	K	T	Sold (part)	09/14/21	J	C	
59. - Wasatch Advisors FDS Core Growth FD	C	Dividend	K	T	Sold (part)	09/14/21	J	C	
60. - Alger Growth Small Cap Focus FD	B	Dividend	K	T	Sold (part)	06/24/21	J	D	
61.					Sold (part)	09/14/21	J	C	
62. - Artisan Partners FDS Inc Mid Cap FD	D	Dividend	K	T	Sold (part)	03/17/21	K	D	
63.					Buy (add'l)	05/18/21	J		
64.					Sold (part)	09/14/21	K	E	
65. - Goldman Sachs Funds GQG Ptrns Int Opptys FD	B	Dividend	M	T					
66. - Hannon Arrmstrong Sustainable Infrastructure Capital Inc	A	Dividend	K	T	Sold (part)	01/22/21	K	E	
67. - Polen Growth Fund Institutional Class	B	Dividend	L	T	Sold (part)	08/06/21	K	E	
68. - Texas PAC LD Corp TPL	A	Dividend	L	T					

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69. - ARK ETF TR Genomic Revolution Multi Sector		None			Sold (part)	01/22/21	L	E	
70.					Sold	08/23/21	K	E	
71. - Brookfield Infrastructure Corp Com Sub VTG Shs CL A	A	Dividend	K	T					
72. - Chevron Corporation CVX	B	Dividend			Sold	10/18/21	L	E	
73. - Dominion Energy Inc	A	Dividend			Sold	12/03/21	K	B	
74. - Energy Transfer LP IT	B	Distribution			Sold	12/03/21	K	D	
75. - Enterprise Products Partners EPD	D	Distribution			Sold	12/03/21	L	E	
76. - Etsy Inc		None	M	T	Buy (add'l)	05/07/21	J		
77.					Buy (add'l)	06/01/21	J		
78. - Invesco QQQ TR Unit Ser 1		None			Sold	01/22/21	K	E	
79. - Mercadolibre Inc		None	L	T	Buy (add'l)	05/12/21	J		
80.					Buy (add'l)	10/25/21	J		
81.					Buy (add'l)	12/27/21	J		
82. - Microsoft Corp	A	Dividend	K	T					
83. - Block Inc CL A		None	K	T	Sold (part)	01/25/21	K	E	
84.					Sold (part)	12/27/21	K	D	
85. - Walker & Dunlop Inc	A	Dividend	L	T	Buy (add'l)	05/14/21	K		

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86. - WSFS Financial Corp	A	Dividend	L	T	Buy (add'l)	09/01/21	J		
87. - Blackrock Funds Technology Opportunities Fund Inst CL	C	Dividend	K	T	Sold (part)	01/22/21	L	E	
88.					Sold (part)	08/06/21	K	D	
89.					Sold (part)	09/14/21	J	D	
90. - Carillon Ser TR Reams Cor BD FD CL 1	A	Dividend	J	T	Sold (part)	02/17/21	K		
91.					Sold (part)	03/01/21	J		
92.					Sold (part)	03/02/21	K		
93.					Sold (part)	03/17/21	L		
94. - Morgan Stanley Instl FD Cap Growth Port CL 1	D	Dividend	K	T	Sold (part)	01/26/21	K	D	
95.					Sold (part)	08/06/21	K	E	
96. - Morgan Stanley Instl Inc Global Oppty Port Class Instl	B	Dividend	K	T					
97. - FPA FDS TR FPA Crescent Portfolio Instl CL	C	Dividend	K	T					
98. - Wasatch Funds Small Cap Growth Fund Institutional Class SH	C	Dividend	K	T					
99. - Artisan Funds Developing World Fund Advisor Class Shares	B	Dividend	K	T	Sold (part)	09/14/21	J	B	
100. - Vanguard Health Care Fund Admiral Shares	A	Dividend			Sold (part)	05/07/21	J	A	
101.					Sold	05/18/21	L	C	
102. - Vanguard Wellesley Income FD Admiral Share	B	Dividend	K	T					

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A	B		C		D				
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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
103. - Cadence Design Systems Inc		None	J	T	Buy	06/24/21	J		
104. - First TR Nasdaq Cybersecurity	A	Dividend	K	T	Buy	06/10/21	K		
105.					Buy (add'l)	12/03/21	J		
106. - First Trust Utilities Alphadex Fund	A	Dividend	K	T	Buy	12/03/21	K		
107. - Fiverr Incl Ltd Ord Shs		None	J	T	Buy	01/04/21	J		
108.					Buy (add'l)	04/01/21	J		
109.					Buy (add'l)	08/06/21	J		
110.					Sold (part)	11/24/21	J		
111. - Fulgent Genetics Inc		None	J	T	Buy	01/04/21	J		
112. - iShares Phlx Semiconductor ETF	A	Dividend	K	T	Buy	12/03/21	K		
113. - Lexicon Pharmaceuticals		None	J	T	Buy	03/01/21	J		
114. - Listed FDS TR ETF Horizon Kinetics Inflation Eneficiaries Infl	A	Dividend	K	T	Buy	10/18/21	K		
115. - Shopify Inc CL A		None	J	T	Buy	10/11/21	J		
116. - Snowflake Inc CL A		None	K	T	Buy	05/11/21	J		
117.					Buy (add'l)	08/23/21	J		
118. - TotalEnergies SE ADR	C	Dividend	K	T	Buy	02/17/21	K		
119.					Buy (add'l)	02/24/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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120.					Sold (part)	04/01/21	J	A	
121.					Sold (part)	08/06/21	J	A	
122. - Upstart Holdings Inc		None	L	T	Buy	07/14/21	J		
123.					Buy (add'l)	08/06/21	K		
124.					Buy (add'l)	11/22/21	J		
125.					Buy (add'l)	11/23/21	J		
126. - Vanguard Energy ETF	B	Dividend	M	T	Buy	12/03/21	M		
127. - Alger Mid Cap Focus FD	C	Dividend	K	T	Buy	06/24/21	K		
128. - FMI Funds FMI CS FD Institutional Class	B	Dividend	K	T	Buy	11/23/21	K		
129. - Oakmark Fund Investor Class	A	Dividend	K	T	Buy	11/22/21	K		
130. -Neuberger Berman Large Cap Value Fund Institutional CL	B	Dividend	K	T	Buy	03/17/21	K		
131. - Parnassus Funds Endeavor Fund CL Institutional	D	Dividend	L	T	Buy	04/06/21	K		
132.					Buy (add'l)	04/26/21	K		
133.					Sold (part)	06/24/21	K	B	
134.					Sold (part)	11/22/21	K		
135. - Pimco Commodity Real Return Strat FD Instl CL	A	Dividend	M	T	Buy	09/14/21	L		
136. - T Rowe Price Intl FDS Inc Global Stock FD		None	K	T	Buy	02/17/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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137.					Sold (part)	05/16/21	K		
138.					Buy (add'l)	12/27/21	K		
139. - T Rowe Price Mid Cap Value	D	Dividend	L	T	Buy	01/28/21	J		
140.					Buy (add'l)	02/16/21	K		
141.					Buy (add'l)	05/18/21	K		
142. - Artisan Partners FDS Inc Intl Value FD ADV Sh	B	Dividend	K	T	Buy	03/17/21	K		
143. - Vanguard World FDS Energy Index FD Admiral Shs	B	Dividend	M	T	Buy	10/19/21	M		
144. - Vanguard Wellington FD Admiral Shs	B	Dividend	K	T	Buy	10/25/21	K		
145. - Appian Corp Class A		None			Buy	01/04/21	J		
146.					Buy (add'l)	09/01/21	J		
147.					Sold	12/03/21	J		
148. - Lemonade Inc		None			Buy	01/04/21	J		
149.					Buy (add'l)	03/22/21	J		
150.					Buy (add'l)	03/31/21	J		
151.					Buy (add'l)	09/01/21	J		
152.					Buy (add'l)	10/25/21	J		
153.					Buy (add'l)	11/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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154.					Sold	12/27/21	K		
155. - Cloudflare Inc CL A		None			Buy	01/04/21	J		
156.					Sold	12/03/21	J	D	
157. - Artisan Funds Small Cap FD Advisor CL Shs		None			Buy	01/27/21	J		
158.					Sold	05/18/21	J		
159. - Starbucks	A	Dividend			Buy	02/02/21	K		
160.					Sold	12/03/21	K	C	
161. - TechnipFMC PLC		None			Buy	03/05/21	J		
162.					Sold	07/14/21	J		
163. - Coupang Inc		None			Buy	03/17/21	K		
164.					Buy (add'l)	08/20/21	J		
165.					Sold	11/22/21	K		
166. - Schlumberger LTD	A	Dividend			Buy	03/19/21	K		
167.					Buy (add'l)	04/27/21	K		
168.					Buy (add'l)	05/25/21	K		
169.					Buy (add'l)	08/20/21	K		
170.					Sold	12/03/21	M	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
171. - Intel Corp	A	Dividend			Buy	05/28/21	K		
172.					Buy (add'l)	11/22/21	K		
173.					Sold	12/03/21	K		
174. - Vanguard Healthcare Index FD	A	Dividend			Buy	05/18/21	K		
175.					Sold (part)	06/10/21	K	A	
176.					Sold	08/06/21	K	B	
177. - Fastly Inc CL A		None			Buy	09/01/21	J		
178.					Sold	10/11/21	J		
179. - Qual Comm Inc	A	Dividend			Buy	11/22/21	J		
180.					Sold	12/03/21	J		
181. Trust Account #12 (H)									
182. - Dodge & Cox Intl Stock FD	B	Dividend	K	T	Sold (part)	09/01/21	J	A	
183. - Matthews Asia Dividend FD	D	Dividend	K	T					
184. - Primecap Odyssey FDS Aggressive Growth FD	C	Dividend	K	T	Sold (part)	03/22/21	J	C	
185. - Dodge & Cox Stock Fund	A	Dividend	L	T					
186. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	J	T					
187. - Pimco Multi-MGR Short Duration Muni Inc Fund Inst CL	A	Dividend			Sold (part)	01/04/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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188.					Sold (part)	01/11/21	J	A	
189.					Sold (part)	02/16/21	J	A	
190.					Sold (part)	03/01/21	J	A	
191.					Sold (part)	03/11/21	J	A	
192.					Sold	03/22/21	J	A	
193. - Oakmark Funds Oakmark Global Select Fund Institutional Class	B	Dividend	K	T					
194. -Brown Cap Mgmt Mut FDS Brown Cap Mgmt Small Co FD	A	Dividend	J	T					
195. - Enbridge Inc	B	Dividend			Sold	12/10/21	K	C	
196. - Enterprise Products Partners	C	Distribution			Sold	12/10/21	K		
197. - Energy Trsnsfer Partners	B	Distribution			Sold	12/10/21	K		
198. - Johnson & Johnson	A	Dividend	K	T					
199. - Microsoft Corp	A	Dividend	M	T					
200. - Rio Tinto Plc Spon Adr	C	Dividend	K	T					
201. - Vanguard Funds Dividend Growth Fund Investor Class	B	Dividend	K	T					
202. - Vanguard Sprcialized Portfolios Energy Port Investor Class	C	Dividend	L	T	Sold (part)	01/08/21	J		
203.					Sold (part)	04/08/21	J		
204.					Sold (part)	07/09/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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205.					Buy (add'l)	08/10/21	K		
206. - Mainstay CBRE Global Infrastructure Fund Class 1	A	Dividend	K	T					
207. - Invesco Oppenheimer Steelpath MLP Alpha Fund		None			Sold (part)	07/06/21	J		
208.					Sold	08/10/21	K		
209. - Goldman Sachs Fund GQG Ptnrs Intl Opptys FD	A	Dividend	K	T					
210. - Core Laboratories Inc	A	Dividend	J	T					
211. - Dominion Energy Inc	A	Dividend			Sold	12/03/21	J		
212. - Etsy Inc		None	K	T					
213. - Magellan Midstream Prtns MMP	C	Distribution	K	T					
214. - Alger Growth Small Cap Focus FD	B	Dividend	K	T	Buy (add'l)	01/05/21	J		
215.					Buy (add'l)	02/05/21	J		
216. - Morgan Stanley Instl FD Inc Cap Growth Port CL 1	C	Dividend	K	T	Buy (add'l)	01/05/21	J		
217.					Buy (add'l)	03/05/21	J		
218. - Morgan Stanley Insight Fund Class 1	A	Dividend	J	T	Sold (part)	05/13/21	J		
219. - Fastly Inc CL A		None	J	T	Buy	09/01/21	J		
220.					Sold (part)	10/11/21	J		
221.					Buy (add'l)	10/20/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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222. - Mercadolibre Inc		None	J	T	Buy	05/13/21	J		
223. - Sea LTD Unspon ADR		None	K	T	Buy	11/26/21	K		
224.					Buy (add'l)	12/14/21	K		
225. - Upstart Holdings Inc		None	J	T	Buy	07/06/21	J		
226. - Alger Mid Cap Focus FD		None	J	T	Buy	12/17/21	J		
227. - Invesco Steelpath MLP Select 40 Fund		None	M	T	Buy	12/10/21	L		
228. - Wasatch fnds Small Cap Growth FD Institutional CL	C	Dividend	K	T	Buy	04/05/21	J		
229.					Buy (add'l)	05/05/21	J		
230.					Buy (add'l)	06/07/21	J		
231.					Buy (add'l)	07/06/21	J		
232.					Buy (add'l)	08/05/21	J		
233.					Buy (add'l)	09/07/21	J		
234.					Buy (add'l)	10/05/21	J		
235.					Buy (add'l)	11/05/21	J		
236.					Buy (add'l)	12/17/21	J		
237. - Artisan Funds Developing World Fund Advisor CL	B	Dividend	K	T	Buy	02/08/21	J		
238.					Buy (add'l)	03/05/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
239.					Buy (add'l)	04/05/21	J		
240.					Buy (add'l)	05/05/21	J		
241.					Buy (add'l)	06/07/21	J		
242.					Buy (add'l)	07/06/21	J		
243.					Buy (add'l)	08/05/21	J		
244.					Buy (add'l)	09/07/21	J		
245.					Buy (add'l)	10/05/21	J		
246.					Buy (add'l)	11/05/21	J		
247. - Ceva Inc		None			Buy	02/22/21	J		
248.					Buy (add'l)	03/01/21	J		
249.					Sold	05/13/21	J		
250. - Lemonade Inc					Buy	03/22/21	J		
251.					Sold	10/20/21	J		
252. Springside, LLC (2000 - $300,000, 2012- $20,123)	E	Rent	P1	W					
253. PCM Family LLC		None	M	W					
254. The Sequoia Fund	E	Dividend	M	T	Buy (add'l)	01/04/21	J		
255.					Buy (add'l)	02/01/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
256.					Buy (add'l)	03/01/21	J		
257.					Buy (add'l)	04/01/21	J		
258.					Buy (add'l)	05/03/21	J		
259.					Buy (add'l)	06/01/21	J		
260.					Buy (add'l)	07/01/21	J		
261.					Buy (add'l)	08/02/21	J		
262.					Buy (add'l)	09/01/21	J		
263.					Buy (add'l)	10/01/21	J		
264.					Buy (add'l)	11/01/21	J		
265.					Buy (add'l)	12/01/21	J		
266. Trust #18 (H)									
267. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	K	T					
268. - West Wilson Util Dist TN WTR WKS Rev	B	Interest	K	T					
269. - Montana ST BRD Regents High Ed Rev	B	Interest			Sold	11/15/21	K		
270. - Ocean Cnty NJ RFDG SER A G/O Unl TD	B	Interest	L	T					
271. - Metropolitan Transn Auth NY Rev	C	Interest	L	T					
272. - New Mexico Fin Auth Rev SR Lien Pub Proj Rvlvng FD Ser C	C	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
273. - New York ST Envmntl Facs Corp Rev ST Ser D	B	Interest	L	T					
274. - Central Puget Sound WA Regl Tran Auth Sales & Use Tax Rev	C	Interest	L	T					
275. - New York ST Dorm Uth Revs Non ST Supported Debt CPN	B	Interest	L	T					
276. - USF Fing Corp FL CTF Partn Rfdg Mstr Lse PG Ser	B	Interest	L	T					
277. - Harris Cnty TX Cultural Ed Facs Fin Corp Med FA CS Rev	A	Interest	K	T					
278. - Salt Riv Proj Agric Impt & PWR Dist AZ Elec SY S Rev BD	C	Interest	L	T					
279. - Huntsville AL GO WTS 2018 A G/O	A	Interest	K	T					
280. - Jefferson Cnty Co Sch Dist R G/O	B	Interest	L	T					
281. - York County PA GO RFDG BDS 2017 G/O	C	Interest	L	T					
282. - Westfield IN High Sch 1995 Building Corp Unltd	B	Interest	L	T					
283. - Clark Cnty VN Arpt Rev SYS BDS McCarran Intl	B	Interest	L	T					
284. - New Jersey St Trans TR FD Auth Trans Ser B	A	Interest			Sold	06/15/21	K		
285. - Port Auth NY & NJ Cons BDS	B	Interest	K	T					
286. - Viridian Mun Mgmt Dist TX Unl TD Tax Util Impt BDS	B	Interest	K	T					
287. - Delaware River JT Toll Brdg Commission PA Rev		None	K	T	Buy	08/09/21	K		
288. Trust #19 (H)									
289. - Wells Fargo Bank, N.A. Bank Deposit Sweep	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
290. - iShares Core S&P 500 Index FD	A	Dividend	K	T	Sold (part)	04/01/21	J	C	
291.					Sold (part)	08/25/21	J	A	
292. - Vanguard FTSE Developed Markets ETF	A	Dividend	K	T	Sold (part)	01/05/21	J	B	
293.					Buy (add'l)	07/21/21	K		
294.					Sold (part)	08/25/21	J	A	
295. - Goldman Sachs ETF US Large Cap	A	Dividend	K	T	Sold (part)	08/25/21	J	A	
296. - iShares Russell 2000 ETF	A	Dividend	K	T	Buy (add'l)	01/05/21	J		
297.					Buy (add'l)	04/01/21	J		
298.					Sold (part)	08/25/21	J	A	
299. - iShares Core S&P Mid ETF	A	Dividend	J	T	Sold (part)	04/01/21	J	B	
300.					Sold (part)	08/25/21	J	A	
301. - iShares Core US Aggregate Bond ETF	B	Dividend	L	T	Buy (add'l)	01/05/21	J		
302.					Buy (add'l)	04/01/21	J		
303.					Sold (part)	08/25/21	J	A	
304. - iShares Edge MSCI Min Vol USA ETF	A	Dividend			Sold	04/01/21	J	A	
305. - iShares iBoxx High Yield Corp Bond ETF	A	Dividend			Sold	04/01/21	J		
306. - iShares Inc Core MSCI Emerging Mkts ETF	A	Dividend	K	T	Buy (add'l)	01/05/21	J		

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307.					Buy (add'l)	04/01/21	J		
308.					Sold (part)	08/25/21	J	A	
309. - iShares JP Morgan USD Emerging Markets Bond ETF	A	Dividend	J	T	Buy (add'l)	04/01/21	J		
310.					Sold (part)	08/25/21	J		
311. - iShares MBS ETF	A	Dividend			Sold	07/21/21	J	A	
312. - Sector SPDR TR Technology Select Sector ETF	A	Dividend	K	T	Sold (part)	01/05/21	J	B	
313.					Sold (part)	08/25/21	J	A	
314. - Select Sector SPDR Consumer Discretionary ETF	A	Dividend			Sold	07/21/21	J	C	
315. - Vanguard Intermediate Term Corp Bond ETF	A	Dividend	J	T	Sold (part)	08/25/21	J	A	
316. - Xtrackers USD High Yield Corporate Bond ETF	B	Dividend	K	T	Sold (part)	07/21/21	J	A	
317.					Sold (part)	04/01/21	J		
318.					Sold (part)	07/21/21	J	A	
319.					Sold (part)	08/25/21	J	A	
320. - Select Sector SPDR ETF Comunication SVCS	A	Dividend	J	T	Sold (part)	04/01/21	J	B	
321. - Vanguard Health Care ETF	A	Dividend	J	T					
322. - Vanguard Mid Cap ETF	A	Dividend	K	T	Sold (part)	07/21/21	J	B	
323.					Sold (part)	08/25/21	J	A	

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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324. - Vanguard Financials ETF	A	Dividend	J	T	Buy	04/01/21	J		
325.					Sold (part)	08/25/21	J	A	
326. - Vanguard Industrials ETF	A	Dividend	J	T	Buy	07/21/21	J		
327.					Sold (part)	08/25/21	J	A	
328. - Invesco Optimum TLD ETF Diversified Commodity Strategy		None	J	T	Buy	07/21/21	J		
329. IRA #6 (H)									
330. - Eaton Vance Mutual FDS Trust Global Macro Abslt Return Advtg FD		None			Buy (add'l)	08/17/21	J		
331.					Sold	10/12/21	K	A	
332. - MFS Ser TR I Value FD	A	Dividend	K	T	Sold (part)	08/16/21	J	A	
333. - MFS Ser TR X Emerging Mkts Debt FD CL I	A	Dividend	K	T	Buy (add'l)	08/16/21	J		
334. - American Europacific Growth FU CL F2	C	Dividend	K	T	Sold (part)	08/16/21	J	C	
335.					Buy (add'l)	10/13/21	J		
336. - Invesco Oppenheimer Dev Mkts CL Y	B	Dividend	K	T	Sold (part)	08/16/21	J		
337. - Principal FDS Inc High Yield FD Instl CL	B	Dividend	K	T	Buy (add'l)	08/16/21	J		
338. - Allspring Special Mid Cap Value FD Class Instl	C	Dividend	K	T	Buy (add'l)	08/16/21	J		
339. - Baird FDS Inc Aggregate BD FD Instl SHS	A	Dividend	L	T	Buy (add'l)	08/16/21	K		
340. - Fidelity 500 Index Fund	A	Dividend	L	T	Buy (add'l)	08/16/21	J		

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341. - Goldman Sachs TR Finl Square Treas Instrs FD	A	Dividend	J	T	Sold (part)	01/08/21	J		
342.					Sold (part)	04/09/21	J		
343.					Sold (part)	07/09/21	J		
344.					Buy (add'l)	08/16/21	J		
345.					Buy (add'l)	08/17/21	J		
346.					Sold (part)	10/08/21	J		
347. - Harbor Fund Small Cap Value FD Instl	B	Dividend	K	T	Sold (part)	08/16/21	J	A	
348. - Meridian FD Inc Small Cap Growth FD Investor	C	Dividend	K	T	Sold (part)	08/16/21	K	D	
349. - Metropolitan West FDS Total Return BD FD	A	Dividend	L	T	Buy (add'l)	08/16/21	J		
350.					Sold (part)	09/17/21	K		
351. - Principal FDS Inc Midcap Fund Instl Class	B	Dividend	K	T	Sold (part)	08/16/21	K	D	
352. - Blackrock Core Bond Portfolio Institutional Class	A	Dividend			Sold	08/16/21	K		
353. - Abbey Capital Futures Strategy Fund Class	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
354. - Diamond Hill FDS Large Cap FD CL 1	B	Dividend	K	T	Sold (part)	08/16/21	J	B	
355. - Mainstay Winslow Large Cap Growth Fund	D	Dividend	K	T	Sold (part)	08/19/21	J	C	
356. - American Beacon FDS AHL Managed Futures Strategy FD	B	Dividend	K	T	Buy	10/12/21	K		
357. - Pimco FDS Pac Onvt Mgmt Ser Total Return FD	A	Dividend	K	T	Buy	09/17/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Ambro, Thomas L.	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
358. - Pimco Commodity Real Return Strategy FD Instl CL	A	Dividend	J	T	Buy	08/16/21	J		
359. - T Rowe Price Intl FD Overseas Stk FD	A	Dividend	K	T	Buy	08/16/21	K		
360. Roth IRA #1 (H)									
361. - Enbridge Inc	A	Dividend			Sold	08/09/21	J	A	
362. - ARK Autonomous ETF Technology & Robotics		None			Buy (add'l)	01/05/21	J		
363.					Sold	01/27/21	J	C	
364. - ARK ETF TR Genomic Revolution Multi Sector		None			Buy (add'l)	01/05/21	J		
365.					Sold	01/27/21	J	D	
366. - Core Laboratories Inc		None	K	T	Buy	12/22/21	K		
367. - Upstart Holdings Inc		None	K	T	Buy	08/09/21	J		
368.					Buy (add'l)	12/10/21	J		
369. - AMG Funds Yacktman Focused Fund		None			Buy	01/27/21	K		
370.					Sold	12/03/21	K	C	
371. Roth IRA #2 (H)									
372. - Exxon Mobil Corp	A	Dividend			Sold	08/09/21	J		
373. - Brown Advisory FDS Adisory Small Cap Growth FD	A	Dividend	J	T					
374. - Enbridge Inc	A	Dividend			Sold	08/09/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Ambro, Thomas L.	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
375. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	J	T					
376. - Center Coast Brookfield Midstream Focus Fund	A	Dividend	J	T					
377. - Mercadolibre Inc		None	J	T	Buy	08/09/21	J		
378. - Upstart Holdings Inc		None	J	T	Buy	08/09/21	J		
379. - AMG Funds Yacktman Focused Fund	A	Dividend	J	T	Buy	01/27/21	J		
380. - ARK Autonomous ETF Technology & Robotics		None			Buy	01/05/21	J		
381.					Sold	01/27/21	J	A	
382. - ARK ETF TR Genomic Revolution Multi Sector		None			Buy	01/05/21	J		
383.					Sold	01/27/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Asset listed in Part Vii, Line 252, has rental activity from property located near Newark, DE.

Asset listed in Part VII, Line 253, has rental activity from property located in New Castle County, DE.

Asset listed in Part VII, Line 19, is now Oakmark Funds Oakmark Global Select Fund Institutional Class. Harris Assoc Invt TR Oakmark Global Select FD from prior year report was exchnaged on 4/27/21 for Oakmark Funds Oakmark Global Select Fund Institutional Class.

Asset listed in Part VII, Line 50, is now Oakmark Funds International Small Cap Fund Institutional Class. Oakmark International Small Cap FD Advisor CL from prior year report was exchanged on 4/27/21 for Oakmark Funds International Small Cap Fund Institutional Class.

Asset listed in Part VII, Line 68, is now Texas PAC LD Corp TPL. Tex PAC Land TR Sub SH CTF Proprietary Unit from prior year report was exchanged on 1/11/21 for Texas PAC LD Corp TPL.

Asset listed in Part VII, Line 83, changed name from Square Inc to Block Inc.

Asset listed in Part VII, Line 193, is now Oakmark Funds Oakmark Global Select Fund Institutional Class. Oakmark Global Select Fund Advisor Class from prior year report was exchanged on 4/27/21 for Oakmark Funds Oakmark Global Select Fund Institutional Class.

Asset listed in Part VII, Line 338, had a name change from Wells Fargo Funds TR Special Mid Cap Value FD CL I to Allspring Special Mid Cap Value FD Class Instl.

Name of Person Reporting	Date of Report
Ambro, Thomas L.	05/11/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas L. Ambro

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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