AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ambro, Thomas L.	U.S. Court of Appeals, 3rd Cir	05/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active (Senior status as of 2/6/23)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Federal Courthouse 844 N. King Street, Lock Box 32 Wilmington, DE 19801		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Thomas L. Ambro Revocable Trust
2.	Member (Emeritus)	Georgetown University Alumni Admissions Program Board of Advisors
3.	Member	Georgetown University Northern Delaware Alumni Admissions Program
4.	Member	Georgetown University Law Center Board of Visitors
5.	Member	Board of Editors, Delaware Lawyer
6.	Member, Advisory Board	Working Group on Legal Opinions Foundation
7.	Member, Editorial Board	Delaware Law Review

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Columbia Law School	November 14-15, 2023	New York, NY	Lecture and speaking to students	Transportation, lodging and meals
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Ambro, Thomas L.	05/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	M&T Bank Account		None	L	T					
2.	M&T Bank Account		None	J	T					
3.	IRA Account #2 (H)									
4.	- Wells Fargo Bank, N.A. Bank Deposit Sweep	A	Interest	J	T					
5.	- Primecap Odyssey FDS Aggressive Growth FD	C	Dividend	L	T	Buy (add'l)	07/17/23	K		
6.	- Primecap Odyssey Growth	D	Dividend	K	T					
7.	- Dodge & Cox Stock FD	C	Dividend	M	T					
8.	- Oakmark Funds Oakmark Global Select Fund Institutional Class		None	M	T	Sold (part)	11/16/23	L	E	
9.	- T Rowe Price New Era Fund	E	Dividend	M	T	Sold (part)	07/17/23	K	D	
10.						Sold (part)	11/17/23	K	D	
11.	- Artisan Partners FDS Inc Global Value FD Adv	C	Dividend	M	T	Buy (add'l)	01/09/23	J		
12.	- Guggenheim FDS TR Macro Opportunities FD Instl CL	C	Dividend			Sold	01/06/23	N		
13.	- Kinetics MUT FDS Inc Small Cap Opportunities FD Instl CL	B	Dividend	K	T					
14.	- Pimco Low Duration Income Fund	E	Dividend	N	T	Sold (part)	07/17/23	L		
15.	- Artisan Intl Small Cap Fund Investor Series	A	Dividend	J	T					
16.	- Brown Cap Mgmt Small Co FD Instl CL	B	Dividend	K	T					
17.	- Mowi Asa Spon ADR	A	Dividend			Sold	03/20/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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18. - Wasatch Advisors FDS Core Growth FD		None	K	T					
19. - Alger Growth Small Cap Focus FD		None	K	T					
20. - Artisan Partners FDS Inc Mid Cap FD		None			Sold	01/06/23	K		
21. - Polen Growth Fund Institutional Class		None	K	T					
22. - Texas PAC LD Corp TPL	A	Dividend	L	T					
23. - Etsy Inc		None			Sold	08/08/23	J		
24. - Mercadolibre Inc		None	L	T					
25. - Microsoft Corp	A	Dividend	L	T					
26. - WSFS Financial Corp	A	Dividend			Sold (part)	03/22/23	K	D	
27.					Sold	03/28/23	K	B	
28. - Blackrock Funds Technology Opportunities Fund Inst CL		None	M	T	Buy (add'l)	07/17/23	L		
29. - Morgan Stanley Instl Inc Global Oppty Port Class Instl	A	Dividend	K	T					
30. - FPA FDS TR FPA Crescent Portfolio Instl CL	C	Dividend	M	T	Buy (add'l)	03/20/23	K		
31.					Buy (add'l)	07/17/23	K		
32.					Buy (add'l)	07/18/23	K		
33. - Wasatch Funds Small Cap Growth Fund Institutional Class SH		None	J	T					
34. - Artisan Funds Developing World Fund Advisor Class Shares		None			Sold	01/05/23	K		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. - First TR Nasdaq Cybersecurity	A	Dividend	K	T					
36. - iShares Phlx Semiconductor ETF	A	Dividend	L	T					
37. - Listed FDS TR ETF Horizon Kinetics Inflation Beneficiaries Infl	A	Dividend			Buy (add'l)	01/06/23	M		
38.					Sold	06/15/23	M		
39. - Snowflake Inc CL A		None	L	T	Buy (add'l)	01/05/23	K		
40. - TotalEnergies SE ADR	C	Dividend	L	T					
41. - Vanguard Energy ETF	D	Dividend	M	T					
42. - Alger Mid Cap Focus FD		None			Sold	09/25/23	J		
43. - FMI Funds FMI CS FD Institutional Class	C	Dividend	M	T	Buy (add'l)	06/15/23	M		
44. - Oakmark Fund Investor Class	A	Dividend	K	T					
45. -Neuberger Berman Large Cap Value Fund Institutional CL	A	Dividend	K	T					
46. - Pimco Commodity Real Return Strat FD Instl CL	C	Dividend	L	T					
47. - T Rowe Price Intl FDS Inc Global Stock FD	A	Dividend	K	T					
48. - Vanguard World FDS Energy Index FD Admiral Shs	D	Dividend	M	T	Sold (part)	08/16/23	K	D	
49. - Vanguard Wellington FD Admiral Shs	C	Dividend	L	T					
50. - Adyen N V ADR		None			Sold	03/06/23	K		
51. - Global X FDS X MLP ETF New	D	Dividend			Buy (add'l)	01/06/23	M		

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52.					Sold (part)	05/03/23	M		
53.					Sold	07/17/23	M	C	
54. - Invesco QQQ TR Unit Ser 1	A	Dividend			Sold	08/16/23	K	D	
55. - Vanguard Mid-Cap Val ETF Index Fund	B	Dividend			Sold	11/13/23	L		
56. - Vanguard Value ETF	B	Dividend	L	T					
57. - Dodge & Cox Global Stock Fund Class I	B	Dividend	L	T					
58. - T Rowe Price All Cap Opportunities Fund investor Class	D	Dividend	M	T	Buy (add'l)	06/15/23	M		
59.					Buy (add'l)	08/16/23	K		
60.					Buy (add'l)	09/26/23	J		
61. - Smead FDS TR Value FD Inst CL	B	Dividend	L	T					
62. - T Row Price Mid-Cap Growth Fund Inc	B	Dividend	K	T					
63. - Vanguard Funds Dividend Growth Fund Investor Class	A	Dividend	K	T					
64. - Allspring Money Market Fund Class Premier	A	Dividend			Sold	03/20/23	J		
65. - Albemarle Corp	A	Dividend	J	T	Buy	05/23/23	K		
66. - Hudson Technologies Inc		None	K	T	Buy	03/24/23	K		
67. - Vanguard Large Cap	A	Dividend	L	T	Buy	11/13/23	L		
68. - Jensen Portfolio Inc Class I	C	Distribution	L	T	Buy	07/17/23	K		

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69. - FMI Funds Large Cap Fund Institutional Class	D	Dividend	M	T	Buy	06/15/23	M		
70. - Oakmark Select Fund Institutional Class		None	M	T	Buy	11/16/23	L		
71. - Allspring 100% Treasury Money Mkt Fund	D	Dividend	M	T	Buy	03/20/23	L		
72.					Buy (add'l)	06/15/23	M		
73.					Buy (add'l)	07/18/23	L		
74.					Buy (add'l)	08/14/23	J		
75.					Sold (part)	10/13/23	J		
76.					Sold (part)	11/28/23	M		
77. - PGIM Jenn Glbl Opportunities CL		None	L	T	Buy	11/17/23	K		
78. - Shopify Inc Cl A		None	K	T	Buy	08/16/23	K		
79. - T Rowe Price New Horizons Fund Inc		None	J	T	Buy	05/03/23	J		
80. - Global X FDS ETF Global X Lithium & Battery Tech		None			Buy	01/09/23	K		
81.					Sold	05/03/23	K		
82. Trust Account #12 (H)									
83. - Primecap Odyssey FDS Aggressive Growth FD	C	Dividend	L	T					
84. - Dodge & Cox Stock Fund	C	Dividend	L	T					
85. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	J	T					

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86. - Oakmark Funds Oakmark Global Select Fund Institutional Class	A	Dividend	K	T					
87. -Brown Cap Mgmt Mut FDS Brown Cap Mgmt Small Co FD	A	Dividend	J	T					
88. - Microsoft Corp	B	Dividend	M	T					
89. - Rio Tinto Plc Spon Adr	B	Dividend			Sold	03/20/23	K	D	
90. - Vanguard Funds Dividend Growth Fund Investor Class	A	Dividend	K	T					
91. - Vanguard Sprcialized Portfolios Energy Port Investor Class	D	Dividend	L	T	Sold (part)	12/05/23	J		
92. - Mainstay CBRE Global Infrastructure Fund Class 1	A	Dividend			Sold	07/17/23	K	D	
93. - Core Laboratories Inc	A	Dividend			Sold	03/02/23	K	D	
94. - Alger Growth Small Cap Focus FD		None	J	T					
95. - Mercadolibre Inc		None	K	T					
96. - Alger Mid Cap Focus FD		None			Buy (add'l)	01/05/23	J		
97.					Sold	09/25/23	K		
98. - Wasatch Funds Small Cap Growth FD Institutional CL		None	K	T					
99. - Shopify Inc Cl A		None	K	T	Buy (add'l)	12/05/23	J		
100. - Vanguard Information ETF Technology	A	Dividend	K	T	Buy (add'l)	07/18/23	K		
101. - Dodge & Cox Global Stock Fund Class I	A	Dividend	K	T					
102. - Neuberger Berman Large Cap Value Fund Instl Cl Shs	B	Dividend	K	T					

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103. - T Rowe Price Intl FDS Inc Global Stock Fund	A	Dividend	L	T	Buy (add'l)	03/06/23	K		
104.					Buy (add'l)	04/05/23	J		
105.					Buy (add'l)	05/05/23	J		
106.					Buy (add'l)	06/05/23	J		
107.					Buy (add'l)	07/05/23	J		
108.					Buy (add'l)	08/07/23	J		
109.					Buy (add'l)	09/05/23	J		
110.					Buy (add'l)	10/05/23	J		
111.					Buy (add'l)	11/06/23	J		
112.					Buy (add'l)	12/05/23	J		
113. - T Rowe Price All Cap Opportunities Fund Investor Class Shs	C	Dividend	L	T	Buy (add'l)	01/05/23	J		
114.					Buy (add'l)	02/06/23	J		
115.					Buy (add'l)	03/06/23	J		
116.					Buy (add'l)	04/05/23	J		
117.					Buy (add'l)	05/05/23	J		
118.					Buy (add'l)	06/05/23	J		
119.					Buy (add'l)	07/05/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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120.					Buy (add'l)	08/07/23	J		
121.					Buy (add'l)	09/05/23	J		
122.					Buy (add'l)	10/05/23	J		
123.					Buy (add'l)	11/06/23	J		
124.					Buy (add'l)	12/05/23	J		
125.	- T Rowe Price Mid-Cap Growth Fund Inc	A	Dividend	J	T	Buy (add'l)	01/05/23	J	
126.						Buy (add'l)	02/06/23	J	
127.	- Wasatch Funds Core Growth Fund Institutional Class I		None	J	T				
128.	- Allsprings 100% Treasury Money Mkt Fund	C	Dividend	M	T	Buy	03/20/23	M	
129.						Buy (add'l)	06/15/23	K	
130.						Buy (add'l)	12/08/23	L	
131.						Sold (part)	03/20/23	L	
132.	- Advisors Inner Cir II Champlain Mid Cap FD Instl Shs	A	Dividend	K	T	Buy	09/26/23	K	
133.	- Cal-Maine Foods Inc	A	Dividend			Buy	04/04/23	K	
134.						Sold	05/03/23	K	
135.	Springside, LLC (2000 - $300,000, 2012- $20,123)	D	Rent	P1	W				
136.		C	Interest						

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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137. PCM Family LLC	C	Dividend	M	W					
138. The Sequoia Fund		None	M	T					
139. Trust #18 (H)									
140. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	J	T					
141. - Energy Transfer LP	E	Distribution	N	T	Buy (add'l)	01/04/23	K		
142. - Listed FDS TR Horizon Kinetics Inflation Beneficiaries Infl	B	Dividend			Buy (add'l)	01/06/23	L		
143.					Sold	06/29/23	M		
144. - FMI Funds FMI Common Stock Fund Institutional Class Shs	C	Dividend	M	T	Buy (add'l)	01/06/23	K		
145.					Sold (part)	06/29/23	M		
146. - Federated Hermes Strategic Value Div Fund	D	Dividend	M	T	Buy (add'l)	01/06/23	K		
147. - Neuberger Berman Large Cap Value Fund Institutional Cl Shs	B	Dividend	L	T					
148. - Albemarle Corp	A	Dividend	J	T	Buy	05/15/23	K		
149. - Allspring 100% Treasury Money Mkt Fund	D	Dividend	M	T	Buy	03/20/23	M		
150.					Sold (part)	05/15/23	K		
151.					Buy (add'l)	06/15/23	K		
152.					Buy (add'l)	12/08/23	K		
153. - T Rowe Price All Cap Opportunities Fund Investor CL Shs	C	Dividend	L	T	Buy	06/29/23	L		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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154. - Smead FDS TR Value FD Instl CL	B	Dividend	M	T	Buy	06/29/23	M		
155. - Vangard Funds Vanguard Total Stk Mkt Index FD Admiral Shs	A	Dividend	L	T	Buy	06/30/23	L		
156. Trust #19 (H)									
157. - Wells Fargo Bank, N.A. Bank Deposit Sweep	A	Interest			Closed	01/04/23	J		
158. - iShares Core S&P 500 Index FD		None			Sold	01/04/23	K	D	
159. - Vanguard FTSE Developed Markets ETF		None			Sold	01/04/23	J		
160. - Goldman Sachs ETF US Large Cap	A	Dividend			Sold	01/04/23	K	C	
161. - iShares Russell 2000 ETF		None			Sold	01/04/23	J	A	
162. - iShares Core S&P Mid ETF		None			Sold	01/04/23	J	B	
163. - iShares Core US Aggregate Bond ETF		None			Sold	01/04/23	L		
164. - iShares Inc Core MSCI Emerging Mkts ETF		None			Sold	01/04/23	K		
165. - iShares JP Morgan USD Emerging Markets Bond ETF		None			Sold	01/04/23	K		
166. - Sector SPDR TR Technology Select Sector ETF		None			Sold	01/04/23	J		
167. - Vanguard Mid Cap ETF		None			Sold	01/04/23	K	C	
168. - Invesco Optimum TLD ETF Diversified Commodity Strategy		None			Sold	01/04/23	J		
169. - First TR Global ETF TActical Commodity Strategy Fund		None			Sold	01/04/23	J		
170. - iShares Cort Total ETF USD Bond Market		None			Sold	01/04/23	J		

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171. - iShares TR ETF Broad USD High Yield Corporate BD ETF		None			Sold	01/04/23	K		
172. - Select Spector SPDR F ETF Health Care		None			Sold	01/04/23	J	C	
173. IRA #6 (H)									
174. - MFS Ser TR I Value FD	C	Dividend	K	T					
175. - MFS Ser TR X Emerging Mkts Debt FD CL I	B	Dividend	K	T					
176. - American Europacific Growth FU CL F2	A	Dividend	J	T	Sold (part)	07/26/23	J	B	
177. - Invesco Oppenheimer Dev Mkts CL Y	A	Dividend	K	T					
178. - Principal FDS Inc High Yield FD Instl CL	B	Dividend	K	T					
179. - Allspring Special Mid Cap Value FD Class Instl	B	Dividend	K	T					
180. - Baird FDS Inc Aggregate BD FD Instl SHS	B	Dividend	L	T					
181. - Fidelity 500 Index Fund	A	Dividend	K	T	Sold (part)	11/26/23	J	B	
182. - Goldman Sachs TR Finl Square Treas Instrs FD	A	Dividend	J	T	Sold (part)	01/13/23	J		
183.					Sold (part)	04/14/23	J		
184.					Sold (part)	07/14/23	J		
185.					Sold (part)	10/13/23	J		
186. - Metropolitan West FDS Total Return BD FD	B	Dividend			Buy (add'l)	07/26/23	J		
187.					Sold	10/17/23	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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188. - Principal FDS Inc Midcap Fund Instl Class	A	Dividend	K	T	Sold (part)	11/28/23	J	A	
189. - Abbey Capital Futures Strategy Fund Class	A	Dividend	J	T					
190. - Mainstay Winslow Large Cap Growth Fund	C	Dividend	K	T	Sold (part)	11/28/23	J	B	
191. - American Beacon FDS AHL Managed Futures Strategy FD	A	Dividend	J	T					
192. - Pimco FDS Pac Invt Mgmt Ser Total Return FD	A	Dividend	K	T					
193. - Pimco Commodity Real Return Strategy FD Instl CL	A	Dividend	J	T					
194. - T Rowe Price Intl FD Overseas Stk FD	A	Dividend	K	T	Buy (add'l)	07/26/23	J		
195. - Delaware Small Cap Core Fund	B	Dividend	K	T					
196. - Goldman Sachs Commodity Strategy Fund Instl Class	A	Dividend	J	T					
197. - JP Morgan TR II Core BD FD I	A	Dividend	L	T	Buy	10/17/23	K		
198.					Buy (add'l)	10/18/23	J		
199. Roth IRA #1 (H)									
200. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	J	T					
201. - Adyen N V		None			Sold	03/06/23	J		
202. - Hudson Technologies Inc		None	K	T	Buy (add'l)	01/13/23	J		
203.					Buy (add'l)	03/20/23	J		
204. - Shopify Inc Cl A		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
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J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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205. Roth IRA #2 (H)									
206. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	J	T					
207. - Dodge & Cox Stock Fund Class I		None			Sold	01/12/23	J		
208. - Goldman Sachs TR Finl Square Treas Instrs FD Instl CL	A	Dividend			Sold	01/12/23	J		
209. - American Funds Growth Fund of America CL		None			Sold	01/12/23	J		
210. - American Funds New Perspective Fund CL		None			Sold	01/12/23	J		
211. - American Funds New World Fund CL		None			Sold	01/12/23	J		
212. - American Funds Washington Mutual Fd		None			Sold	01/12/23	J		
213. - Invesco Developing Markets Fund Class Y		None			Sold	01/12/23	J		
214. - John Hancock Funds II Disciplined Value Mid CA FD CL		None			Sold	01/12/23	J		
215. - Allspring Special Small Cap Value Fund Class Instl		None			Sold	01/12/23	J		
216. - American Funds Europacific Growth Fund Class		None			Sold	01/12/23	J		
217. - Pimco Commodities Plus Strategy FD CL Instl		None			Sold	01/12/23	J		
218. - Pimco FDS PAC Invt Mgmt Ser Emerging Mkts FD FD Instl CL	A	Dividend			Sold	01/12/23	J		
219. - Pimco Commodity Real Return Strat FD Instl CL Shs		None			Sold	01/12/23	J		
220. - PGIM High Yield CL Z	A	Dividend			Sold	01/12/23	J		
221. - Small Cap World FD Inc CL		None			Sold	01/12/23	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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222. - T Rowe Price MidCap Growth Fund Inc		None			Sold	01/12/23	J		
223. - Albemarle Corp	A	Dividend	J	T	Buy	05/15/23	K		
224. - T Rowe Price All Cap Opportunities Fund Invester Class Shs	B	Dividend	K	T	Buy	06/29/23	K		
225. - Devon Energy	A	Dividend			Buy	01/27/23	J		
226.					Buy (add'l)	02/27/23	J		
227.					Sold	06/29/23	J		
228. - Global X FDS X MLP ETF New	A	Dividend			Buy	01/31/23	J		
229.					Sold	06/29/23	J		
230. - Allspring 100% Treasury Money Mkt Fnd	A	Dividend			Buy	03/20/23	K		
231.					Sold	05/15/23	K		
232. Note Receivable from Andrya Ambro		None			Closed	01/01/23	N		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Ambro, Thomas L.	05/13/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Asset listed in Part VII, Line 135, has rental activity from property located near Newark, DE.

Asset listed in Part VII, Line 137, has rental activity from property located in New Castle County, DE.

Asset listed in Part VII, Line 232, was forgiven and reported as a gift.

Name of Person Reporting	Date of Report
Ambro, Thomas L.	05/13/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas L. Ambro

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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