AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ambro, Thomas L.	U.S. Court of Appeals, 3rd Cir	05/06/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active (Senior status as of 2/6/23)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
Federal Courthouse 844 N. King Street, Lock Box 32 Wilmington, DE 19801		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Thomas L. Ambro Revocable Trust
2.	Member (Emeritus)	Georgetown University Alumni Admissions Program Board of Advisors
3.	Member	Georgetown University Northern Delaware Alumni Admissions Program
4.	Member and Emeritus	Georgetown University Law Center Board of Visitors
5.	Member	Board of Editors, Delaware Lawyer
6.	Member, Advisory Board	Working Group on Legal Opinions Foundation
7.	Member, Editorial Board	Delaware Law Review

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Inns of Court	March 22-27, 2024	Washington, DC	Co-coordinator of U.K. Supreme Court judicial assistants' visit to Washington, DC	Transportation
2.	Emory Law	April 4-5, 2024	Atlanta, GA	Award recipient/speech	Transportation and Lodging
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Ambro, Thomas L.	05/06/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	M&T Bank Account		None	K	T					
2.	M&T Bank Account		None	J	T					
3.	IRA Account #2 (H)									
4.	- Wells Fargo Bank, N.A. Bank Deposit Sweep	A	Interest	J	T					
5.	- Primecap Odyssey FDS Aggressive Growth FD	D	Dividend	L	T					
6.	- Primecap Odyssey Growth	D	Dividend	L	T					
7.	- Dodge & Cox Stock FD	E	Dividend	N	T	Buy (add'l)	02/13/24	M		
8.	- Oakmark Funds Oakmark Global Select Fund Institutional Class	B	Dividend	M	T	Sold (part)	11/18/24	J	C	
9.	- T Rowe Price New Era Fund		None			Sold	02/12/24	M		
10.	- Artisan Partners FDS Inc Global Value FD Adv	D	Dividend	M	T	Buy (add'l)	01/09/24	K		
11.	- Kinetics MUT FDS Inc Small Cap Opportunities FD Instl CL		None			Sold	02/12/24	K	D	
12.	- Pimco Low Duration Income Fund	E	Dividend	O	T	Buy (add'l)	12/30/24	L		
13.	- Artisan Intl Small Cap Fund Investor Series	A	Dividend	J	T					
14.	- Brown Cap Mgmt Small Co FD Instl CL	D	Dividend	K	T					
15.	- Wasatch Advisors FDS Core Growth FD		None			Buy (add'l)	04/02/24	L		
16.						Sold	05/20/24	M	C	
17.	- Alger Growth Small Cap Focus FD		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. - Polen Growth Fund Institutional Class	C	Dividend	L	T	Buy (add'l)	02/13/24	M		
19.					Sold (part)	04/02/24	L	C	
20.					Sold (part)	07/03/24	J	A	
21. - Texas PAC LD Corp TPL	B	Dividend	L	T	Sold (part)	11/25/24	M	G	
22. - Mercadolibre Inc		None	M	T	Buy (add'l)	02/23/24	K		
23. - Microsoft Corp	A	Dividend	L	T					
24. - Blackrock Funds Technology Opportunities Fund Inst CL		None			Sold	02/12/24	M	E	
25. - Morgan Stanley Instl Inc Global Oppty Port Class Instl		None			Sold	02/12/24	K	A	
26. - FPA FDS TR FPA Crescent Portfolio Instl CL	D	Dividend	L	T	Buy (add'l)	02/13/24	L		
27.					Sold (part)	04/11/24	L	E	
28.					Sold (part)	07/03/24	J	B	
29.					Sold (part)	11/18/24	K	C	
30. - Wasatch Funds Small Cap Growth Fund Institutional Class SH		None	J	T					
31. - First TR Nasdaq Cybersecurity		None			Sold	07/03/24	J		
32. - iShares Phlx Semiconductor ETF	A	Dividend	K	T	Sold (part)	11/18/24	K	C	
33. - Snowflake Inc CL A		None			Sold	02/15/24	L	E	
34. - TotalEnergies SE ADR	C	Dividend	K	T	Sold (part)	08/26/24	K	D	

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. - Vanguard Energy ETF	D	Dividend	M	T	Buy (add'l)	02/13/24	M		
36.					Sold (part)	06/13/24	M	F	
37.					Sold (part)	06/17/24	J	A	
38.					Sold (part)	08/26/24	K	B	
39.					Sold (part)	11/18/24	K	C	
40. - FMI Funds FMI CS FD Institutional Class	C	Dividend	M	T					
41. - Oakmark Fund Investor Class	A	Dividend	K	T					
42. -Neuberger Berman Large Cap Value Fund Institutional CL		None			Sold	02/12/24	K		
43. - Pimco Commodity Real Return Strat FD Instl CL	A	Dividend			Sold (part)	01/09/24	K		
44.					Sold	06/13/24	K		
45. - T Rowe Price Intl FDS Inc Global Stock FD	D	Dividend	M	T	Buy (add'l)	02/13/24	L		
46.					Buy (add'l)	02/23/24	K		
47. - Vanguard World FDS Energy Index FD Admiral Shs		None			Sold	02/12/24	M	F	
48. - Vanguard Wellington FD Admiral Shs	B	Dividend	J	T	Sold (part)	04/02/24	K		
49. - Vanguard Value ETF	B	Dividend	L	T					
50. - Dodge & Cox Global Stock Fund Class I	D	Dividend	L	T					
51. - T Rowe Price All Cap Opportunities Fund investor Class	E	Dividend	N	T	Buy (add'l)	02/23/24	K		

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H1 =$1,000,001 - $5,000,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
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M =$100,001 - $250,000
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52. - Smead FDS TR Value FD Inst CL		None			Sold	02/12/24	L	D	
53. - T Row Price Mid-Cap Growth Fund Inc	C	Dividend	K	T					
54. - Vanguard Funds Dividend Growth Fund Investor Class	C	Dividend	K	T					
55. - Albemarle Corp	A	Dividend			Sold	03/14/24	J		
56. - Hudson Technologies Inc		None			Buy (add'l)	03/14/24	J		
57.					Sold (part)	07/03/24	J	A	
58.					Sold	10/15/24	K		
59. - Vanguard Large Cap	B	Dividend	L	T					
60. - Jensen Portfolio Inc Class I		None			Sold	01/09/24	L		
61. - FMI Funds Large Cap Fund Institutional Class	E	Dividend	M	T					
62. - Oakmark Select Fund Institutional Class	A	Dividend	M	T	Sold (part)	11/18/24	J	C	
63. - Allspring 100% Treasury Money Mkt Fund	D	Dividend	N	T	Buy (add'l)	02/12/24	J		
64.					Buy (add'l)	02/13/24	J		
65.					Sold (part)	04/12/24	J		
66.					Buy (add'l)	08/29/24	L		
67.					Sold (part)	10/11/24	J		
68. - PGIM Jenn Glbl Opportunities CL	C	Dividend	L	T					

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69. - Shopify Inc Cl A		None	L	T					
70. - T Rowe Price New Horizons Fund Inc		None			Sold	02/12/24	J	A	
71. - Adriatic Metals PLC Chess Depository		None	K	T	Buy	06/17/24	L		
72. - Alphamin Res Corp Shs	B	Dividend	K	T	Buy	06/17/24	K		
73. - Lundin Mining Corp	A	Dividend	K	T	Buy	06/17/24	L		
74. - Universal Stainless and Alloy Products Inc		None	K	T	Buy	10/15/24	K		
75. - Vaneck Vestors TR Semiconductor ETF	A	Dividend	K	T	Buy	07/03/24	K		
76. - Brandes Intl Equity FD CL I		None	M	T	Buy	11/26/24	M		
77. - Goldman Sachs Fund GQG Ptnrs Intl Opptys FD Instl CL	C	Dividend	L	T	Buy	02/13/24	L		
78. - T Rowe Price Cap Appreciaiton FD SBI	E	Dividend	M	T	Buy	04/02/24	K		
79.					Buy (add'l)	04/11/24	L		
80.					Buy (add'l)	08/26/24	K		
81. - Wasatch Funds Core Growth Fund Institutionla Class I	D	Dividend	M	T	Buy	05/21/24	M		
82.					Buy (add'l)	05/22/24	J		
83. - Ishares Inc MSCI Global Metals & Mng Producers ETF	A	Dividend			Buy	01/09/24	K		
84.					Sold	06/13/24	K		
85.					Buy	06/17/24	L		

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86.					Sold	11/18/24	K		
87. - Vanneck Gold Miners ETF					Buy	06/17/24	K		
88.					Sold	12/30/24	L	A	
89. Trust Account #12 (H)									
90. - Primecap Odyssey FDS Aggressive Growth FD	D	Dividend	L	T					
91. - Dodge & Cox Stock Fund	D	Dividend	M	T	Buy (add'l)	02/13/24	L		
92. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	J	T					
93. - Oakmark Funds Oakmark Global Select Fund Institutional Class	A	Dividend	K	T					
94. -Brown Cap Mgmt Mut FDS Brown Cap Mgmt Small Co FD	A	Dividend	J	T	Sold (part)	08/13/24	J		
95. - Microsoft Corp	B	Dividend	M	T					
96. - Vanguard Funds Dividend Growth Fund Investor Class	C	Dividend	K	T					
97. - Vanguard Sprcialized Portfolios Energy Port Investor Class		None			Sold	02/12/24	L		
98. - Alger Growth Small Cap Focus FD		None	J	T					
99. - Mercadolibre Inc		None	L	T	Buy (add'l)	04/24/24	K		
100. - Wasatch Funds Small Cap Growth FD Institutional CL		None	K	T					
101. - Shopify Inc Cl A		None	K	T					
102. - Vanguard Information ETF Technology	A	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)
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103. - Dodge & Cox Global Stock Fund Class I	D	Dividend	K	T					
104. - Neuberger Berman Large Cap Value Fund Instl Cl Shs		None			Sold	02/12/24	K		
105. - T Rowe Price Intl FDS Inc Global Stock Fund	D	Dividend	L	T	Buy (add'l)	01/05/24	J		
106.					Buy (add'l)	02/05/24	J		
107.					Buy (add'l)	03/05/24	J		
108.					Buy (add'l)	04/05/24	J		
109.					Buy (add'l)	05/05/24	J		
110.					Buy (add'l)	06/05/24	J		
111.					Buy (add'l)	07/05/24	J		
112.					Buy (add'l)	08/05/24	J		
113.					Buy (add'l)	09/05/24	J		
114.					Buy (add'l)	10/07/24	J		
115.					Buy (add'l)	11/05/24	J		
116.					Buy (add'l)	12/05/24	J		
117. - T Rowe Price All Cap Opportunities Fund Investor Class Shs	D	Dividend	M	T	Buy (add'l)	01/05/24	J		
118.					Buy (add'l)	02/05/24	J		
119.					Buy (add'l)	03/05/24	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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Name of Person Reporting	Date of Report
Ambro, Thomas L.	05/06/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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120.					Buy (add'l)	04/05/24	J		
121.					Buy (add'l)	05/05/24	J		
122.					Buy (add'l)	06/05/24	J		
123.					Buy (add'l)	07/05/24	J		
124.					Buy (add'l)	08/05/24	J		
125.					Buy (add'l)	09/05/24	J		
126.					Buy (add'l)	10/07/24	J		
127.					Buy (add'l)	11/05/24	J		
128.					Buy (add'l)	12/05/24	J		
129.	- T Rowe Price Mid-Cap Growth Fund Inc	A	Dividend	J	T				
130.	- Wasatch Funds Core Growth Fund Institutional Class I	D	Dividend	L	T	Buy (add'l)	02/12/24	L	
131.	- Allsprings 100% Treasury Money Mkt Fund	C	Dividend			Sold (part)	01/09/24	K	
132.						Sold (part)	01/12/24	J	
133.						Sold (part)	02/22/24	J	
134.						Sold (part)	04/12/24	J	
135.						Sold (part)	04/24/24	K	
136.						Sold	06/13/24	L	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. - Advisors Inner Cir II Champlain Mid Cap FD Instl Shs	B	Dividend	K	T					
138. - Amgen Inc	A	Dividend	K	T	Buy	01/09/24	K		
139. - Invesco Rochester Municipal Opportunities Fund	C	Dividend	L	T	Buy	06/13/24	L		
140. - Dodge & Cox Income Fund I	A	Dividend	L	T	Buy	11/29/24	L		
141. Springside, LLC (2000 - $300,000, 2012- $20,123)	F	Rent	P1	W					
142.	C	Interest							
143. PCM Family LLC	A	Dividend	M	W					
144. The Sequoia Fund	D	Dividend	M	T					
145. Trust #18 (H)									
146. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	K	T					
147. - Energy Transfer LP	E	Distribution	O	T					
148. - FMI Funds FMI Common Stock Fund Institutional Class Shs	C	Dividend	M	T					
149. - Federated Hermes Strategic Value Div Fund	D	Dividend	M	T					
150. - Neuberger Berman Large Cap Value Fund Institutional Cl Shs	B	Dividend	L	T					
151. - Albemarle Corp	A	Dividend			Sold	06/13/24	J		
152. - Allspring 100% Treasury Money Mkt Fund	C	Dividend			Sold (part)	01/02/24	J		
153.					Sold (part)	02/13/24	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154.					Sold	06/13/24	M		
155. - T Rowe Price All Cap Opportunities Fund Investor CL Shs	D	Dividend	L	T	Sold (part)	08/12/24	J	A	
156. - Smead FDS TR Value FD Instl CL	B	Dividend	M	T					
157. - Vangard Funds Vanguard Total Stk Mkt Index FD Admiral Shs	A	Dividend			Sold	11/25/24	K	E	
158. - Brandes Intl Equity FD	B	Dividend	L	T	Buy	11/26/24	L		
159. - Pimco Funds High Yield Muni Bond Fnd Class I	C	Dividend	M	T	Buy	06/13/24	M		
160. IRA #6 (H)									
161. - MFS Ser TR I Value FD	B	Dividend	K	T	Sold (part)	08/05/24	K	C	
162. - MFS Ser TR X Emerging Mkts Debt FD CL I	B	Dividend	K	T	Sold (part)	08/05/24	J		
163. - American Europacific Growth FU CL F2	A	Dividend	J	T					
164. - Invesco Oppenheimer Dev Mkts CL Y	A	Dividend	K	T					
165. - Principal FDS Inc High Yield FD Instl CL	B	Dividend	K	T					
166. - Allspring Special Mid Cap Value FD Class Instl	B	Dividend	K	T	Sold (part)	11/18/24	J	A	
167. - Baird FDS Inc Aggregate BD FD Instl SHS	C	Dividend	L	T	Buy (add'l)	04/25/24	J		
168. - Fidelity 500 Index Fund	A	Dividend	L	T	Buy (add'l)	08/05/24	K		
169.					Sold (part)	11/18/24	J	D	
170. - Goldman Sachs TR Finl Square Treas Instrs FD	A	Dividend	J	T	Sold (part)	01/12/24	J		

1. Income Gain Codes: (See Columns B1 and D4)
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171.					Sold (part)	04/12/24	J		
172.					Sold (part)	07/12/24	J		
173.					Buy (add'l)	08/05/24	J		
174.					Sold (part)	10/11/24	J		
175. - Principal FDS Inc Midcap Fund Instl Class	A	Dividend	K	T	Sold (part)	11/18/24	J	B	
176. - Abbey Capital Futures Strategy Fund Class	A	Dividend	J	T					
177. - NYLI Winslow Large Cap Growth Fund	D	Dividend	K	T	Sold (part)	08/05/24	J	B	
178.					Sold (part)	11/18/24	J	A	
179. - American Beacon FDS AHL Managed Futures Strategy FD	A	Dividend	K	T	Buy (add'l)	08/05/24	J		
180. - Pimco FDS Pac Invt Mgmt Ser Total Return FD	B	Dividend	K	T					
181. - Pimco Commodity Real Return Strategy FD Instl CL		None			Sold	03/05/24	J		
182. - T Rowe Price Intl FD Overseas Stk FD	A	Dividend	K	T	Sold (part)	04/25/24	J		
183. - Macquarie Small Cap Core Fund CL I	A	Dividend	K	T	Sold (part)	08/06/24	K		
184. - Goldman Sachs Commodity Strategy Fund Instl Class		None			Sold	03/05/24	J		
185. - JP Morgan TR II Core BD FD I	B	Dividend	L	T					
186. - Causeway Intl Value FD Ins Shs	A	Dividend	J	T	Buy	04/26/24	J		
187. - Dodge & Cox Stock Fund Class I	A	Dividend	K	T	Buy	08/05/24	K		

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188. - Harbor Embark Commodity Str Instl FD	B	Dividend	K	T	Buy	03/06/24	J		
189.					Buy (add'l)	03/17/24	J		
190.					Buy (add'l)	08/06/24	J		
191. - Fidelity New Mkt Inc I	A	Dividend	J	T	Buy	08/05/24	J		
192. Roth IRA #1 (H)									
193. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	J	T					
194. - Hudson Technologies Inc		None			Buy (add'l)	01/10/24	J		
195.					Sold	06/17/24	K		
196. - Shopify Inc Cl A		None	L	T	Buy (add'l)	06/21/24	K		
197. - Universal Stainless and Alloy Products Inc		None	J	T	Buy	09/10/24	J		
198. - Mitek Systems Inc Com		None			Buy	01/10/24	J		
199.					Sold	09/10/24	J		
200. Roth IRA #2 (H)									
201. - Wells Fargo Bank, N.A.Bank Deposit Sweep	A	Interest	J	T					
202. - Albemarle Corp	A	Dividend			Sold	03/14/24	J		
203. - T Rowe Price All Cap Opportunities Fund Invester Class Shs	C	Dividend	K	T	Buy (add'l)	01/10/24	J		
204.					Buy (add'l)	03/20/24	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Asset listed in Part VII, Line 141, has rental activity from property located near Newark, DE.

Asset listed in Part VII, Line 143, has rental activity from property located in New Castle County, DE.

Asset listed in Part VII, Line 177, changed name from Mainstay Winslow Large Cap Growth Fund to NYLI Winslow Large Cap Growth Fund Class I.

Asset listed in Part VII, Line 183, changed name from Delaware Smallcap Core Fund to Macquarie Small Cap Core Fund CL I.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas L. Ambro

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544