AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Amon, Carol B.	U.S. District Court, E.D.N.Y.	08/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge (senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
225 Cadman Plaza East Room 908S Brooklyn, NY 11201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Law Office of Thomas G. Amon - Non-investment Income Pension Plan
2.	2023	Law Office of Thomas G. Amon - Non-investment Profit-share Plan
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Pepperdine Caruso School of Law	March 8-11, 2023	Mailbu, CA	Teaching	Housing, flights, and meals
2.					
3.					
4.					
5.					

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Amon, Carol B.	08/01/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Passumpsic Bank	Mortgage of rental property - Newport, VT - rental income generated in 2023	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. IRA Accts Citibank (See VIII)	D	Dividend	M	T	Redeemed (part)	04/01/23	J	B	
2. Law Partnership Cap Acct - JPM Chase		None	N	T					
3. Citibank N.A. Money Market	C	Interest	M	T					
4. Citibank Checking	C	Interest	M	T					
5. Merrill Lynch CMA Account (H) (See VIII)									
6. Invesco Discovery Fund/Midcap Growth	A	Dividend	J	T					
7. Fidelity Adv Equity Growth	A	Dividend	L	T					
8. Fidelity Adv Tech Fund	A	Dividend	K	T					
9. MFS Moderate Allocation Fund, Class A	D	Dividend	N	T					
10. Johnson & Johnson	A	Dividend	J	T					
11. 1919 Financial Services Fund Class C	A	Dividend	J	T					
12. ClearBridge Large Cap	A	Dividend	J	T					
13. Chase Select Checking	B	Interest	M	T					
14. Passumpsic Bank (See VIII)	A	Interest	J	T					
15. Encore Networks Inc. (See VIII)		None	M	T					
16. Rental Property Brooklyn, NY (See VIII)	E	Rent	P1	W					
17. Rental Property Newport, VT (See VIII)	D	Rent	O	W					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. AXA Equitable Variable Life Policy (See VIII)	B	Interest	J	T					
19. Sonic Mountain Inc.		None			Redeemed	12/31/23	J	A	
20. Citibank CD	A	Interest	M	T					
21. Spoleto Corp. (See VIII)	C	Interest	N	T					
22. Franklin Rising Dividends FD Class A	A	Dividend	L	T					
23. Military Talent Group, Inc.		None	P1	T					
24. Airborne Wireless Network		None			Redeemed	12/30/23	P1	A	
25. Wilson Davis Accounts # 1 (H) (See VIII)									
26. -Microsoft	A	Dividend	J	T					
27. -Apple		None	J	T					
28. -Goldman Sachs	A	Dividend	K	T	Buy	03/13/23	K		
29. -A B Government Money Market	A	Dividend	K	T	Buy	06/23/23	K		
30. -BOTS Inc.		None	O	T	Sold (part)	07/14/23	J	B	
31.					Sold (part)	07/19/23	J	B	
32.					Sold (part)	07/21/23	J	B	
33.					Sold (part)	07/24/23	J	B	
34.					Sold (part)	07/28/23	J	B	

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35. JPMorgan Brokerage Accounts (See VIII)									
36. -Fidelity Salem Str Tr. Int'l	A	Dividend	K	T					
37. -iShares Trust	A	Dividend	J	T					
38. -JPMorgan Exchange Traded Fund	A	Dividend	J	T					
39. -JPMorgan Tr. II Core Plus Inc.	A	Dividend	J	T					
40. -Pimco Income Fund	A	Dividend	J	T					
41. -Six Circles Tr. Ultra Short	B	Dividend	K	T					
42. -Six Circles Trust Int'l Unconstrained	B	Dividend	J	T					
43. -Six Circles Trust Global	B	Dividend	K	T					
44. -Six Circles Trust Credit Opp.	B	Dividend	K	T					
45. -Vanguard Short Term Bond	A	Dividend	J	T					
46. -Vangauard Total Bond	B	Dividend	K	T					
47. -Everything Blockchain Common Stock		None	L	T					
48. -Everything Block Chain Warrant		None	J	T					
49. -Fidelity Magellan Fund (f/k/a Fidelity Independence Fund)	A	Dividend	K	T					
50. -Fidelity Growth Discovery Fund	A	Dividend	K	T					
51. JPMorgan Brokerage Account # 3 (H) (See VIII)									

1. Income Gain Codes: (See Columns B1 and D4)
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2. Value Codes (See Columns C1 and D3)
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52. JPMorgan 100% U.S. Treasuries Securities Money Market Fund	A	Dividend	L	T					
53. JPMorgan Advisory (See VIII) Horizen Account #4									
54. -Invesco Asia Pacific Growth	A	Dividend	J	T					
55. -IShares New York Muni Bond	A	Dividend	J	T					
56. -Pimco High Yield Fund Instl C1	A	Dividend	J	T					
57. -Vanguard S&P 500 ETF	A	Dividend	L	T					
58. -Invesco QQQ Trust Series 1 (f/k/a Powershares QQQ)	A	Dividend	M	T					
59. -Vanguard Index Fund Small Cap ETF	A	Dividend	J	T					
60. -JP Morgan Deposit Acct A	A	Interest	L	T					
61. -Columbia FDS TR	A	Dividend	J	T					
62. -Invesco Exchange Traded S&P 500 (f/k/a Guggenheim S&P 500)	A	Dividend	L	T					
63. -Vanguard Value Fund ETF	A	Dividend	J	T					
64. -Vanguard Spec. Fund	A	Dividend	J	T					
65. NYS 529 College Savings Account (See VIII)	A	Dividend	K	T					
66. Etrade Account (See VIII)									
67. -Palo Alto Networks, Inc.	A	Dividend	J	T					
68. -Disney	A	Dividend	J	T					

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69. -PayPal Holdings	A	Dividend	J	T					
70. -Skyworks Solutions	A	Dividend	J	T					
71. - Invesco Tech (f/k/a Aim Tech)	A	Dividend	J	T					
72. - Protective Smart Saver Annuity	A	None	L	T	Buy	12/14/23	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part VII
Item 1 - IRA Accts Citibank - Fidelity Advisor Stock Selector All Cap Fund Class A
Item 5 - Merrill Lynch CMA Account - Master Account Holding Items 6 - 12
Item 14 - Passumpsic Bank - Account opened on 5/15/18
Item 15 - Market value of vested options less exercise on 12/31/23
Item 16 - Rental property located in Brooklyn, New York, acquired in 1993. Value at date acquired - $230,000
Item 17 - Rental property located in City of Newport, Chittendon County, Vermont, inherited in 1994. Value at date acquired - $200,000
Item 18 - AXA Equitable Variable Life Insurance Policy - Incentive Legacy III
Item 21 - Consulting and Investment Company
Item 25 - Managed Brokerage Account
Item 35 - Managed Brokerage Account
Item 51- Managed Asset Brokerage Account - (JPMorgan Securities)
Item 53 - Advisory Horizen Managed Account - (JPMorgan Securities)
Item 65 - Investment with Vanguard Aggressive Growth Funds
Item 66- Managed Brokerage Account

Amendments
Item 54 - Invesco Asia Pacific Growth was not formerly known as Aim Tech.
Item 71 - Invesco Tech was formerly known as Aim Tech.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carol B. Amon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544