**Annual, 2025**

## Financial Disclosure Report (AO Form 10)

**Filer's Information**
**Amon, Carol Bagley**
U.S. District Court - New York Eastern
Senior District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

**/s/ Amon, Carol Bagley [electronically signed on Jul 30, 2026 4:25pm by Amon, Carol Bagley in JEFS]**
**/s/ Amon, Carol Bagley [electronically signed on Jun 16, 2026 2:52pm by Amon, Carol Bagley in JEFS]**

### I. Positions

I do not have any Positions to report
No entries in this section

### II. Agreements

I do not have any Agreements to report
No entries in this section

### III A. Filer Non-Investment Income

I do not have any Filer Non-Investment Income to report
No entries in this section

### III B. Spouse Non-Investment Income

| | SOURCE | DESCRIPTION | HONORARIUM | HONORARIUM AMOUNT | HONORARIUM DATE |
|---|---|---|---|---|---|
| 1. | Law Office of Thomas G. Amon - Non-investment Income Pension Plan | | No | $0.00 | |
| 2. | Law Office of Thomas G. Amon - Non-investment Profit-share Plan | | No | $0.00 | |
| 3. | Spoleto Corp. | Consulting and investment company | No | $0.00 | |
| 4. | Praetor Legal Services PLLC | | | $0.00 | |

### IV. Reimbursements

| SOURCE OF REIMBURSEMENT | FROM DATE | TO DATE | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|

| | SOURCE OF REIMBURSEMENT | FROM DATE | TO DATE | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|---|
| 1. | New York County Lawyers Association | Mar 4, 2025 | Mar 4, 2025 | New York, NY | Annual Gala | Meals |
| 2. | Private Non-Profit | Apr 3, 2025 | Apr 3, 2025 | New York, NY | Dinner | Meals |
| | **Additional Explanation**: Supreme Court Historical Society | | | | | |
| 3. | Federal Bar Council | May 1, 2025 | May 1, 2025 | New York, NY | Dinner | Meals |

## V. Gifts

I do not have any Gifts to report
No entries in this section

## VI. Liabilities

| | CREDITOR NAME | TYPE OF LIABILITY | VALUE |
|---|---|---|---|
| 1. | Passumpsic Bank | Mortgage (Investment / Rental Property) | $100,001 - $250,000 |

## VII. Investments and Trusts

| | DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|---|
| 1. | **Chase Select Checking** | $1,001 - $2,500 | Interest | $250,001 - $500,000 | Cash/Market |
| 2. | **(H) Citibank Wealth Management Account** | | | | |
| 2-A. | A B Government Money Market | $1,000 or less | Dividend | | |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Sold Jul 17, 2025 $15,000 or less | | | | |
| 2-B. | BlackRock Liquid Environmentally Aware Fund | | None | $250,001 - $500,000 | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy (add'l) Jul 17, 2025 $100,001 - $250,000 | | | | |
| 2-C. | Citibank CD | $1,000 or less | Interest | | |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Redeemed Dec 1, 2025 $100,001 - $250,000 | | | | |
| 2-D. | Citibank Checking | | None | $100,001 - $250,000 | Cash/Market |
| 2-E. | Franklin Rising Dividends FD Class A | $1,001 - $2,500 | Dividend | $250,001 - $500,000 | Cash/Market |
| 2-F. | IRA Accts Citibank Fidelity Advisor Stock Selector All Cap Fund | $5,001 - $15,000 | Dividend | $100,001 - $250,000 | Cash/Market |
| 2-G. | Protective Smart Saver Fixed Annuity | | None | $100,001 - $250,000 | Cash/Market |
| 3. | **Encore Networks Inc.** | | None | $15,000 or less | Cash/Market |
| | **Additional Explanation**: Market value of vested options less exercise on 12/31/24 | | | | |
| 4. | **Equitable Financial Life Ins. Co. Variable Life Policy** | | None | $500,001 - $1,000,000 | Cash/Market |
| | **Additional Explanation**: VUL Incentive Life Protect | | | | |
| 5. | **Equitable Financial Life Ins. Co. Variable Life Policy** | | None | $100,001 - $250,000 | Cash/Market |
| | **Additional Explanation**: VUL Incentive Life Protect | | | | |

| | DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|---|
| 6. | **Everything Blockchain Common Stock** | | None | $50,001 - $100,000 | Cash/Market |
| 7. | **Everything Block Chain Warrant** | | None | $15,000 or less | Cash/Market |
| 8. | **(H) Fidelity Brokerage Account** | | | | |
| 8-A. | Fidelity Growth Discovery Fund | $1,000 or less | Dividend | $15,001 - $50,000 | Cash/Market |
| 8-B. | Fidelity Magellan Fund (f/k/a Fidelity Independence Fund) | | None | $15,001 - $50,000 | Cash/Market |
| 9. | **Incentive Legacy III policy** | | None | $500,001 - $1,000,000 | Cash/Market |
| 10. | **Incentive Legacy III policy** | | None | $100,001 - $250,000 | Cash/Market |
| 11. | **(H) JPMorgan Portfolio Advisor Account #4** | | | | |
| **Additional Explanation**: Portfolio Advisor Account - (JPMorgan Securities) | | | | | |
| 11-A. | Columbia FDS TR | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| 11-B. | Guggenheim FTS | $2,501 - $5,000 | Dividend | $50,001 - $100,000 | Cash/Market |
| 11-C. | Invesco Exchange Traded S&P 500 (f/k/a Guggenheim S&P 500) | $1,001 - $2,500 | Dividend | $50,001 - $100,000 | Cash/Market |
| 11-D. | Invesco QQQ Trust Series 1 (f/k/a Powershares QQQ) | $1,000 or less | Dividend | $100,001 - $250,000 | Cash/Market |
| 11-E. | iShares Trust (MBB) | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| 11-F. | JPMorgan 100% U.S. Treasuries Securities Money Market Fund | $1,001 - $2,500 | Dividend | $15,001 - $50,000 | Cash/Market |
| 11-G. | JPMorgan Deposit Acct | $1,000 or less | Interest | $15,001 - $50,000 | Cash/Market |
| 11-H. | Pimco High Yield Fund Instl C1 | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| 11-I. | Vanguard Index Fund Small Cap ETF | $1,000 or less | Dividend | $15,001 - $50,000 | Cash/Market |
| 11-J. | Vanguard S&P 500 ETF | $1,001 - $2,500 | Dividend | $50,001 - $100,000 | Cash/Market |
| 11-K. | Vanguard Specialized Fund | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| 12. | **Law Partnership Cap Acct - JPM Chase** | | None | $250,001 - $500,000 | Cash/Market |
| 13. | **(H) Merrill Lynch CMA Account** | | | | |
| 13-A. | 1919 Financial Services Fund Class | $1,000 or less | Dividend | | |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Sold Sep 8, 2025 $15,000 or less | | | | |
| 13-B. | ARES STRATEGIC INCOME FUND | $1,001 - $2,500 | Dividend | $15,001 - $50,000 | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Apr 30, 2025 $15,001 - $50,000 | | | | |
| 13-C. | BSTT, Blackstone Real Estate Income Trust, Inc. | | None | $50,001 - $100,000 | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Jan 2, 2025 $50,001 - $100,000 | | | | |
| 13-D. | ClearBridge Large Cap Value C | $1,000 or less | Dividend | $15,001 - $50,000 | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy (add'l) Apr 8, 2025 $15,001 - $50,000 | | | | |
| 13-E. | Fidelity Adv Equity Growth | $1,000 or less | Dividend | $50,001 - $100,000 | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy (add'l) Nov 17, 2025 $50,001 - $100,000 | | | | |
| 13-F. | Fidelity Adv Tech Fund | $1,000 or less | Dividend | | |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Sold Nov 17, 2025 $50,001 - $100,000 | | | | |
| 13-G. | Invesco Discovery Fund/Midcap Growth | $1,000 or less | Dividend | $15,001 - $50,000 | Cash/Market |

| | DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|---|
| 13-H. | Invesco Tech Fund | | None | $15,000 or less | Cash/Market |
| 13-I. | Johnson & Johnson | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| 13-J. | MFS Moderate Allocation Fund, Class A | $15,001 - $50,000 | Dividend | $500,001 - $1,000,000 | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy (add'l) | Apr 8, 2025 | $15,001 - $50,000 |

| | | | | | |
|---|---|---|---|---|---|
| 13-K. | ML Deposit Account & BLF Treasury Fund | $1,000 or less | Interest | $15,000 or less | Cash/Market |
| 13-L. | PIMCO Income Fund CLC | $1,000 or less | Dividend | $15,001 - $50,000 | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Sep 8, 2025 | $15,000 or less |

14. **(H) Merrill Lynch Managed Account**

| | | | | | |
|---|---|---|---|---|---|
| 14-A. | ARES Strategic Income Fund | $1,001 - $2,500 | Dividend | $15,001 - $50,000 | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | May 20, 2025 | $15,001 - $50,000 |

14-B. BBX Capital Inc. $1,000 or less Dividend

**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Apr 9, 2025 | $15,000 or less |

| | | | | | |
|---|---|---|---|---|---|
| 14-C. | BlackRock Liquidity Fund FedFund CL Premier Fund Subject to Red | $2,501 - $5,000 | Dividend | $100,001 - $250,000 | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 12, 2025 | $15,000 or less |
| (2). | Buy (add'l) | Sep 29, 2025 | $15,000 or less |

| | | | | | |
|---|---|---|---|---|---|
| 14-D. | Disney | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| 14-E. | Fidelity 500 Index Fund | $1,000 or less | Dividend | | |

**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Redeemed | Apr 9, 2025 | $15,001 - $50,000 |

| | | | | | |
|---|---|---|---|---|---|
| 14-F. | First Tr Managed Municipal | $1,000 or less | Dividend | $15,000 or less | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,000 or less |

| | | | | | |
|---|---|---|---|---|---|
| 14-G. | Goldman Sachs | $1,001 - $2,500 | Dividend | $50,001 - $100,000 | Cash/Market |
| 14-H. | Invesco National AMT - Free Municipal Bond ETF | $1,000 or less | Dividend | $15,000 or less | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 12, 2025 | $15,001 - $50,000 |
| (2). | Sold (part) | Mar 12, 2025 | $15,000 or less |
| (3). | Sold (part) | Mar 27, 2025 | $15,000 or less |

| | | | | | |
|---|---|---|---|---|---|
| 14-I. | iShares Inc Core MSCI Emerging MKTS ETF VSP | $1,000 or less | Dividend | $15,000 or less | Cash/Market |

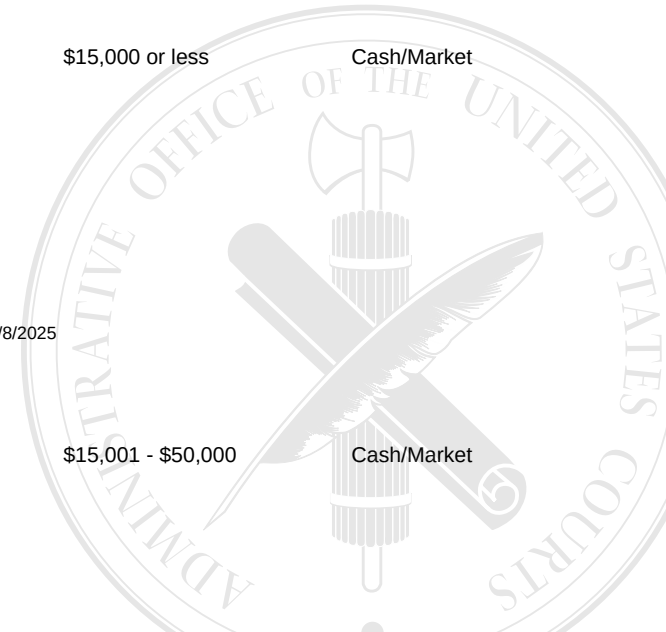
| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 12, 2025 | $15,000 or less |
| (2). | Sold (part) | Mar 12, 2025 | $15,000 or less |
| (3). | Sold (part) | Mar 27, 2025 | $15,000 or less |
| (4). | Sold (part) | Apr 7, 2025 | $15,000 or less |

14-J. iShares MBS ETF $1,000 or less Dividend

**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Apr 9, 2025 | $15,000 or less |

| | | | | | |
|---|---|---|---|---|---|
| 14-K. | iShares MSCI Emerging MKTS | $1,000 or less | Dividend | $15,001 - $50,000 | Cash/Market |



| | DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|---|

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Apr 7, 2025 | $15,000 or less |
| (2). | Buy (add'l) | Apr 9, 2025 | $15,000 or less |

14-L. iShares National Muni Bond ETF — $1,000 or less — Dividend — $15,001 - $50,000 — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Mar 27, 2025 | $15,001 - $50,000 |
| (2). | Buy (add'l) | Apr 8, 2025 | $15,000 or less |
| (3). | Buy (add'l) | Apr 9, 2025 | $15,000 or less |
| (4). | Buy (add'l) | Sep 29, 2025 | $15,000 or less |

14-M. iShares RS 2000 Value Product — $1,001 - $2,500 — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Mar 18, 2025 | $15,000 or less |
| (2). | Buy (add'l) | Apr 9, 2025 | $15,000 or less |

14-N. iShares Short-Term National Muni Bond ETF VSP — $1,000 or less — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 12, 2025 | $15,000 or less |
| (2). | Sold (part) | Mar 12, 2025 | $15,000 or less |

14-O. iShares Trust Core MSCI EAF ETF — $5,001 - $15,000 — Dividend — $15,001 - $50,000 — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 12, 2025 | $15,001 - $50,000 |
| (2). | Buy (add'l) | Mar 12, 2025 | $15,000 or less |
| (3). | Buy (add'l) | Apr 9, 2025 | $15,000 or less |
| (4). | Buy (add'l) | Sep 29, 2025 | $15,000 or less |

14-P. iShares US Treasury Bond ETF — None

**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Apr 9, 2025 | $15,000 or less |

14-Q. JPM Nasdaq Equity Premium — $1,000 or less — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,000 or less |

14-R. JPMorgan BetaBuilders Japan ETF — $1,000 or less — Dividend

**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Apr 9, 2025 | $15,000 or less |

14-S. JPMorgan Exchange Traded Fund — $1,000 or less — Dividend

**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Apr 9, 2025 | $15,000 or less |

14-T. JPMorgan Municipal ETF — $1,000 or less — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,000 or less |

14-U. ML Bank Deposit Program — $1,000 or less — Interest — $15,000 or less — Cash/Market

14-V. Palo Alto Networks, Inc. — $1,000 or less — Dividend — $15,000 or less — Cash/Market

14-W. PayPal Holdings — $1,000 or less — Dividend — $15,000 or less — Cash/Market

14-X. PIMCO High Yield Municipal Bond — None — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,000 or less |

14-Y. PIMCO High Yield Municipal Bond Fund — $1,000 or less — Dividend — $15,000 or less — Cash/Market



| | DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|---|

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,000 or less |

14-Z. PIMCO Income Fund INSTL — $1,000 or less — Dividend

**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Redeemed | Apr 9, 2025 | $15,000 or less |

14-AA. PIMCO Low Duration Income Fund — None — $15,001 - $50,000 — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,001 - $50,000 |

14-AB. PIMCO Municipal Bond Fund — $1,000 or less — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,000 or less |

14-AC. PIMCO National Interm Muni Bd FD — None — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,000 or less |

14-AD. PIMCO Short Term Fund — None — $15,001 - $50,000 — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,001 - $50,000 |

14-AE. PIMCO Short Term Municipal Bond Active ETF — $1,000 or less — Dividend — $15,001 - $50,000 — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,001 - $50,000 |

14-AF. PT Bank Central Asia Tbk — $1,000 or less — Dividend

**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Apr 9, 2025 | $15,000 or less |

14-AG. SPDR Nuveen ICE Short Term Municipal Bond — $1,000 or less — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 12, 2025 | $15,000 or less |
| (2). | Sold (part) | Mar 12, 2025 | $15,000 or less |
| (3). | Buy | Apr 9, 2025 | $15,000 or less |
| (4). | Buy (add'l) | Sep 29, 2025 | $15,000 or less |

14-AH. VanEck High Yield Muni ETF — $1,000 or less — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Mar 12, 2025 | $15,000 or less |
| (2). | Sold (part) | Apr 7, 2025 | $15,000 or less |
| (3). | Buy (add'l) | Apr 9, 2025 | $15,000 or less |
| (4). | Buy (add'l) | Sep 29, 2025 | $15,000 or less |

14-AI. VanEck Intermediate Municipal ETF VSP — None

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 12, 2025 | $15,000 or less |
| (2). | Sold (part) | Mar 12, 2025 | $15,000 or less |
| (3). | Sold | Mar 27, 2025 | $15,000 or less |

14-AJ. Vanguard Growth ETF VSP — $1,000 or less — Dividend — $50,001 - $100,000 — Cash/Market

ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

| | DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|---|

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 12, 2025 | $15,001 - $50,000 |
| (2). | Buy (add'l) | Mar 12, 2025 | $15,000 or less |
| (3). | Buy (add'l) | Apr 9, 2025 | $15,001 - $50,000 |
| (4). | Sold (part) | Sep 29, 2025 | $15,000 or less |

14-AK. Vanguard High DVD Yield ETF — $1,000 or less — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,000 or less |

14-AL. Vanguard INTL High Dividend Yield ETF — $1,000 or less — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Oct 16, 2025 | $15,000 or less |

14-AM. Vanguard Russell 1000 ETF — $1,001 - $2,500 — Dividend — $50,001 - $100,000 — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Apr 7, 2025 | $15,001 - $50,000 |
| (2). | Buy (add'l) | Apr 9, 2025 | $15,001 - $50,000 |

14-AN. Vanguard Scottsdale FDS — None

**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Apr 9, 2025 | $15,000 or less |

14-AO. Vanguard Small Cap Growth ETF — $1,000 or less — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 13, 2025 | $15,000 or less |
| (2). | Buy | Mar 12, 2025 | $15,000 or less |
| (3). | Buy (add'l) | Apr 9, 2025 | $15,000 or less |
| (4). | Sold (part) | Sep 29, 2025 | $15,000 or less |

14-AP. Vanguard Small Cap Value ETF — None

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 12, 2025 | $15,000 or less |
| (2). | Sold (part) | Mar 12, 2025 | $15,000 or less |
| (3). | Sold | Mar 18, 2025 | $15,000 or less |

14-AQ. Vanguard Total Bond — $1,001 - $2,500 — Dividend

**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Apr 9, 2025 | $15,000 or less |

14-AR. Vanguard Total International Bond ETF — None

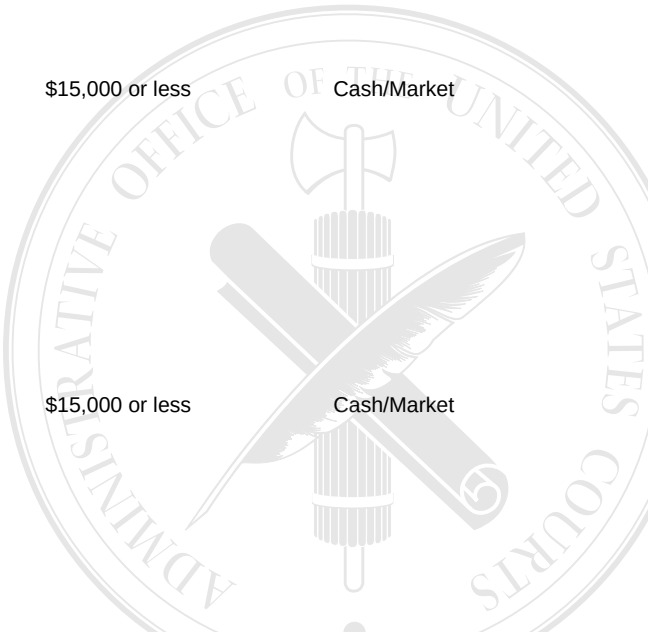
**Additional Explanation**: Transferred from JP Morgan brokerage account (now closed) on 4/8/2025

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Apr 9, 2025 | $15,000 or less |

14-AS. Vanguard Value ETF — $1,000 or less — Dividend — $15,000 or less — Cash/Market

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Feb 12, 2025 | $15,001 - $50,000 |
| (2). | Sold (part) | Mar 13, 2025 | $15,000 or less |
| (3). | Sold (part) | Mar 27, 2025 | $15,000 or less |
| (4). | Sold (part) | Apr 7, 2025 | $15,001 - $50,000 |
| (5). | Buy (add'l) | May 8, 2025 | $15,000 or less |
| (6). | Buy (add'l) | Sep 29, 2025 | $15,000 or less |

14-AT. WisdomTree Emerging Markets High Dividend Fund — $1,000 or less — Dividend — $15,000 or less — Cash/Market



| | DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|---|
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-AU. | WisdomTree EM Small Cap | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-AV. | WisdomTree Floating Rate Treasury Fund | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-AW. | WisdomTree International High Dividend Fund | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-AX. | WisdomTree INTL QLTY Dividend Growth Fund | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-AY. | WisdomTree Trust Japan Hedged Equity Fund | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-AZ. | WisdomTree US High Yield Corporate Bond Fund | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-BA. | WisdomTree US Midcap Div Fund | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-BB. | WisdomTree U.S Quality Dividend Growth Fund | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-BC. | WisdomTree U.S. Quality Growth Fund SHS Executed 100% | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-BD. | WisdomTree US SmallCap Divd Fd | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 14-BE. | WisdomTree US Total Divid Fd | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| | **Transactions** **Transaction Type** **Transaction Date** **Transaction Value** | | | | |
| | (1). Buy Oct 16, 2025 $15,000 or less | | | | |
| 15. | **Microsoft** | $1,000 or less | Dividend | $15,000 or less | Cash/Market |
| 16. | **Military Talent Group, Inc.** | | None | $1,000,001 - $5,000,000 | Book Value |
| 17. | **NYS 529 College Savings Account** | $1,000 or less | Dividend | $50,001 - $100,000 | Cash/Market |
| | **Additional Explanation**: Investment with Vanguard Aggressive Growth Funds | | | | |
| 18. | **Passumpsic Bank** | $1,000 or less | Interest | $15,000 or less | Cash/Market |

| | DESCRIPTION | INCOME AMOUNT | INCOME TYPE | GROSS VALUE | VALUE METHOD |
|---|---|---|---|---|---|

**Additional Explanation**: cash-equivalent account

| | | | | | |
|---|---|---|---|---|---|
| 19. | **Rental Property Brooklyn, NY** | $15,001 - $50,000 | Rent | $1,000,001 - $5,000,000 | Estimated |

**Additional Explanation**: Acquired in 1993. Value of rental property at date acquired: $230,000.

| | | | | | |
|---|---|---|---|---|---|
| 20. | **Rental Property Newport, VT** | $2,501 - $5,000 | Rent | $500,001 - $1,000,000 | Estimated |

**Additional Explanation**: City of Newport, Chittendon County, Vermont. Inherited in 1994. Value at date acquired: $200,000.

| | | | | | |
|---|---|---|---|---|---|
| 21. | **Six Circles Tr. Ultra Short** | $1,000 or less | Dividend | | |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Mar 20, 2025 | $15,000 or less |

| | | | | | |
|---|---|---|---|---|---|
| 22. | **Six Circles Trust Credit Opp.** | $1,000 or less | Dividend | | |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Mar 20, 2025 | $15,000 or less |

| | | | | | |
|---|---|---|---|---|---|
| 23. | **Six Circles Trust Global** | $1,000 or less | Dividend | | |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Mar 20, 2025 | $15,000 or less |

| | | | | | |
|---|---|---|---|---|---|
| 24. | **Six Circles Trust Int'l Unconstrained** | $1,000 or less | Dividend | | |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Sold | Mar 20, 2025 | $15,000 or less |

| | | | | | |
|---|---|---|---|---|---|
| 25. | **Skyworks Solutions** | $1,000 or less | Dividend | $15,000 or less | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy (add'l) | Oct 9, 2025 | $15,000 or less |

| | | | | | |
|---|---|---|---|---|---|
| 26. | **(H) Wilson Davis Accounts # 1** | | | | |
| 26-A. | BOTS Inc. | | None | $15,000 or less | Cash/Market |
| 26-B. | NVIDIA Corp. (NVDA) stock | $1,000 or less | Dividend | $15,000 or less | Cash/Market |

| Transactions | Transaction Type | Transaction Date | Transaction Value |
|---|---|---|---|
| (1). | Buy | Nov 14, 2025 | $1,000 - $15,000 |

## VIII. Additional Information or Explanations

| | ADDITIONAL INFORMATION OR EXPLANATION |
|---|---|
| 1. | I have removed Vanguard Short Term Bond from my 2025 annual report as I believe it was misreported as a holding in prior years. |