AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Arcara, Richard J.	U.S. District Court W.D.N.Y.	5/10/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
2 Niagara Square Buffalo, New York 14202		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Arcara, Richard J.	5/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	RBC Branch Sweep Program	A	Interest	N	T					
2.	RBC Branch Sweep Program	A	Interest	L	T					
3.	RBC Wealth Management Cash (y)									
4.	Alcoa Inc. p/c 04/06/20	A	Interest			Redeemed	01/15/21	K		
5.	Alger Small Cap Focus Fund CL A	A	Dividend	J	T					
6.	Ally BK Midvale Utah C/D FDIC Ins to Limits	A	Interest			Redeemed	11/01/21	K		
7.	Annaly Capital Management Inc 6.50 SRS	B	Dividend	K	T					
8.	Annaly Capital Management Inc 6.95 SRS	B	Dividend	K	T					
9.	Alternative Loan Trust MTGPC Series	A	Interest	J	T					
10.	AT&T Inc 5.350 Global Notes due 2066	B	Interest	K	T					
11.	Bank of America Corp	A	Dividend	K	T					
12.	Bank of America Corp 5.00% Dep Shrs callable 9/17/24	B	Dividend	K	T					
13.	Bank of America FDG Corp Series 2007-2 Class 1A7	A	Interest	J	T					
14.	Bank of American Fund Corp Ser 2007-2 CL TA1B	A	Interest	J	T					
15.	Bank of America FNDG Corp TR Series 2007-5 Class CA4	A	Interest	K	T					
16.	Blackrock Capital Allocation Trust Common Shares of Bene Int	B	Dividend	K	T	Sold (part)	12/30/21	K		
17.						Sold (part)	12/31/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Blackrock Enhanced Int'l Div Trust	B	Dividend	K	T					
19. Blackrock Global Alloc Fd Inc CI A	A	Dividend	K	T	Sold (part)	04/20/21	K	C	
20. BlackRock Global Alloc Fd Inc Cl A	A	Dividend	J	T					
21. BlackRock Health Sciences Trust II Com	C	Dividend	L	T					
22. BlackRock Health Sciences Trust II Com	A	Dividend	J	T					
23. Blackrock Innovation & Growth Trust Common	A	Dividend	J	T	Buy	03/26/21	K		
24. Blackrock Multi Sector Income	C	Dividend	K	T	Sold (part)	09/16/21	K		
25. BlackRock NY Muni Income	A	Dividend	J	T					
26. Boulder Growth & Income FD		None	K	T	Buy	11/19/21	K		
27. CHL Mortgage Pass Through 2006-15	A	Interest	J	T					
28. CHL Mortgage Pass Through 2006-J4	A	Interest	J	T					
29. CHL Mortgage Pass Through 2006-16	A	Interest	J	T					
30. CHL Mortgage Pass Through 2007-3	A	Interest	J	T					
31. Citigroup Coml Mtg TR Ser 2008-C7 Class AJ (y)									
32. Citigroup Commercial Mort Tr MTGPC Ser 2006-C5 A-J Fixed	A	Interest	J	T					
33. Citigroup Inc. 6.875% ser K	B	Dividend	K	T					
34. CitiMortgage Alt. Loan Trust Series 2006-A4	A	Interest	J	T					

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35.	Clearbride Value Trust Class A	D	Dividend	L	T					
36.	Clearbridge MLP and Midstream Total Return Fund	A	Dividend	J	T					
37.	Cohen & Steers MLP Income & Energy Opportunity FD Inc	A	Dividend			Sold (part)	05/12/21	K		
38.						Sold	05/13/21	J		
39.	Cohen & Steers Tax Advantaged Pref Securities and Income Fund	A	Dividend	J	T					
40.	Cohen & Steers Tax Advantaged Pref Securities and Income Fund	A	Interest	J	T					
41.	CSMC Mortgage Backed Trust MTGPC/ Series 2006-9 2-A-1 Fixed Rt	A	Interest	J	T					
42.	Delta Air Lines Del OID 12/15/25	B	Interest	K	T					
43.	Doubleline Income Solutions FD	C	Dividend	K	T	Sold (part)	12/31/21	K		
44.	Doubleline Income Solutions FD	A	Dividend	J	T					
45.	Duke Energy Corporation 5.125% Jr Sub Debentures	B	Interest			Redeemed	10/07/21	K	A	
46.	Duke Energy Corporation 5.625% Jr Sub Debentures	B	Dividend	K	T					
47.	Eaton Vance Fltng RT 2022 Trgt Trm TR	B	Dividend	K	T					
48.	Eaton Vance Fltng RT 2002 Trgt Trm TR	B	Dividend	K	T					
49.	Eaton Vance Floating Rate Income Plus Fund	A	Dividend			Sold (part)	06/28/21	J	A	
50.						Sold	12/28/21	J	A	
51.	Eton Vance Floating Rate Income Plus Fund	A	Dividend			Sold	06/28/21	K	A	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
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52. Eaton Vance Grwth TR Atlanta Cap Select CL A	B	Dividend	L	T					
53. Eaton Vance High Income 2021 Target Term TR Com SH	A	Dividend			Sold	07/06/21	K		
54. Eaton Vance Tax-Managed Glbl Diversified Equity Income Fd	C	Dividend	L	T					
55. Eaton Vance Mut FDS TR FLTG Rate Advantage	B	Dividend	K	T	Buy (add'l)	02/16/21	J		
56. Euro Pacific Growth Fund CI. A	B	Dividend	K	T					
57. Federal Home Loan Mtg Corp Ser 4604 Cl CD	A	Interest			Redeemed	04/15/21	J		
58. Federal Home Loan Mtg Corp Ser 5000 CL HU	A	Interest	J	T					
59. Federated Hermes Intl Equity CI A	C	Dividend	K	T					
60. Fidelity Adv New Insights Fd Cl A	D	Dividend	L	T					
61. Fidelity Adv New Insights Fd Cl A	B	Dividend	K	T					
62. Fidelity Advisor New Insights Fd CI A	B	Dividend	J	T					
63. Fidelity Advisor New Insights CI A	D	Dividend	L	T					
64. Fidelity Adv New Insights Cl C	B	Dividend	K	T					
65. First TR MLP & Energy Income Fund	B	Dividend	J	T					
66. First Trust Intermediate Duration Preferred & Income FD	B	Dividend	K	T					
67. First Trust Intermediate Duration Preferred & Income Fd	B	Dividend	K	T					
68. FNMA REMIC Trust 2016-39 CA	A	Interest	J	T					

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69.	Ford Motor Company 6.20% Notes Due 2059 callable 06/01/24	B	Interest	K	T					
70.	Ford Motor Company 6.0%Notes Due 2059 callable 12/1/24	B	Interest	K	T					
71.	Ford Motor Credit Company FR 3.7% 082024 callable 8/20/20	A	Interest			Redeemed	08/20/21	K		
72.	Global X FDS call ETF	B	Dividend	K	T	Buy	07/15/21	K		
73.	Goldman Sachs HY Fund CI.A	B	Dividend	K	T					
74.	GOV'T Nat'l Mtge Assoc 2012-96 CLYG	A	Interest	J	T					
75.	Gov't Nat'l Mtg Assn Ser 2012-074 CL MB	A	Interest			Redeemed	05/20/21	J	A	
76.	Gov't Nat'l Mtg Assn Ser 2012-136 CI Ba	A	Interest	J	T					
77.	Gov't Nat'l Mtg Assn Ser 2016-160 CL UB	A	Interest			Redeemed	12/20/21	J	A	
78.	Gov't Nat'l Mtg Assn Ser 2017-149 CL A	A	Interest	J	T					
79.	GS MTG Secs Corp Series 2006-2F Class 2A6	A	Interest	J	T					
80.	Hotchkis & Wiley FDS Mid Cap Value Fd CI		None			Sold	01/28/21	J		
81.	Hotchkis & Wiley Funds Mid Cap Value FD CL A	A	Dividend	K	T					
82.	Invesco Value Opportunities Class A	C	Dividend	K	T					
83.	Invesco Charter Fund CI A	B	Dividend	K	T					
84.	Invesco Trust for Investment	A	Dividend	J	T					
85.	Ivy FDS Inc Asset Strategy Fd CI A	D	Dividend	L	T	Sold (part)	09/08/21	J	B	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
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86. Ivy Fds Asset Strategy CI A	D	Dividend	L	T					
87. Ivy FDS Large Cap growth Fd CI A	B	Dividend	K	T					
88. Ivy FDS Large Cap Growth FD CI A	B	Dividend	K	T	Sold (part)	10/29/21	J	A	
89. Janus Invt FD Henderson Multisector Income FD A	B	Dividend	K	T					
90. John Hancock Financial Opportunity Fund	B	Dividend	L	T					
91. JP Morgan Trust Series 2007-S1	A	Interest	J	T					
92. JP Morgan Chase&Co 4.20% Dep Shs Rep1/400th callable 09-01-26	A	Dividend	K	T	Buy	07/23/21	K		
93. JP Morgan Chase & Co Dep Shs Each REPSTG A 6.0% callable 3/1/24	B	Dividend	K	T					
94. JP Morgan Chase Commercial Mortgage Secumtgpc/Series 2006 (y)									
95. KeyCorp New	B	Dividend	K	T					
96. Kinetics Paradigm Fund Adv CI A	B	Dividend	K	T					
97. Kinetics Paradigm Fund Advisor CI C	A	Dividend	L	T					
98. KKR & Co LP 6.75% Series A Preferred Units	A	Dividend			Redeemed	06/16/21	K		
99. Lehman Mortgage Trust Series 2007-10	B	Interest			Sold	12/23/21	J		
100. Locorr Invt TR Spectrum Income FD CL A	B	Dividend	K	T					
101. M&T Bank Corp	C	Dividend	L	T					
102. Merck & Co Inc	B	Dividend	K	T					

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103. Morgan Stanley Mortgage Loan Tr Series 2006-11 2-A-1 Fixed RT	A	Interest	J	T					
104. National Fuel Gas Co	A	Dividend	K	T					
105. New Perspective Fund IncCl. A	B	Dividend	K	T					
106. Nuveen Corporate Income Nov 2021 Taget Term Fund	A	Dividend			Sold	11/02/21	K		
107. Nuveen CR Opportunities 2022 TRGT Term FD	B	Dividend	K	T					
108. Nuveen High Income 2023 Target Term Fund	B	Dividend	K	T					
109. Nuveen Credit Strategies Inc Fund	C	Dividend	K	T					
110. Nuveen Municipal Credit Opport Fund Common	A	Dividend	J	T					
111. Nuveen Municipal Credit Opport Fund Common	C	Dividend	L	T					
112. Nuveen Variable Rate Preferred & Income Fund Common Shares		None	L	T	Buy	12/16/21	K		
113. Organon & Co	A	Dividend	J	T	Distributed (part)	06/03/21	J		
114. PGIM Jenn Glbl Opportunities Fund CI A		None	K	T	Buy	12/21/21	K		
115. PGIM Jennison Growth Fund Class A	E	Dividend	M	T					
116. PGIM Jennison 20/20 Focus Fund CI C	D	Dividend			Sold	12/21/21	K		
117. Proctor & Gamble	A	Dividend	K	T					
118. Prospect Cap Corp FR 4.75% callable 1/31/19	B	Interest			Redeemed	07/15/21	K	A	
119. Prospect Cap Corp 6.875% due 2029 Callable 12/15/21	B	Interest			Redeemed	12/30/21	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Arcara, Richard J.	5/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. QVC Inc. 6.25% Senior Secured Notes due 2068	B	Dividend	K	T					
121. Qwest Corporation 6.5% SR Notes due 2056	B	Interest	K	T					
122. Residential Accredit LNS Inc CL A-5	A	Interest	J	T					
123. Residential Accredit LNS Inc CL I-A-5	A	Interest	J	T					
124. Royce Fd Total Return Fd Consultant	C	Dividend	J	T					
125. Royce Fund - PAMutual Fund Consultant CI	C	Dividend	K	T					
126. Royce Fund - PAMutual Fund Consultant C	D	Dividend	L	T					
127. Templeton Foreign Fd CI A	A	Dividend	K	T					
128. Thredup Inc Class A		None			Buy	03/29/21	J		
129. Thredup Inc Class A		None			Sold	06/08/21	J	C	
130. Thornburg Intl Value Fund CI. A	C	Dividend	L	T					
131. Triton International LTD 6.875% Series D 3/15/25	B	Dividend	K	T					
132. Trst for Advised Port Miller Opport TR CL A	A	Dividend	L	T					
133. Trst for Advised Port Miller Opport TR CL A	A	Dividend	K	T					
134. Trst for Advised Port Miller Opport TR CI A	A	Dividend	J	T	Sold (part)	11/02/21	J	C	
135. Trst for Advised Port Miller Opport TR CL C	A	Dividend	J	T					
136. Trst for Advised Port Miller Opport TR CL C	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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Name of Person Reporting	Date of Report
Arcara, Richard J.	5/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137. UIT AAM 50 Divid Strategy Port Ser 2020-2Q Reinvest	B	Dividend			Redeemed	09/15/21	K	D	
138. UIT AAM High 50 Divid Strategy Port Ser 2021-3Q Reinvest	A	Dividend	K	T	Buy	09/14/21	K		
139. UIT FT Amem Recovery Prtfolio Ser Semi Annual Reinvest 4/21/22	A	Dividend	K	T					
140. Virtus Invt TR NFJ Divid Valye Fd CI A (formerly Allianzgi Divid Value	C	Dividend	K	T					
141. United States Cellular Corp 7.25% Sr. Notes (x)	A	Interest			Redeemed	05/12/21	K		
142. Wells Fargo & Company 4.375% Dep Shs callable 3/15/26	B	Dividend	L	T	Buy	02/26/21	K		
143. Wells Fargo & Company 5.7% Dep Shs Rep 1/1000th Int	A	Dividend			Redeemed	03/15/21	K		
144. Western Asset Diversified Income Fund Common Share	A	Dividend	J	T	Buy	06/25/21	J		
145.					Buy (add'l)	08/16/21	J		
146. Western Asset High Yield Defined Opportunity Fd	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Arcara, Richard J.	5/10/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Richard J. Arcara

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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