Annual, 2025

Financial Disclosure Report (AO Form 10)

Filer's Information
Arcara, Richard J.
U.S. District Court - New York Western
Senior District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

/s/ Arcara, Richard J. [electronically signed on Jun 11, 2026 4:28pm by Arcara, Richard J. in JEFS]
/s/ Arcara, Richard J. [electronically signed on May 13, 2026 9:59am by Arcara, Richard J. in JEFS]

I. Positions

I do not have any Positions to report
No entries in this section

II. Agreements

I do not have any Agreements to report
No entries in this section

III A. Filer Non-Investment Income

I do not have any Filer Non-Investment Income to report
No entries in this section

III B. Spouse Non-Investment Income

I do not have any Spouse Non-Investment Income to report
No entries in this section

IV. Reimbursements

I do not have any Reimbursements to report
No entries in this section

V. Gifts

	SOURCE OF GIFT	DESCRIPTION	VALUE
1.	Patrick Lee	Private charter flights on 7/19/25, 7/20/25, and 7/27/25	$3,220.00

VI. Liabilities

I do not have any Liabilities to report
No entries in this section

VII. Investments and Trusts

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1.	**Nuveen Municipal Credit Opport Fund Common**	$1,000 or less	Dividend		
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Sold Dec 22, 2025 $15,000 or less				
2.	**Federal Home Loan Bank callable 01/14/26 5.040%**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Buy Apr 10, 2025 $15,001 - $50,000				
3.	**Doubleline Income Solutions FD**	$1,001 - $2,500	Dividend		
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Sold Dec 22, 2025 $15,000 or less				
4.	**Kinetics Paradigm Fund Advisor Cl C**	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
5.	**Clearbridge Energy Midstream Opportunity Fund Inc**	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
6.	**UIT FT FT 60/40 Target Income Portfolio Ser 3 Monthly Reinvest**	$1,001 - $2,500	Dividend		
7.	**Angel Oak Mortgage REIT Inc**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Buy May 15, 2025 $15,001 - $50,000				
8.	**Goldman Sachs BK USA**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
9.	**Federal Home Loan Mtg Corp Ser 5000 CL HU**	$1,000 or less	Interest	$15,000 or less	Cash/Market
10.	**Global X FDS call ETF**	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
11.	**Ally Finl Inc. Callable 05/15/26 at 100.00 Moody N/A S&P BBB**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
12.	**RWT, REDWOOD TRUST INC**		None	$15,001 - $50,000	Cash/Market
	Transactions / **Transaction Type** / **Transaction Date** / **Transaction Value**: (1). Buy Nov 19, 2025 $15,001 - $50,000				
13.	**Citigroup Inc.**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Mar 13, 2025 $15,001 - $50,000				
14.	**RBC Branch Sweep Program**	$1,000 or less	Interest	$50,001 - $100,000	Cash/Market
15.	**Ford Motor Credit Company FR 4.1%**	$1,000 or less	Interest		
16.	**First Trust Intermediate Duration Preferred & Income FD**	$1,001 - $2,500	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Dec 22, 2025 $15,001 - $50,000				
17.	**UIT FT FT 60/40 Target Income Portfolio Ser 8 Monthly Reinvest**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
18.	**Duke Energy Corporation 5.625% Jr Sub Debentures**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
19.	**HSBC USA Inc.**	$1,000 or less	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Jan 16, 2025 $15,000 or less				
	(2). Redeemed Jul 24, 2025 $15,000 or less				
20.	**Bank of America Corp**	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
21.	**Royce Fund - PA Mutual Fund Consultant Cl**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
22.	**Advisor Managed Portfolios Patient Opportunity TR CL A**		None	$15,000 or less	Cash/Market
23.	**JEFFERIES FINANCIAL GROUP INC DUE 12/13/2029 05.375% JD 13**	$1,000 or less	Interest		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Redeemed Dec 15, 2025 $15,000 or less				
24.	**JP Morgan Chase & Co 6.00% Dep Shs Rep 1/400th callable 03-01-26**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
25.	**Federal Home Loan Bank callable 08/20/26 5.000%**	$1,000 or less	Interest		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Aug 19, 2025 $15,001 - $50,000				
	(2). Redeemed Nov 20, 2025 $15,001 - $50,000				
26.	**UIT FT Amern Recovery Portfolio Ser 24 Semi Annual Reinvest**		None	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Dec 12, 2025 $50,001 - $100,000				
27.	**Blackrock Multi Sector Income**	$1,001 - $2,500	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Dec 22, 2025 $15,001 - $50,000				
28.	**Hotchkis & Wiley Funds Mid Cap Val FD CL A**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

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	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
29.	**Fidelity Adv New Insights Fd Cl A**	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
30.	**Federal Home LN Mtg Corp Due 05/08/2030 5.000%**		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	May 14, 2025	$15,001 - $50,000
(2).	Redeemed	Aug 8, 2025	$15,001 - $50,000

31.	**UBS AG Contingent Inc Autocall LNKD to KEY**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 29, 2025	$15,001 - $50,000

32.	**UIT FT Vest Enhanced Large Cap Buffered 15 Port Ser 29**		None	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 28, 2025	$15,001 - $50,000

33.	**HSBC USA Inc.**	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jan 16, 2025	$15,001 - $50,000
(2).	Redeemed	Jul 24, 2025	$15,001 - $50,000

34.	**Citigroup GMH Inc. Contingent Inc Autocall**	$2,501 - $5,000	Interest		
35.	**AT&T Inc 5.350 Global Notes due 2066**	$1,001 - $2,500	Interest		

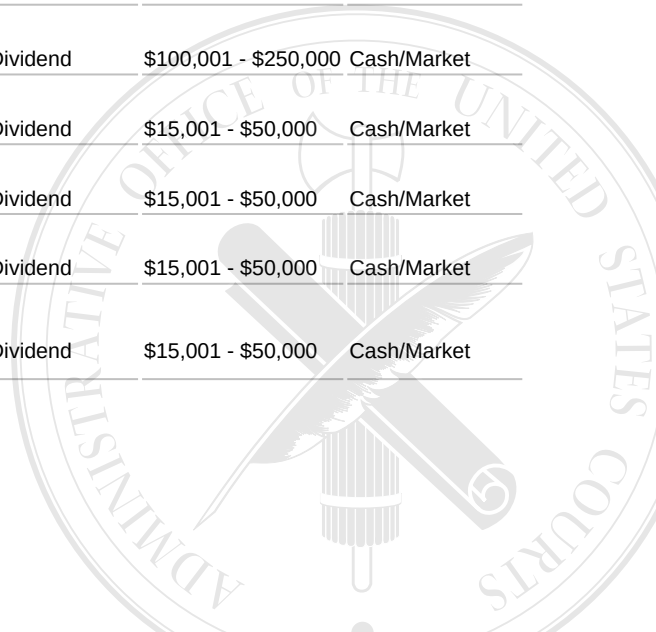
Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Dec 22, 2025	$15,001 - $50,000

36.	**Federal Home Loan Bank callable 07/16/26 5.000%**	$1,001 - $2,500	Interest,	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 29, 2025	$50,001 - $100,000

37.	**Putnam Invt FDS Govt Money Mkt FD CL A**	$1,000 or less	Dividend	$250,001 - $500,000	Cash/Market
38.	**UIT FT The Key 3 Portfolio Ser 25 Semi-Annual Reinvest**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
39.	**Federal Farm Credit Bank callable 02/28/25 5.140%**	$1,000 or less	Interest		
40.	**PGIM Jenn Glbl Opportunities Fund Cl A**	$15,001 - $50,000	Dividend	$100,001 - $250,000	Cash/Market
41.	**Templeton Foreign Fd Cl A**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
42.	**Janus Invt FD Henderson Multisector Income FD A**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
43.	**PGIM Jenn Glbl Opportunities Fund Cl A**	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
44.	**UIT AAM High 50 Divid Strategy Port Quarterly Reinvest**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jun 20, 2025	$15,001 - $50,000



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
45.	**Proctor & Gamble Co**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
46.	**Truist BK CD CLL callable 4/10/26**	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 31, 2025	$15,001 - $50,000

47.	**Locorr Invt TR Spectrum Income FD CL A**	$1,000 or less	Dividend		
48.	**Virtus Invt TR NFJ Divid Value Fd Cl A**	$5,001 - $15,000	Dividend	$15,001 - $50,000	Cash/Market
49.	**Citigroup Inc callable 9/17/26 at 5.300%**		None	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 31, 2025	$15,000 or less

50.	**Bank of Montreal Contingent Inc Autocall LNKD to AMZN**		None	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 29, 2025	$15,001 - $50,000

51.	**Federal Home Loan Bank callable 06/09/25 5.275%**	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Redeemed	Sep 15, 2025	$15,000 or less

52.	**RBC Branch Sweep Program**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
53.	**Eaton Vance Grwth TR Atlanta Cap Select CL A**	$15,001 - $50,000	Dividend	$100,001 - $250,000	Cash/Market
54.	**John Hancock Financial Opportunity Fund**	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
55.	**Blackrock Enhanced Int'l Dividend Trust**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
56.	**Blackstone Mortgage Trust Inc**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
57.	**Thornburg Intl Value Fund Cl. A**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
58.	**M&T Bank Corporation 7.50% SEP SHS REP**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
59.	**UIT AAM High 50 Divid Strategy Port Quarterly Reinvest**	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Redeemed	Jun 20, 2025	$15,001 - $50,000

60.	**Nomura Large Cap Growth Fd Cl A (formerly MacQuaire)**	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
61.	**Cohen & Steers Tax Advantaged Pref Securities and Income Fund**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
62.	**CSMC Mortgage Backed Trust MTGPC/Series 2006-9 2-A-1-Fixed Rt**	$1,000 or less	Interest	$15,000 or less	Cash/Market
63.	**CFG CMNTY BK callable 02/14/26**		None	$15,001 - $50,000	Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Nov 4, 2025 $15,001 - $50,000				
64.	**Citigroup Inc callable 2/15/31 at 6.625%**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Dec 5, 2025 $15,001 - $50,000				
65.	**Ford Motor Company 6.20% Notes Due 2059 callable 01/31/26**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
66.	**Eaton Vance Large Cap Value Fund CL A**		None	$50,001 - $100,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Dec 22, 2025 $50,001 - $100,000				
67.	**Federal Farm Credit Bank callable 05/29/25 5.520%**	$1,000 or less	Interest		
68.	**Blackrock Health Sciences Trust II Com**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
69.	**RBC Branch Sweep Program**	$1,000 or less	Interest	$15,000 or less	Cash/Market
70.	**Blackrock Global Allocation Fd Inc Cl A**	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
71.	**Blackrock Global Allocation Fd Inc Cl A**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
72.	**Jetblue Airways Corp Conv**	$1,000 or less	Interest	$15,000 or less	Cash/Market
73.	**Western Asset Diversified Income Fund Common Share**	$1,001 - $2,500	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Dec 22, 2025 $15,001 - $50,000				
74.	**Advisor Managed Portfolios Patient Opportunity TR CL A**		None	$50,001 - $100,000	Cash/Market
75.	**Plains St Bk Tex**	$1,000 or less	Interest		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Redeemed Jan 28, 2025 $15,001 - $50,000				
76.	**JP Morgan Trust Series 2005-S3**	$1,001 - $2,500	Interest	$15,000 or less	Cash/Market
77.	**NFG, NATIONAL FUEL GAS CO**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
78.	**Federal Farm Credit Bank callable 09/15/25 4.780%**		None	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Sep 12, 2025 $15,001 - $50,000				
79.	**Federal Home Loan Bank callable 09/15/2033 04.780%**	$1,000 or less	Interest	$50,001 - $100,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Sep 12, 2025 $15,001 - $50,000				
80.	**UBS AG Contingent Inc Autocall LNKD to KEY**	$1,000 or less	Interest	$15,000 or less	Cash/Market

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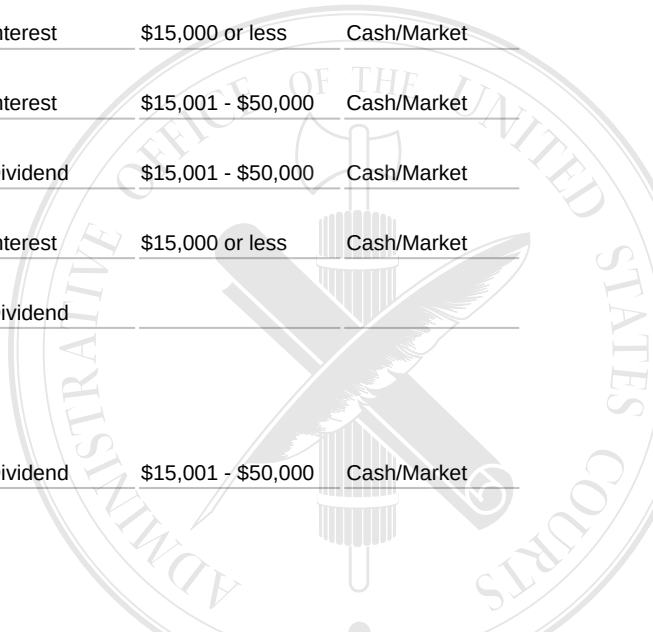
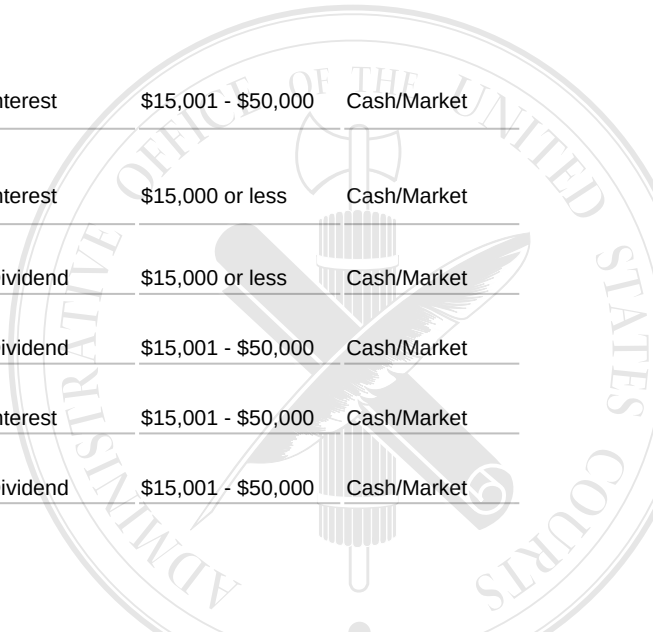
	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Jul 29, 2025 $15,000 or less				
81.	**Ford Motor Company 6.00% Notes Due 2059 callable 01/31/26**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
82.	**Amazon.com Inc**		None	$50,001 - $100,000	Cash/Market
83.	**Nomura Large Cap Growth Fd Cl A (formerly MacQuaire)**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
84.	**Federal Farm Credit Bank callable 02/26/26 5.000%**		None	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Nov 20, 2025 $15,001 - $50,000				
85.	**Federal Home Loan Bank callable 11/26/2035 05.000%**		None	$50,001 - $100,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Nov 20, 2025 $15,001 - $50,000				
86.	**Cohen & Steers Tax Advantaged Pref Securities and Income Fund**	$1,001 - $2,500	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Dec 22, 2025 $15,000 or less				
87.	**United Continental Holdings Inc Senior Notes**	$1,000 or less	Interest		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Redeemed Jan 15, 2025 $15,001 - $50,000				
88.	**Federal Home Loan Bank callable 07/14/26 5.050%**		None	$50,001 - $100,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Jul 25, 2025 $50,001 - $100,000				
89.	**Blackrock Health Sciences Trust II Com**	$1,001 - $2,500	Dividend	$15,000 or less	Cash/Market
90.	**Delta Air Lines Inc Del OID 12/15/25**	$1,001 - $2,500	Interest		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Redeemed Dec 15, 2025 $15,001 - $50,000				
91.	**CWALT Inc Class 2-A-10**	$1,001 - $2,500	Interest	$15,000 or less	Cash/Market
92.	**Barclays Bank PLC**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
93.	**Triton International LTD 6.875% Series D 1/31/26**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
94.	**Citimortgage Alternative Loan Trust Series 2006-A4**	$1,000 or less	Interest	$15,000 or less	Cash/Market
95.	**Wells Fargo Bank Natl Assn CD Due 1/21/25 05.200%**	$1,000 or less	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Redeemed Jan 21, 2025 $15,001 - $50,000				
96.	**First TR Exchange Traded FD VII Ft Energy Income**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
97.	**Cohen & Steers Real Estate Opportunity Income**	$1,001 - $2,500	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Dec 22, 2025 $15,001 - $50,000				
98.	**Advisor Managed Portfolios Patient Opportunity TR CL A**		None	$100,001 - $250,000	Cash/Market
99.	**Nomura Asset Strategy Fund Class A**	$5,001 - $15,000	Interest	$50,001 - $100,000	Cash/Market
100.	**Eaton Vance Mut FDS TR FLTG Rate Advantage**	$2,501 - $5,000	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Dec 22, 2025 $50,001 - $100,000				
101.	**Blackrock NY Muni Income**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
102.	**Bank of Montreal Contingent Inc Autocall LNKD to AMZN**		None	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Dec 29, 2025 $15,001 - $50,000				
103.	**FNMA REMIC Trust 2016-39 CA**	$1,000 or less	Interest	$15,000 or less	Cash/Market
104.	**Advisor Managed Portfolios Patient Opportunity TR CL A**		None	$15,000 or less	Cash/Market
105.	**Truist BK CD CLL callable 4/10/26**	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Mar 31, 2025 $15,001 - $50,000				
106.	**MTB, M&T BANK CORP**	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
107.	**Royce Fund - PA Mutual Fund Consultant Cl**	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
108.	**First Trust Intermediate Duration Preferred & Income FD**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
109.	**Federal Home LN Mtg Corp Due 05/08/2030 5.000%**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy May 15, 2025 $15,001 - $50,000				
110.	**JP Morgan Chase FINL CO LLC VR LKD TO CPURNSA**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
111.	**Bank of America FNDG Corp TR Series 2007-2 Class 1A7**	$1,000 or less	Interest	$15,000 or less	Cash/Market
112.	**MacQuaire Asset Strategy Fund Cl A**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
113.	**Invesco Trust for Investment**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
114.	**Qwest Corporation 6.5% SR Notes due 2056**	$2,501 - $5,000	Interest	$15,001 - $50,000	Cash/Market
115.	**Annaly Capital Management Inc 6.95 SRS**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market



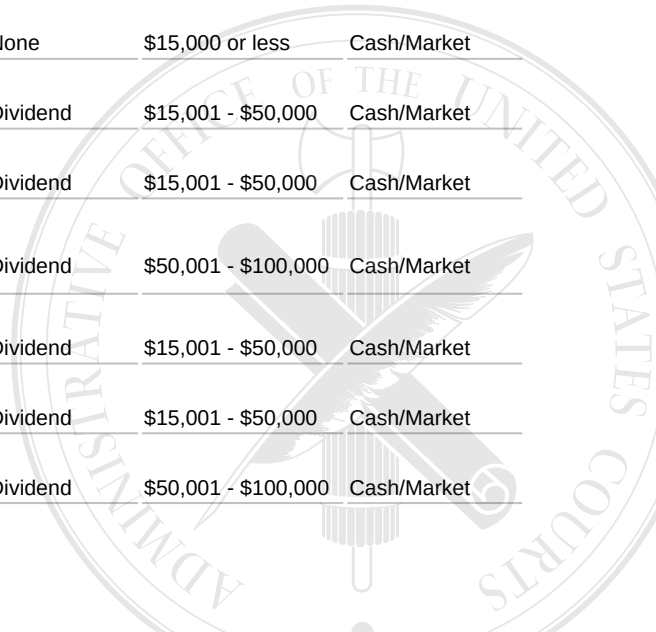
	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
116.	**FS KKR Capital Corp Common Stock**	$5,001 - $15,000	Dividend	$15,001 - $50,000	Cash/Market
117.	**UIT FT Amern Recovery Portfolio Ser 19 Semi Annual Reinvest**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Redeemed	Dec 12, 2025	$50,001 - $100,000

118.	**JP Morgan Chase Bk N A 4.00%**	$1,001 - $2,500	Interest		
119.	**Bank of America Corp 5.00% Dep Shrs callable 01/31/26**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
120.	**FREDDIE MAC DUE 01/28/2030 05.125% JJ 28**	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jan 27, 2025	$50,001 - $100,000
(2).	Redeemed	Jul 28, 2025	$50,001 - $100,000

121.	**Credit Suisse First Boston MTG Series 2006-1 Class 3-A-19**	$1,000 or less	Interest		
122.	**Nuveen Credit Strategies Inc Fund**	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
123.	**UIT AAM Dividend Advantage Portfolio Quarterly Reinvest**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
124.	**Pennymac Corp SR Exch Conv Moody BAA2 S&P BBB**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
125.	**Invesco Charter Fund Cl A**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
126.	**Merck & Co Inc**	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
127.	**Royce Small Cap Total Return Fund Consultant Cl**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
128.	**Invesco Value Opportunities Class A**	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
129.	**Bank of America FNDG Corp TR Series 2007-5 Class CA4**	$1,000 or less	Interest	$15,000 or less	Cash/Market
130.	**GS MTG Secs Corp Series 2006-2F Class 2A6**	$1,000 or less	Interest	$15,000 or less	Cash/Market
131.	**Alger Small Cap Focus Fund CL A**		None	$15,000 or less	Cash/Market
132.	**Federated Hermes Intl Equity Cl A**	$15,001 - $50,000	Dividend	$15,001 - $50,000	Cash/Market
133.	**Annaly Capital Management Inc 6.50 SRS**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
134.	**Eaton Vance Tax-Managed Glbl Diversified Equity Income Fd**	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
135.	**Fidelity Adv New Insights Fd Cl A**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
136.	**Western Asset High Yield Defined Opportunity Fd**	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
137.	**Fidelity Adv New Insights Fd Cl A**	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
138.	**Federal Home Loan Bank callable 02/27/26 5.300%**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Redeemed (part)	Sep 10, 2025	$15,001 - $50,000

139.	**Pimco Access Income Fund Common Shares of Beneficial Interest**	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Dec 22, 2025	$15,001 - $50,000

140.	**Clearbridge Value Trust Class A**	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
141.	**Fidelity Adv New Insights Fd Cl A**	$15,001 - $50,000	Dividend	$100,001 - $250,000	Cash/Market
142.	**Morgan Stanley Mortgage Loan Tr Series 2006-11 2-A-1 Fixed RT**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
143.	**M&T Bank Corporation 6.35% SEP SHS REP**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
144.	**First US Bk Birmingham Ala CD due 04/10/2026 04.700%**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
145.	**Blackrock Capital Allocation Trust Common Shares of Beneficial I**	$5,001 - $15,000	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Dec 22, 2025	$15,001 - $50,000

146.	**Royce Small Cap Total Return Fund Consultant Cl**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
147.	**Doubleline Income Solutions FD**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
148.	**Goldman Sachs HY Fund Cl. A**	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
149.	**New Perspective Fund Inc Cl. A**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
150.	**New Perspective Fund Inc Cl. A**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
151.	**Goodyear Tire & Rubber Co Make Whole Call until 12/15/26 Senior**	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market

VIII. Additional Information or Explanations

I do not have any Additional Information to report
No entries in this section