AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Arnold, Morris S.	8th U S Circuit Ct of Appeals	05/13/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Judge--Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Richard Sheppard Arnold United States Courthouse 500 West Capitol Ave., Suite C-150 Little Rock, AR 72201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	University of Arkansas, author's royalties	$416.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Arnold, Morris S.	05/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Simmons Bank - Account 1	A	Interest	K	T					
2.	Simmons Bank - Account 2	A	Interest	O	T					
3.										
4.	Mineral Interests - Various Lands in Texas (H)									
5.	Southland Champion Mineral, Morgan Keegan, Lufkin, TX	B	Royalty	K	W					
6.	Grayburg Mineral Account, Morgan Keegan, Lufkin, TX	A	Royalty	J	W					
7.										
8.	LPL Financial Formerly Wells Fargo Clearing Services, LLC - Acct 1 (H)									
9.	Bank Deposit Sweep	A	Interest	K	T					
10.	Norfolk Southern Corp NSC	C	Dividend	N	T					
11.	Simmons 1st Natl Corp CL A $5 Par SFNC	D	Dividend	M	T					
12.	Amazon.com Inc AMZN	B	Dividend			Sold	09/23/21	K	B	
13.	Apple Inc AAPL	D	Dividend			Sold	09/23/21	K	D	
14.	First Trust Val LN TGT SAFETY30 3Q20 MO RE	C	Dividend			Buy (add'l)	06/30/21	J		
15.						Sold	10/08/21	K	C	
16.	IShares Silver Trust	A	Dividend			Buy	03/31/21	L		
17.						Sold	09/23/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18.									
19. LPL Financial Formerly Wells Fargo Clearing Services, LLC - Acct 2 (H)									
20. Bank Deposit Sweep	A	Interest	K	T	Open	01/01/21	K		
21. Dillards Inc CL A DDS	A	Dividend	L	T					
22. MFS Core Equity Fund CL A MRGAX	A	Dividend	K	T	Buy (add'l)	06/30/21	J		
23. First Trust Val LN TGT SAFETY30 3Q20 MO RE	A	Dividend			Buy (add'l)	06/30/21	J		
24.					Sold	06/30/21	J	C	
25.									
26. TIAA-CREF - Account 1 (H)									
27. TIAA Traditional	A	Dividend	M	T	Sold (part)	12/15/21	J	A	
28. TIAA Real Estate	B	Dividend			Distributed	12/21/21	K		
29. CREF Bond Market R3	A	Dividend			Distributed	12/21/21	L		
30. CREF Stock R3	D	Dividend			Distributed	12/21/21	M		
31. CREF Inf-Linked Bond R3	A	Dividend			Distributed	12/21/21	J		
32. CREF Global Equities R3	D	Dividend			Distributed	12/21/21	L		
33. T-C Intl Eq-Inst	A	Dividend			Distributed	12/21/21	K		
34. T-C Sm-Cap Eq-Inst	D	Dividend			Distributed	12/21/21	L		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Vang Mid-Cap Idx Ins	C	Dividend			Distributed	12/21/21	K		
36. Vang Value Index Inst	D	Dividend			Distributed	12/21/21	L		
37.									
38. TIAA-CREF - Account 2 (H)									
39. TIAA Traditional	A	Dividend	L	T	Sold (part)	11/30/21	J	A	
40. TIAA Real Estate	C	Dividend			Distributed	11/30/21	K		
41. CREF Bond Market R3	A	Dividend			Distributed	11/30/21	K		
42. CREF Stock R3	B	Dividend			Distributed	11/30/21	K		
43. CREF Global Equities R3	B	Dividend			Distributed	11/30/21	K		
44.									
45. TIAA-CREF - Account 3 (H)									
46. CREF Global Equities R3	D	Dividend	L	T	Sold (part)	12/15/21	J	A	
47.									
48. TIAA-CREF - Account 4 (H)									
49. TIAA-CREF Managed Allocation Fund - RTL	F	Dividend	O	T	Buy (add'l)	12/17/21	L		
50.					Sold (part)	09/23/21	M	E	
51.									

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. TIAA-CREF - Account 5 (H)									
53. TIAA Bank Savings	A	Interest			Closed	06/30/21	N		
54. TIAA Retirement Reserves	A	Interest	O	T	Open	11/30/21	O		
55.									
56. Stephens Inc. - Account 1 (H)									
57. Federated Govt Oblig SS	A	Interest	J	T					
58. Vanguard Totl Stock Mkt Vipers	B	Dividend	L	T	Sold (part)	10/07/21	J	B	
59. Vanguard Total Bond Market - ETF	A	Dividend	K	T	Sold (part)	05/14/21	J	D	
60. Vanguard Index FDS Vanguard Growth ETF	A	Dividend	J	T	Sold (part)	03/09/21	J	B	
61. Vanguard Index FDS Vanguard Small-Cap Growth ETF	A	Dividend			Sold	07/29/21	J	A	
62. IShares TR S&P 100 ETF	A	Dividend			Sold	01/14/21	J	C	
63. IShares TR Morningstar Mid Cap Growth ETF	A	Dividend			Sold	08/16/21	K	D	
64. Discover Bank Death Put FDIC Cert 5649	A	Dividend			Redeemed	11/29/21	J	A	
65. Goldman Sachs Bank USA Death Put FDIC Cert 33124	A	Dividend	J	T					
66. Nebraskaland Natl BK North Platte NEB CTF DEP	A	Dividend	J	T					
67. Apple Inc com AAPL	A	Dividend	J	T					
68. Microsoft Corp com MSFT	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000		
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69.	Verizon Communications Inc com	A	Dividend	J	T					
70.	Caterpillar Inc Com	A	Dividend	J	T	Buy	08/16/21	J		
71.	Cisco Systems Inc	A	Dividend	J	T	Buy	10/27/21	J		
72.	Coca Cola Company	A	Dividend	J	T	Buy	10/07/21	J		
73.	Intel Corp Com	A	Dividend	J	T	Buy	08/03/21	J		
74.	Merck & Co Inc New Com	A	Dividend	J	T	Buy	07/29/21	J		
75.	Pfizer Inc Com	A	Dividend	J	T	Buy	10/18/21	J		
76.	United Parcel Svc Inc CL B	A	Dividend	J	T	Buy	08/25/21	J		
77.	3M Co Com	A	Dividend	J	T	Buy	06/17/21	J		
78.	Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2027 ETF	A	Dividend	J	T	Buy	12/08/21	J		
79.	Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2026 ETF	A	Dividend	J	T	Buy	05/14/21	J		
80.	Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2025 ETF	A	Dividend	J	T	Buy	02/04/21	J		
81.	Vanguard Index FDS Vanguard Mid-Cap Value ETF	A	Dividend	J	T	Buy	06/30/21	J		
82.	Vanguard Index FDS Vanguard Small-Cap Value ETF	A	Dividend	J	T	Buy	06/30/21	J		
83.	Vanguard Index FDS Vanguard Mid-Cap ETF	A	Dividend	J	T	Buy	01/15/21	J		
84.	Vanguard Index FDS Vanguard Value ETF	A	Dividend	J	T	Buy	03/09/21	J		
85.	Vanguard Index FDS Vanguard Small-Cap ETF	A	Dividend	J	T	Buy	01/14/21	J		

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86. IShares TR MSCI Emer Index FD	A	Dividend			Buy	01/14/21	J		
87.					Sold	06/17/21	J		
88.									
89. Stephens Inc. - Account 2 (H)									
90. Federated Govt Oblig SS	A	Interest	J	T					
91. Vanguard Growth Vipers	A	Dividend	J	T	Sold (part)	09/22/21	K	D	
92. Vanguard Totl Stock Mkt Vipers	A	Dividend	K	T	Sold (part)	09/22/21	M	F	
93. Vanguard Total Bond Market - ETF	A	Dividend	J	T	Sold (part)	09/22/21	K	B	
94. Vanguard Index FDS Vanguard Small-Cap Growth ETF	A	Dividend			Sold	08/25/21	J	B	
95. Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2024 ETF	A	Dividend	J	T	Buy (add'l)	05/14/21	J		
96.					Sold (part)	09/22/21	J		
97. IShares TR S&P 100 ETF	A	Dividend			Sold	01/14/21	J	C	
98. IShares TR NASDAQ Biotechnology ETF	A	Dividend			Sold	06/17/21	J	A	
99. IShares TR Morningstar Mid Cap Growth ETF	A	Dividend			Sold	09/22/21	J	D	
100. Discover Bank Death Put FDIC Cert 5649	A	Dividend			Redeemed	09/22/21	J	A	
101. Goldman Sachs Bank USA Death Put FDIC Cert 33124	A	Dividend			Redeemed	09/22/21	J	A	
102. Goldman Sach Bank USA Death Put FDIC Cert 33124	A	Dividend			Redeemed	09/22/21	J	A	

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103. Apple Inc com AAPL	A	Dividend			Sold	09/22/21	J	B	
104. Microsoft Corp com MSFT	A	Dividend			Sold	09/22/21	J	B	
105. Verizon Communications Inc com	A	Dividend			Sold	09/22/21	J	A	
106. Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2023 ETF	A	Dividend	J	T	Buy	12/08/21	J		
107. Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2022 ETF	A	Dividend	J	T	Buy	12/08/21	J		
108. IShares TR Morningstar Mid Cap Growth ETF	A	Dividend	J	T	Buy	12/08/21	J		
109. Vanguard Index FDS Vanguard Mid-Cap Value ETF	A	Dividend	J	T	Buy	06/17/21	J		
110. Vanguard Index FDS Vanguard Small-Cap Growth ETF	A	Dividend	J	T	Buy	12/08/21	J		
111. Vanguard Index FDS Vanguard Small-Cap Value ETF	A	Dividend	J	T	Buy	06/17/21	J		
112. Vanguard Index FDS Vanguard Mid-Cap ETF	A	Dividend	J	T	Buy	01/15/21	J		
113. Vanguard Index FDS Vanguard Value ETF	A	Dividend	J	T	Buy	12/08/21	J		
114. Vanguard Index FDS Vanguard Small-Cap ETF	A	Dividend	J	T	Buy	01/14/21	J		
115. Caterpillar Inc Com	A	Dividend			Buy	08/16/21	J		
116.					Sold	09/22/21	J		
117. Escrow General Motor	A	Dividend			Buy	01/01/21	J		
118.					Sold	03/30/21	J		
119. Intel Corp Com	A	Dividend			Buy	08/03/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Arnold, Morris S.	05/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120.					Sold	09/22/21	J		
121. IShares TR MSCI Emer Index FD	A	Dividend			Buy	01/14/21	J		
122.					Sold	06/17/21	J		
123. Merck & Co Inc New C	A	Dividend			Buy	07/29/21	J		
124.					Sold	09/22/21	J		
125. 3M Co Com	A	Dividend			Buy	06/17/21	J		
126.					Sold	09/22/21	J		
127. United Parcel Svc In	A	Dividend			Buy	08/25/21	J		
128.					Sold	09/22/21	J		
129. Vanguard Index FDS V D-Cap Value ETF	A	Dividend			Buy	05/19/21	J		
130.					Sold	09/22/21	J		
131. Vanguard Index FDS V All-Cap Value ETF	A	Dividend			Buy	01/14/21	J		
132.					Sold	09/22/21	J	A	
133. Vanguard Index FDS V Lue ETF	A	Dividend			Buy	03/09/21	J		
134.					Sold	07/29/21	J	A	
135. Vanguard Index FDS V All-Cap ETF	A	Dividend			Buy	01/14/21	J		
136.					Sold	09/22/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Arnold, Morris S.	05/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137.									
138. Stephens Inc. - Account 3 (H)									
139. Federated Govt Oblig SS	A	Interest	J	T					
140. Invesco Oppenheimer Discovery Fund Class R6	A	Dividend			Sold	03/16/21	J	A	
141. Invesco Oppenheimer Developing Markets Fund Class R6	A	Dividend			Sold	03/16/21	J	A	
142. Baird Core Plus Bond Fund Inst Class	A	Dividend	J	T	Buy (add'l)	06/30/21	J		
143.					Sold (part)	09/24/21	J	A	
144. Blackrock Low Duratation Bond Portfolio Fund Institutional Class	A	Dividend			Sold	03/16/21	J	A	
145. JPMorgan Mid Cap Value Fund Class L	A	Dividend			Sold	03/16/21	J	A	
146. Ivy International Core Equity Fund Class I	A	Dividend			Sold	03/16/21	J	A	
147. Janus Henderson Enterprise Fund Class I	A	Dividend			Sold	03/16/21	J	A	
148. JPMorgan Large-Cap Growth Fund Class I	A	Dividend			Sold	03/16/21	J	A	
149. Loomis Sayles Global Bond Fund Inst Class	A	Dividend			Sold	03/16/21	J	A	
150. Lord Abbet Floating Rate Fund Class F	A	Dividend			Sold	03/25/21	J	A	
151. Mainstay Mackay Convertible Fund Class I	A	Dividend			Sold	03/16/21	J	A	
152. T Rowe Price Small Cap Value Fund Class I	A	Dividend			Sold	03/16/21	J	A	
153. T Rowe Price Value Fund Class I	A	Dividend			Sold	03/16/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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154. Wasatch International Growth Fund Instl Class	A	Dividend			Sold	03/16/21	J	A	
155. Vanguard Index FDS Real Estate Index FD ETF	A	Dividend			Sold	03/16/21	J	A	
156. Eaton Vance Floating Rate Fund (Institutional Shares)	A	Dividend	J	T	Buy	03/25/21	J		
157.					Sold (part)	09/24/21	J	A	
158. T Rowe Price Equity Index 500 Fund Class I	A	Dividend	J	T	Buy	03/16/21	J		
159.					Sold (part)	12/27/21	J	A	
160. Vanguard Developed Markets Index Fund Admiral Class	A	Dividend	J	T	Buy	03/16/21	J		
161.					Sold (part)	09/24/21	J	A	
162.									
163. Stephens Inc. - Account 4 (H)									
164. Federated Govt Oblig SS	A	Interest	J	T					
165. Microsoft Corp	A	Dividend	L	T					
166. Alibab Group Holding LTD Sponsored ADR	A	Dividend	K	T					
167. Amazon.com Inc.	A	Dividend	M	T					
168. Apple Inc.	A	Dividend	L	T					
169. Lowes Companies Incorporated	A	Dividend	K	T					
170. Bristol Myers Squibb CO Com	A	Dividend	J	T	Sold (part)	01/19/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On Part VII, the transactions marked as "Distributed" under accounts TIAA-CREF - Account 1 and TIAA-CREF - Account 2 represents rollovers into the another investment account. These assets were rolled into TIAA Retirement Reserves asset which can be found under TIAA-CREF - Account 5.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Morris S. Arnold

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544