AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Arnold, Morris S.	8th U S Circuit Ct of Appeals	05/10/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Judge--Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Richard Sheppard Arnold United States Courthouse 500 West Capitol Ave., Suite C-150 Little Rock, AR 72201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	University of Arkansas, author's royalties	$490.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Arnold, Morris S.	05/10/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Simmons Bank - Account 1	A	Interest	L	T					
2.	Simmons Bank - Account 2		None	J	T					
3.										
4.	Mineral Interests - Various Lands in Texas (H)									
5.	Southland Champion Mineral, Morgan Keegan, Lufkin, TX	C	Royalty	K	W					
6.	Grayburg Mineral Account, Morgan Keegan, Lufkin, TX		None	J	W					
7.										
8.	LPL Financial Formerly Wells Fargo Clearing Services, LLC - Acct 1 (H)									
9.	Bank Deposit Sweep	A	Interest	J	T					
10.	Norfolk Southern Corp NSC	C	Dividend	M	T					
11.	Simmons 1st Natl Corp CL A $5 Par SFNC	D	Dividend	M	T					
12.	TIAA CREF Managed Alloc Retail TIMRX	D	Dividend	O	T					
13.	Certificate of Deposit - Pacific Western Bank	A	Interest			Matured	01/19/23	L	A	
14.	JP Morgan 100% US Treas Secs Money Market	C	Dividend	L	T	Buy	11/02/23	K		
15.						Buy (add'l)	12/19/23	K		
16.	US Treasury Bill Due 01/25/24		None	M	T	Buy	01/26/23	M		
17.										

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Arnold, Morris S.	05/10/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. LPL Financial Formerly Wells Fargo Clearing Services, LLC - Acct 2 (H)									
19. Bank Deposit Sweep	A	Interest	J	T					
20. Dillards Inc CL A DDS	A	Dividend	M	T					
21. MFS Core Equity Fund CL A MRGAX	A	Dividend	K	T	Buy (add'l)	06/30/23	J		
22. Vanguard Dividend Appreciation ETF	C	Dividend	M	T					
23. US Treasury Bill Due 01/26/23	A	Interest			Matured	01/26/23	L		
24. US Treasury Bill Due 02/23/23	A	Interest			Matured	02/23/23	L		
25. US Treasury Bill Due 03/23/23	A	Interest			Matured	03/23/23	L		
26. US Treasury Bill Due 07/13/23	A	Interest			Matured	07/13/23	L		
27. US Treasury Note Strip PRIN PMT due 05/15/23	A	Interest			Matured	05/15/23	L		
28. US Treasury Note CPN due 4/15/23	A	Interest			Matured	04/15/23	L		
29. US Treasury Bill Due 10/05/23	A	Interest			Matured	10/05/23	L		
30. US Treasury Strip Prin PMT Due 02/15/24		None	L	T	Buy	02/15/23	L		
31. US Treasury Bill Due 04/18/24		None	M	T	Buy	04/20/23	M		
32. US Treasury Bill Due 06/20/24		None	M	T	Buy	12/21/23	M		
33. US Treasury Bill Due 3/21/24		None	L	T	Buy	03/23/23	L		
34. US Treasury Note CPN Due 01/15/24	A	Dividend	L	T	Buy	01/15/23	L		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. US Treasury Note Strip INT Due 5/15/24		None	M	T	Buy	11/02/23	M		
36.									
37. TIAA-CREF - Account 1 (H)									
38. TIAA Traditional	D	Dividend	M	T					
39.									
40. TIAA-CREF - Account 2 (H)									
41. TIAA Traditional	D	Dividend	L	T					
42.									
43. Stephens Inc. - Account 1 (H)									
44. Federated Govt Oblig SS	A	Interest	J	T					
45. Vanguard Totl Stock Mkt Vipers	A	Dividend	L	T					
46. Goldman Sachs Bank USA Death Put FDIC Cert 33124	A	Dividend			Redeemed	12/05/23	J	A	
47. Nebraskaland Natl BK North Platte NEB CTF DEP	A	Dividend	J	T					
48. Invesco Exchange-Traded FD TR Aerospace & Defense ETF	A	Dividend			Sold (part)	04/04/23	J	A	
49.					Sold	06/22/23	J	A	
50. Invesco Exchange Traded FD TR S&P 500 Equal Weight ETF	A	Dividend			Sold (part)	04/04/23	J	A	
51.					Sold (part)	06/22/23	J	A	

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52.					Sold (part)	06/22/23	J	A	
53.					Sold (part)	06/22/23	J	A	
54.					Sold	06/22/23	J	A	
55. Ishares TR Treas FLTG RATE ED ETF	B	Dividend	K	T	Sold (part)	11/14/23	J	A	
56. Select Sector SPDR TR Energy	A	Dividend			Sold (part)	04/04/23	J	A	
57.					Sold (part)	06/22/23	J	A	
58.					Sold	06/22/23	J	A	
59. Vanguard World FDS Vanguard Healthcare ETF	A	Dividend			Buy	04/04/23	J		
60.					Sold (part)	06/22/23	J	A	
61.					Sold	06/22/23	J	A	
62. Invesco QQQ TR Unit Ser 1	A	Dividend	K	T	Buy	06/30/23	K		
63. Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2025 ETF	A	Dividend	K	T	Buy	06/30/23	K		
64. Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2024 ETF	A	Dividend	K	T	Buy	04/04/23	J		
65.					Sold	11/14/23	J	A	
66.					Buy	12/08/23	J		
67. IShares 1-3 YR Treas BD ETF		None	K	T	Buy	04/04/23	J		
68.					Sold	11/14/23	J	A	

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69.					Buy	12/08/23	J		
70. Vanguard INTL FD FTSE Developed MKTS ETF	A	Dividend	J	T	Buy	12/08/23	J		
71. Vanguard Index FDS Vanguard Total Stk Mkt ETF	B	Dividend	L	T	Buy	04/04/23	J		
72. Invesco Exchange Indexed FD TR Bulletshares 2023 ETF	A	Dividend			Buy	04/04/23	K		
73.					Buy (add'l)	06/22/23	J		
74.					Sold (part)	11/14/23	K	A	
75.					Sold	11/14/23	J	A	
76. IShares TR MSCI EAFE	A	Dividend			Buy	06/22/23	K		
77.					Sold	11/14/23	K	A	
78. IShares TR U S CONSU S ETF	A	Dividend			Buy	01/04/23	J		
79.					Sold	06/22/23	J	A	
80. SPDR SER TR S&P Meta TF	A	Dividend			Buy	02/07/23	J		
81.					Sold	04/19/23	J	A	
82.									
83. Stephens Inc. - Account 2 (H)									
84. Federated Govt Oblig SS	A	Interest	J	T					
85. Vanguard Totl Stock Mkt Vipers	A	Dividend			Sold	06/22/23	J	A	

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86. Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2024 ETF	A	Dividend	J	T					
87. Invesco Exchange Traded FD TR Aerospace & Defense	A	Dividend			Sold	06/22/23	J	A	
88. Invesco Exchange Traded FD TR S&P 500 Equal Weight	A	Dividend			Buy	01/31/23	J		
89.					Buy	02/07/23	J		
90.					Buy	04/04/23	J		
91.					Sold (part)	06/22/23	J	A	
92.					Sold (part)	06/22/23	J	A	
93.					Sold (part)	06/22/23	J	A	
94.					Sold (part)	06/22/23	J	A	
95.					Sold	06/22/23	J	A	
96. Invesco Exchange Traded Self Indexed FD TR Bullet Shares 2023 ETF	A	Dividend			Buy (add'l)	04/04/23	J		
97.					Buy (add'l)	06/22/23	J		
98.					Sold (part)	11/14/23	J	A	
99.					Sold (part)	11/14/23	J	A	
100.					Sold (part)	11/14/23	J	A	
101.					Sold	11/14/23	J	A	
102. I Shares TR Treas FLTG Rate BD ETF	A	Dividend	J	T					

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103. Select Sector SPDR TR Energy	A	Dividend			Buy (add'l)	04/04/23	J		
104.					Sold (part)	06/22/23	J	A	
105.					Sold (part)	06/22/23	J	A	
106.					Sold	06/22/23	J	A	
107. Vanguard World FDS Healthcare Benefit	A	Dividend			Buy (add'l)	04/04/23	J		
108.					Sold (part)	06/22/23	J	A	
109.					Sold	06/22/23	J	A	
110. Invesco QQQ TR Unit Ser 1	A	Dividend	J	T	Buy	06/30/23	J		
111. Ishares TR 1-3 YR TREAS BD ETF		None	J	T	Buy	06/30/23	J		
112. IShares TR MSCI EAFE	A	Dividend			Buy	06/22/23	J		
113.					Sold	11/14/23	J	A	
114. IShares TR U S Consu ETF	A	Dividend			Buy	01/04/23	J		
115.					Sold	06/22/23	J	A	
116. SPDR SER TR S&P META	A	Dividend			Buy	02/07/23	J		
117.					Buy (add'l)	04/04/23	J		
118.					Sold (part)	04/19/23	J	A	
119.					Sold	04/19/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Arnold, Morris S.	05/10/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120.									
121. Stephens Inc. - Account 3 (H)									
122. Federated Govt Oblig SS	A	Interest	J	T					
123. Baird Core Plus Bond Fund Inst Class	A	Dividend	J	T					
124. Eaton Vance Floating Rate Fund (Institutional Shares)	A	Dividend	J	T	Sold (part)	11/07/23	J	A	
125. T Rowe Price Equity Index 500 Fund Class I	A	Dividend	J	T	Sold (part)	11/07/23	J	A	
126.					Sold (part)	12/19/23	J	A	
127. Vanguard Developed Markets Index Fund Admiral Class	A	Dividend	J	T	Sold (part)	11/07/23	J	A	
128.									
129. Stephens Inc. - Account 4 (H)									
130. Federated Govt Oblig SS	A	Interest	J	T					
131. Microsoft Corp	A	Dividend	L	T					
132. Amazon.com Inc.	A	Dividend	M	T					
133. Apple Inc.	A	Dividend	L	T					
134. Lowes Companies Incorporated	A	Dividend	K	T					
135. Bristol Myers Squibb CO Com	A	Dividend	J	T					
136. Alphabet Inc	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Arnold, Morris S.	05/10/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Morris S. Arnold

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
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