AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Arnold, Morris S. | 8th U S Circuit Ct of Appeals | 05/14/2025 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Judge--Senior | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2024<br>**to**<br>12/31/2024 |
| **7. Chambers or Office Address** | | |
| Richard Sheppard Arnold United States Courthouse<br>500 West Capitol Ave., Suite C-150<br>Little Rock, AR 72201 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2024 | University of Arkansas; Author's Royalties | $421.02 |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | Federalist Society | 10/17/2024 - 10/18/2024 | Bentonville, AR | CLE Program | Meals & Lodging |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Arnold, Morris S.** | 05/14/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| | A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. | Simmons Bank - Account 1 | A | Interest | L | T | | | | | |
| 2. | Simmons Bank - Account 2 | | None | J | T | | | | | |
| 3. | | | | | | | | | | |
| 4. | Mineral Interests - Various Lands in Texas (H) | | | | | | | | | |
| 5. | Southland Champion Mineral, Morgan Keegan, Lufkin, TX | B | Royalty | K | W | | | | | |
| 6. | Grayburg Mineral Account, Morgan Keegan, Lufkin, TX | | None | J | W | | | | | |
| 7. | | | | | | | | | | |
| 8. | LPL Financial Formerly Wells Fargo Clearing Services, LLC - Acct 1 (H) | | | | | | | | | |
| 9. | Bank Deposit Sweep | A | Interest | K | T | | | | | |
| 10. | Norfolk Southern Corp NSC | C | Dividend | M | T | | | | | |
| 11. | Simmons 1st Natl Corp CL A $5 Par SFNC | D | Dividend | M | T | | | | | |
| 12. | TIAA CREF Managed Alloc Retail TIMRX | D | Dividend | O | T | | | | | |
| 13. | JP Morgan 100% US Treas Secs Money Market | C | Dividend | L | T | | | | | |
| 14. | US Treasury Bill Due 01/25/24 | A | Interest | | | Matured | 01/25/24 | M | | |
| 15. | US Treasury Bill Due 01/16/25 | | None | M | T | Buy | 07/18/24 | M | | |
| 16. | US Treasury Note CPN 5.000% Due 8/31/25 | C | Interest | L | T | Buy | 11/25/24 | L | | |
| 17. | US Treasury Note CPN 2.000% Due 2/15/25 | A | Interest | K | T | Buy | 08/15/24 | K | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. | | | | | | | | | |
| 19. LPL Financial Formerly Wells Fargo Clearing Services, LLC - Acct 2 (H) | | | | | | | | | |
| 20. Bank Deposit Sweep | A | Interest | M | T | | | | | |
| 21. Dillards Inc CL A DDS | A | Dividend | M | T | | | | | |
| 22. MFS Core Equity Fund CL A MRGAX | A | Dividend | K | T | | | | | |
| 23. Vanguard Dividend Appreciation ETF | C | Dividend | N | T | | | | | |
| 24. US Treasury Strip Prin PMT Due 02/15/24 | A | Interest | | | Matured | 02/15/24 | L | | |
| 25. US Treasury Bill Due 04/18/24 | A | Interest | | | Matured | 04/20/24 | M | | |
| 26. US Treasury Bill Due 06/20/24 | A | Interest | | | Matured | 12/21/24 | M | | |
| 27. US Treasury Bill Due 3/21/24 | A | Interest | | | Matured | 03/23/24 | L | | |
| 28. US Treasury Note CPN Due 01/15/25 | A | Dividend | M | T | | | | | |
| 29. US Treasury Note Strip INT Due 5/15/25 | | None | M | T | | | | | |
| 30. US Treasury Note CPN 0.250% Due 09/30/25 | | None | L | T | Buy | 11/25/24 | L | | |
| 31. US Treasury Note CPN 0.375% Due 11/30/25 | | None | M | T | Buy | 12/02/24 | M | | |
| 32. JP Morgan 100% US Treasury Securities | C | Dividend | L | T | Buy | 06/30/24 | L | | |
| 33. | | | | | | | | | |
| 34. TIAA-CREF - Account 1 (H) | | | | | | | | | |

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 35. TIAA Traditional | D | Dividend | M | T | | | | | |
| 36. | | | | | | | | | |
| 37. TIAA-CREF - Account 2 (H) | | | | | | | | | |
| 38. TIAA Traditional | D | Dividend | L | T | | | | | |
| 39. | | | | | | | | | |
| 40. Stephens Inc. - Account 1 (H) | | | | | | | | | |
| 41. Federated Govt Oblig SS | A | Interest | J | T | | | | | |
| 42. Vanguard Totl Stock Mkt Vipers | A | Dividend | | | Sold | 1/24/24 | L | | |
| 43. Capital Group Global Growth Equity ETF SHS Creation Unit | A | Dividend | J | T | Buy | 01/24/24 | J | | |
| 44. Capital Group Fixed Income ETF TR US Multi-Sector Income ETF | B | Dividend | K | T | Buy | 01/24/24 | K | | |
| 45. Capital Group Fixed Income ETF TR Core BD ETF | A | Dividend | K | T | Buy | 01/24/24 | K | | |
| 46. Capital Group Global UITY ETF SHS Creation Unit | A | Dividend | | | Buy | 01/24/24 | J | | |
| 47. | | | | | Sold | 11/26/24 | J | A | |
| 48. Nebraskaland Natl BK North Platte NEB CTF DEP | A | Dividend | | | Redeemed | 12/31/24 | J | | |
| 49. Ishares TR Treas FLTG RATE ED ETF | B | Dividend | | | Sold | 01/24/24 | J | A | |
| 50. Invesco QQQ TR Unit Ser 1 | A | Dividend | K | T | Sold (part) | 01/24/24 | J | A | |
| 51. | | | | | Sold (part) | 11/26/24 | J | A | |

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|---|---|---|---|---|---|---|---|---|---|
| 52. Invesco Exchange Traded Self-Indexed FD TR Bulletshares 2026 ETF | A | Dividend | K | T | Buy | 11/26/24 | K | | |
| 53. Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2025 ETF | A | Dividend | K | T | Buy (add'l) | 06/30/24 | J | | |
| 54. Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2024 ETF | A | Dividend | | | Buy (add'l) | 01/24/24 | J | | |
| 55. | | | | | Sold | 11/26/24 | K | A | |
| 56. IShares 1-3 YR Treas BD ETF | | None | | | Buy (add'l) | 01/24/24 | J | | |
| 57. | | | | | Sold | 11/26/24 | K | A | |
| 58. IShares TR 1-5 YR INVT Grade Corporate BD ETF | | None | K | T | Buy (add'l) | 11/26/24 | K | | |
| 59. Vanguard INTL FD FTSE Developed MKTS ETF | A | Dividend | | | Sold (part) | 01/24/24 | J | A | |
| 60. | | | | | Sold | 01/24/24 | J | A | |
| 61. Vanguard Index FDS Vanguard Total Stk Mkt ETF | A | Dividend | L | T | Sold (part) | 01/24/24 | J | A | |
| 62. | | | | | Sold (part) | 11/26/24 | J | D | |
| 63. | | | | | | | | | |
| 64. Stephens Inc. - Account 2 (H) | | | | | | | | | |
| 65. Federated Govt Oblig SS | A | Interest | J | T | | | | | |
| 66. Capital Group Global Growth Equity ETF SHS Creation Unit | A | Dividend | J | T | Buy | 01/24/24 | J | | |
| 67. Capital Group Fixed Income ETF TR US Multi-Sector Income ETF | A | Dividend | J | T | Buy | 01/24/24 | J | | |
| 68. Capital Group Fixed Income ETR TR Core Bond ETF | A | Dividend | J | T | Buy | 01/24/24 | J | | |

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|---|---|---|---|---|---|---|---|---|---|
| 69. Invesco Exchange-Traded Self -Indexed FD TR Bulletshares 2024 ETF | A | Dividend | | | Buy (add'l) | 01/24/24 | J | | |
| 70. | | | | | Sold (part) | 11/26/24 | J | A | |
| 71. | | | | | Sold (part) | 11/26/24 | J | A | |
| 72. | | | | | Sold (part) | 11/26/24 | J | A | |
| 73. | | | | | Sold (part) | 11/26/24 | J | A | |
| 74. | | | | | Sold | 11/26/24 | J | A | |
| 75. I Shares TR Treas FLTG Rate BD ETF | A | Dividend | | | Sold (part) | 01/24/24 | J | A | |
| 76. | | | | | Sold (part) | 01/24/24 | J | A | |
| 77. | | | | | Sold (part) | 11/26/24 | J | A | |
| 78. | | | | | Sold | 11/26/24 | J | A | |
| 79. Invesco QQQ TR Unit Ser 1 | A | Dividend | J | T | | | | | |
| 80. Invesco Exchange Traded Self-Indexed FD TR Bulletshares 2026 Corporate | A | Dividend | J | T | Buy | 11/26/24 | J | | |
| 81. Invesco Exchange Traded Self-Indexed FD TR Bulletshares 2025 Corporate | A | Dividend | J | T | Buy (add'l) | 11/26/24 | J | | |
| 82. Ishares TR 1-3 YR TREAS BD ETF | | None | | | Buy (add'l) | 01/24/24 | J | | |
| 83. | | | | | Sold (part) | 11/26/24 | J | A | |
| 84. | | | | | Sold (part) | 11/26/24 | J | A | |
| 85. | | | | | Sold | 11/26/24 | J | A | |

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|---|---|---|---|---|---|---|---|---|---|
| 86. Ishares TR 1-5 INVT Grade Corporate BD ETF | | None | J | T | Buy | 11/26/24 | J | | |
| 87. Vanguard Index FDS Vanguard Total Stk Mkt ETF | A | Dividend | | | Buy | 11/26/24 | K | | |
| 88. | | | | | Sold | 11/26/24 | J | A | |
| 89. Vanguard Intl FD FTS D Mkts ETF | A | Dividend | | | Sold | 01/24/24 | J | B | |
| 90. | | | | | | | | | |
| 91. Stephens Inc. - Account 3 (H) | | | | | | | | | |
| 92. Federated Govt Oblig SS | A | Interest | J | T | | | | | |
| 93. Baird Core Plus Bond Fund Inst Class | A | Dividend | J | T | Buy (add'l) | 06/30/24 | J | | |
| 94. | | | | | Sold (part) | 10/25/24 | J | A | |
| 95. | | | | | Sold (part) | 10/25/24 | J | A | |
| 96. Eaton Vance Floating Rate Fund (Institutional Shares) | A | Dividend | J | T | Sold (part) | 05/24/24 | J | A | |
| 97. | | | | | Sold (part) | 05/24/24 | J | A | |
| 98. | | | | | Sold (part) | 05/24/24 | J | A | |
| 99. | | | | | Sold (part) | 05/24/24 | J | A | |
| 100. | | | | | Sold (part) | 05/24/24 | J | A | |
| 101. T Rowe Price Equity Index 500 Fund Class I | A | Dividend | J | T | Sold (part) | 06/24/24 | J | A | |
| 102. | | | | | Sold (part) | 10/25/24 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Arnold, Morris S.** | 05/14/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. | | | | | Sold (part) | 12/23/24 | J | A | |
| 104. Vanguard Developed Markets Index Fund Admiral Class | A | Dividend | J | T | Buy (add'l) | 06/30/24 | J | | |
| 105. | | | | | Sold (part) | 10/25/24 | J | A | |
| 106. Aristotle Floating Rate Income Fund Class I | A | Dividend | J | T | Buy | 05/24/24 | J | | |
| 107. | | | | | Sold (part) | 05/24/24 | J | A | |
| 108. | | | | | | | | | |
| 109. Stephens Inc. - Account 4 (H) | | | | | | | | | |
| 110. Federated Govt Oblig SS | A | Interest | J | T | Sold (part) | 06/10/24 | J | A | |
| 111. | | | | | Buy (add'l) | 06/30/24 | J | | |
| 112. Microsoft Corp | A | Dividend | M | T | | | | | |
| 113. Amazon.com Inc. | A | Dividend | M | T | | | | | |
| 114. Apple Inc. | A | Dividend | M | T | | | | | |
| 115. Lowes Companies Incorporated | A | Dividend | K | T | | | | | |
| 116. Bristol Myers Squibb CO Com | A | Dividend | J | T | Sold (part) | 06/10/24 | J | A | |
| 117. Alphabet Inc | A | Dividend | K | T | | | | | |
| 118. Nvidia Corp | A | Dividend | J | T | Buy | 06/10/24 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| **Arnold, Morris S.** | 05/14/2025 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Morris S. Arnold**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
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