AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT
FINAL FILING

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Arterton, Janet B.	U.S. District Court, District of Connecticut	05/15/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Senior)	☐ Nomination Date ☐ Initial ☐ Annual ☑ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. District Court 141 Church Street New Haven, CT 06510		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Chair	Committee of the Proprietors of the Common and Undivided Lands in the Town of New Haven
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	George Washington University (salary)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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Arterton, Janet B.	05/15/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. INDIVIDUAL ASSETS (H)									
2. CT HIGHER ED TRUST	E	Dividend	M	T					
3. M&T BANK (CASH)	B	Interest	K	T					
4. RENTAL PROPERTY #1, WASHINGTON, DC	E	Rent	M	W					
5. ACCOUNT #1 (H)									
6. TIAA BANK RETIREMENT ACCOUNT (cash) (Y)									
7. AQR MANAGED FUTURES STRATEGY FUND I (AQMIX)		None	J	T					
8. ALLSPRING GLOBAL LONG-SHORT EQUITY FUND CLASS R6 (AGAWX)		None	L	T					
9. CREDIT SUISSE MANAGED FUTURES STRATEGY FUND CLASS 1 (CSAIX)		None	J	T					
10. DIAMOND HILL LONG-SHORT FUND I (DHLSX)		None	K	T					
11. TIAA-CREF INTERNATIONAL EQUITY FUND CLASS 1 (TIIEX)		None	L	T	Sold (part)	04/13/23	J	A	
12. TIAA-CREF LARGE CAP VALUE INDEX FUND (TILVX)		None	L	T					
13. TIAA-CREF LARGE CAP GROWTH INDEX FUND I (TILIX)		None	M	T	Buy (add'l)	01/04/23	J		
14. TIAA-CREF BOND INDEX FUND I (TBIIX)	C	Dividend	M	T					
15. TIAA-CREF SHORT-TERM BOND FUND INSTITUTIONAL CLASS (TISIX)	A	Dividend	J	T	Buy	04/20/23	J		
16. ISHARES TR RUSSELL MID CAP VALUE ETF (IWS)	A	Dividend	K	T					
17. ISHARES TR RUSSELL MID CAP GROWTH ETF (IWP)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. ISHARES TR RUSSELL 2000 VALUE ETF (IWN)	A	Dividend	J	T					
19. ISHARES TR RUSSELL 2000 GROWTH ETF (IWO)	A	Dividend	J	T					
20. ISHARES TR MSCI EAFE SMALL CAP ETF (SCZ)	A	Dividend	J	T					
21. ISHARES TR CORE MSCI EAFE ETF (IEFA)	B	Dividend	L	T	Sold (part)	03/13/23	J	A	
22. ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	A	Dividend	K	T					
23. ISHARES TR BROAD USD HIGH YIELD CORPORATE BOND ETF (USHY)	A	Dividend			Sold	04/19/23	J		
24. SPDR PORTFOLIO SHORT TERM CORPORATE BD ETF (SPSB)	A	Dividend	J	T	Sold (part)	04/19/23	J		
25. ACCOUNT #2 (H)									
26. TIAA CREF STOCK R2		None	O	T					
27. ACCOUNT #3 (H)									
28. TIAA TRADITIONAL		None			Sold	07/31/23	N		
29. T-C HIGH-YIELD-INST	A	Dividend	K	T	Buy	07/31/23	K		
30. T-C CORE PLUS BOND INSTL	B	Dividend	L	T	Buy	07/31/23	L		
31. T-C CORE BOND-INST	B	Dividend	M	T	Buy	07/31/23	M		
32. T-C INFL-LNKD BOND-INST	A	Dividend	J	T	Buy	07/31/23	J		
33. T-C INTL EQ IDX-INST	B	Dividend	L	T	Buy	07/31/23	L		
34. T-C S&P 500 IDX-INST	B	Dividend	M	T	Buy	07/31/23	M		

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35. T-C SM-CAP BL IDX-INST	A	Dividend	J	T	Buy	07/31/23	J		
36. AMERICAN CEN MDCPVA FD R6	A	Dividend	J	T	Buy	07/31/23	J		
37. MFSMID CAP GROWTH FD R6		None	J	T	Buy	07/31/23	J		
38. ACCOUNT #4 (H)									
39. T-C LIFE CYCLE 2010		None			Sold	07/31/23	L		
40. T-C HIGH-YIELD-INST	A	Dividend	J	T	Buy	07/31/23	J		
41. T-C CORE PLUS BOND INSTL	A	Dividend	J	T	Buy	07/31/23	J		
42. T-C CORE BOND-INST	A	Dividend	J	T	Buy	07/31/23	J		
43. T-C INFL-LNKD BOND-INST	A	Dividend	J	T	Buy	07/31/23	J		
44. T-C INTL EQ IDX-INST	A	Dividend	J	T	Buy	07/31/23	J		
45. T-C S&P 500 IDX-INST	A	Dividend	K	T	Buy	07/31/23	K		
46. T-C SM-CAP BL IDX-INST	A	Dividend	J	T	Buy	07/31/23	J		
47. AMERICAN CEN MDCPVA FD R6	A	Dividend	J	T	Buy	07/31/23	J		
48. MFSMID CAP GROWTH FD R6		None	J	T	Buy	07/31/23	J		
49. ACCOUNT #5 (H)									
50. TIAA CREF MONEY MKT R3 (cash)		None			Sold	07/31/23	M		
51. TIAA REAL ESTATE		None			Sold	07/31/23	O		

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52. TIAA CREF STOCK R3		None			Sold	07/31/23	N		
53. TIAA CREF GROWTH R3		None			Sold	07/31/23	N		
54. TIAA CREF EQUITY INDEX R3		None			Sold	07/31/23	N		
55. T-C SOCIAL CH EQ-INST		None			Sold	07/31/23	O		
56. T-C INTL EQ IDX-INST	D	Dividend	N	T	Buy	07/31/23	N		
57. T-C S&P 500 IDX-INST	D	Dividend	O	T	Buy	07/31/23	O		
58. T-C SM-CAP BL IDX-INST	A	Dividend	L	T	Buy	07/31/23	L		
59. AMERICAN CEN MDCPVA FD R6	C	Dividend	L	T	Buy	07/31/23	L		
60. MFS MID CAP GROWTH FD R6		None	L	T	Buy	07/31/23	L		
61. T-C HIGH-YIELD-INST	C	Dividend	M	T	Buy	07/31/23	M		
62. T-C CORE PLUS BOND INSTL	C	Dividend	N	T	Buy	07/31/23	N		
63. T-C CORE BOND-INST	D	Dividend	O	T	Buy	07/31/23	O		
64. T-C INFL-LNKD BOND-INST	A	Dividend	K	T	Buy	07/31/23	K		
65. ACCOUNT #6 (H)									
66. VANGUARD INTERMEDIATE TERM TAX EXEMPT FUND ADM (VWIUX)	A	Dividend	K	T					
67. VANGUARD MUNI MONEY MARKET (VMSXX) (cash eq.)	B	Dividend	M	T					
68. VANGUARD 500 INDEX FUND (VFIAX)	C	Dividend	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

Account #5 is a GWU 401(A) Retirement Plan (TIAA variable annuity). Underlying assets are included in Part VII.

I took inactive status in October 2023. Beginning in 2024, I will no longer work more than 60 days per year in a covered position.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Janet B. Arterton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544