AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Autrey, Henry E.	US District Court, EDMO	05/10/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
111 S. Tenth Street Suite 10.148 St. Louis, MO 63102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	President	Dulcinea, Inc.
2.	Trustee	Trust #1
3.	Trustee	Trust #2
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	3/18/2012	I receive vested retirement benefits from the State of Missouri (benefits began 3/18/12)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	State of Missouri	$54,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	State of Missouri
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Autrey, Henry E.	05/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Logitech International S A SHS (LOGI)	A	Dividend			Buy	06/17/21	K		
2.					Sold (part)	09/21/21	L		
3.					Sold (part)	10/13/21	K		
4.					Sold	10/26/21	K		
5. Money Market - Commerce Bank	A	Interest	J	T					
6. Pepsico Inccorporated (PEP)	C	Dividend	M	T					
7. BCE Incorporated com (BCE)	B	Dividend	L	T					
8.					Buy	06/11/21	K		
9. Verizon Communications Inc (VZ)	C	Dividend	K	T	Sold (part)	11/23/21	K		
10. Apple Inc (AAPL)	B	Dividend	M	T	Sold (part)	05/25/21	K		
11. Microsoft Corporation (MSFT)	B	Dividend	N	T					
12. Colgate Palmolive Co (CL)	A	Dividend			Sold (part)	04/19/21	K		
13.					Sold (part)	03/23/21	K		
14.					Sold	05/18/21	K		
15. Kansas City Southern COM (KSU)	A	Dividend			Sold (part)	03/26/21	K		
16.					Sold	06/22/21	L		
17. Visa Incorporated COM Class A (V)	A	Dividend			Sold (part)	02/09/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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18.					Sold (part)	03/23/21	K		
19.					Sold (part)	04/19/21	K		
20.					Sold (part)	05/18/21	K		
21.					Sold	08/20/21	K		
22. Campbell Soup Company (CPB)	A	Dividend			Buy (add'l)	03/11/21	J		
23.					Sold (part)	04/27/21	J		
24.					Sold	06/17/21	K		
25. Algonquin Pwr Utils Corp (AQN)	A	Dividend			Sold	02/09/21	K		
26. General MLS Incorporated (GIS)	A	Dividend	K	T	Sold (part)	07/02/21	J		
27. Boeing Company (BA)		None			Sold (part)	04/19/21	K		
28.					Sold (part)	06/24/21	K		
29.					Sold (part)	07/20/21	K		
30.					Sold	12/22/21	K		
31. Thermo Fisher Scientific INC (TMO)	A	Dividend	M	T	Buy (add'l)	04/19/21	K		
32.					Sold (part)	06/04/21	L		
33. Qualcomm Incorporated (QCOM)	B	Dividend	L	T	Sold (part)	07/02/21	J		
34. Tesla (TSLA)		None	M	T					

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35. SPDR Gold Shares (SMG) (GLD)		None	K	T	Buy (add'l)	02/09/21	J		
36.					Buy (add'l)	04/19/21	K		
37.					Sold (part)	10/26/21	K		
38. Nextera Energy INC (NEE)	B	Dividend	L	T	Sold (part)	09/21/21	K		
39. Missouri ST Health & EDL FACS REV, BDS, Mercy Health, SER 2020	B	Interest	L	T					
40. Vaneck Vectors Retail ETF (RTH)		None			Sold (part)	04/19/21	K		
41.					Sold	04/27/21	K		
42. United Parcel Service (UPS)	A	Dividend			Sold (part)	06/11/21	K		
43.					Sold	06/17/21	K		
44. Southwest AIRLS Company (LUV)		None			Buy (add'l)	03/11/21	K		
45.					Sold (part)	07/02/21	K		
46.					Sold	11/23/21	K		
47. Vanguard Intermediate-Term Bond ETF (BIV)	A	Dividend	K	T					
48. Capital Gain	A	Distribution							
49. Capital Gain	A	Distribution							
50. Servicenow Incorporated		None	L	T	Buy (add'l)	04/19/21	L		
51.					Sold (part)	06/04/21	K		

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52.					Sold (part)	07/20/21	L		
53. ABBIE Incorporated (ABBV)	B	Dividend	L	T	Buy	05/18/21	K		
54.					Buy (add'l)	05/25/21	K		
55.					Buy (add'l)	06/11/21	K		
56. Blackstone Inc. (BX)	A	Dividend	L	T	Buy	06/11/21	K		
57.					Buy (add'l)	07/20/21	K		
58.					Buy (add'l)	07/27/21	J		
59.					Buy (add'l)	10/26/21	K		
60. Copart Inc. (CPRT)		None	K	T	Buy	07/27/21	J		
61.					Buy (add'l)	10/13/21	J		
62. Diamondback Energy Inc. (FANG)	A	Dividend	K	T	Buy	07/02/21	K		
63.					Buy (add'l)	08/09/21	J		
64.					Sold (part)	08/19/21	K		
65. NVDIA Corp. (NVDA) Transfer In	A	Dividend	M	T					
66.					Buy	07/21/21	K		
67.					Buy (add'l)	08/09/21	K		
68.					Buy (add'l)	08/19/21	K		

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69.					Buy (add'l)	08/24/21	K		
70.					Buy (add'l)	11/02/21	K		
71. Pioneer Nat Res Co. (PXD)	C	Dividend	L	T	Buy	03/23/21	L		
72.					Sold (part)	10/26/21	K		
73. Unitedhealth Group Inc. (UNH)		None	K	T	Buy	12/23/21	K		
74. Chubb Limited (Switzerland) (CB)		None	L	T	Buy	11/02/21	K		
75.					Buy (add'l)	12/09/21	K		
76. Medtronic PLC SHS (Ireland)	A	Dividend			Buy	02/09/21	K		
77.					Buy (add'l)	04/19/21	K		
78.					Sold	12/22/21	K		
79. Touchstone Flexible Income Fund CL A M/ F (FFSAX) Mutual Fund	A	Dividend	K	T	Buy	09/21/21	L		
80. Cubesmart REIT (CUBE)		None	K	T	Buy	12/23/21	K		
81. Macquarie Global Infastructur (MGU)	A	Dividend	K	T	Buy	08/20/21	K		
82. Nuveen Missouri QLT MUN Inc. FD (NOM)		None	K	T	Buy	12/23/21	K		
83. First Trust NASDAQ Clean Edge Smart Grid INFRAST Index Fun (GRID	A	Dividend	K	T	Buy	11/23/21	K		
84. SPDR Materials Select Sector Fund (XLB)	B	Dividend	K	T	Buy	06/17/21	K		
85.					Buy (add'l)	09/21/21	K		

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86. Vanguard Energy ETF (VDE)	A	Dividend	K	T	Buy	10/26/21	K		
87. Vanguard Real Estate ETF (VNQ)	A	Dividend	L	T	Buy	09/21/21	K		
88.					Buy (add'l)	12/23/21	K		
89. Polaris Inc. (PII)	A	Dividend			Buy	04/27/21	K		
90.					Buy (add'l)	05/25/21	K		
91.					Buy (add'l)	06/11/21	K		
92.					Buy (add'l)	10/13/21	K		
93.					Sold (part)	10/26/21	K		
94.					Sold	12/22/21	L		
95. Ringcentral Inc. Class A		None			Buy	06/17/21	K		
96.					Buy (add'l)	06/22/21	K		
97.					Buy (add'l)	07/02/21	K		
98.					Buy (add'l)	07/27/21	K		
99.					Sold (part)	08/09/21	K		
100.					Sold	09/14/21	L		
101. Emerson Elec Co. (EMR)	A	Dividend			Buy	06/11/21	K		
102.					Sold	09/21/21	K		

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103. Evercore	A	Dividend			Buy	10/26/21	K		
104.					Buy (add'l)	12/09/21	J		
105.					Sold	12/22/21	K		
106.									
107.									
108.									
109.									
110.									
111.									

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Autrey, Henry E.	05/10/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I Positions - Line 2 and 3 - Trustee of Trust #1 and Trust #2 are Trustee positions only with no financial compensation or financial interest or gain as Trustee

Name of Person Reporting	Date of Report
Autrey, Henry E.	05/10/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Henry E. Autrey

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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