AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
AZRACK, JOAN M.	U.S. DISTRICT COURT, EASTERN DISTRICT NY	8/5/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 100 Federal Plaza Central Islip, NY 11722		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/5/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Salary and bonus from Landman Corsi Ballaine & Ford PC
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/5/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank NA Personal Banking NY, NY (multiple accounts)	A	Interest	M	T					
2. National Life Ins. Co. whole life policy	A	Dividend	N	T					
3. PMA: Pimco Low Duration Fund Intl (IRA) (see VIII 1)	A	Dividend			Sold	11/04/21	M	A	
4. Fidelity Blue Chip Fund - Fidelity 401K (see VIII 2)		None	N	T					
5. Fidelity Diversified intl - Fidelity 401K		None	N	T					
6. Fidelity Freedom 2020 Fund - Fidelity 401K		None	K	T					
7. Fidelity Contrafund - Fidelity 401K		None	O	T					
8. Fidelity Growth & Inc - Fidelity 401K (see VIII 3)		None			Merged (with line 12)	09/13/21	N	A	
9. Fidelity Value Fund - Fidelity 401K		None	M	T					
10. Fidelity Government Money Market - Fidelity 401K		None	M	T					
11. Fidelity Investment Grade Bond Fund - Fidelity 401K		None	M	T					
12. Am Century Equity - Fidelity 401K (see VIII 3)		None	N	T					
13. JP Morgan US Value Fund (See VIII 4)	A	Dividend	J	T					
14. Walt Disney Co common stock	A	Dividend	J	T					
15. Oppenheimer: Catalyst Inside Buying Fund (IRA) (see VIII 5)	A	Dividend	K	T					
16. PMA: Vanguard High Yield Corporate Adm - VWEAX (IRA)	B	Dividend	K	T	Buy (add'l)	04/29/21	J		
17.					Sold (part)	10/26/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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AZRACK, JOAN M.	8/5/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Oppenheimer: Kinetics Paradigm Adv C - KNPCX (IRA)	A	Dividend	J	T					
19.	PMA: Vanguard Short Term Inv Grade Adm - VFSUX (IRA)	B	Dividend	M	T	Buy (add'l)	04/29/21	J		
20.						Buy (add'l)	10/26/21	J		
21.						Buy (add'l)	11/03/21	K		
22.	PMA: MetWest Intermediate Bond I - MWIXX	A	Dividend	K	T	Buy (add'l)	04/28/21	J		
23.	Northwestern Mutual Life Ins. whole policy	C	Dividend	L	T					
24.	PMA: Vanguard Inter-Term Inv Grade Adm - VFDIX (IRA)	C	Dividend	L	T	Buy (add'l)	04/29/21	J		
25.						Buy (add'l)	10/26/21	J		
26.	JP Morgan Mid Cap Growth	A	Dividend	K	T					
27.	Oppenheimer: Advantage Bank Deposits in various banks (IRA) (VIII 6)	A	Interest	K	T					
28.	PMA: Jensen Quality Growth I - JENIX (IRA)	B	Dividend	K	T	Sold (part)	10/26/21	J	D	
29.	this line intentionally left blank									
30.	PMA: Primecap Odyssey Growth - POGRX (IRA)	D	Dividend	K	T					
31.	PMA: Vanguard Extended Market Index Adm - VEXAX (IRA)	A	Dividend	L	T	Sold (part)	04/28/21	J	C	
32.						Sold (part)	10/26/21	J	D	
33.	PMA: Vanguard 500 Index Adm - VFIAX (IRA)	B	Dividend	M	T	Sold (part)	04/28/21	J	C	
34.						Sold (part)	10/26/21	K	E	

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35. PMA: Vanguard Total Intl Stock Index Adm - VTIAX (IRA)	B	Dividend	L	T					
36. PMA: Odyssey Growth - POGRX	C	Dividend	K	T					
37. PMA Primecap Odyssey Aggressive Growth - POAGX	C	Dividend	K	T					
38. PMA: Vanguard Total Intl Stock Index Adm - VTIAX	B	Dividend	L	T					
39. PMA: Vanguard Extended Market Index Adm - VEXAX	A	Dividend	K	T	Sold (part)	07/15/21	J	B	
40. PMA: Jensen Quality Growth I - JENIX	C	Dividend	K	T					
41. PMA: Vanguard 500 Index Adm - VFIAX	B	Dividend	M	T					
42. PMA: Pimco Low Duration Fund	A	Dividend			Sold	11/18/21	K	A	
43. PMA: Vanguard High Yield Corporate Adm - VWEAX	A	Dividend	J	T					
44. PMA: Vanguard Limited Term Tax Exempt Fund Adm - VMLUX	A	Dividend	K	T	Buy (add'l)	04/28/21	J		
45. PMA: Vanguard Int-Term Tax Exempt Adm - VWIUX	A	Dividend	K	T	Buy (add'l)	04/28/21	J		
46. PMA: Vanguard Growth Index Adm - VIGAX (IRA)	A	Dividend	K	T	Sold (part)	10/26/21	K	E	
47. PMA: Vanguard Growth Index Adm - VIGAX	A	Dividend	L	T					
48. PMA: MetWest Intermediate Bond I - MWIIX (IRA)	B	Dividend	L	T	Buy (add'l)	04/29/21	J		
49.					Buy (add'l)	10/26/21	J		
50. McDonald's common stock	A	Dividend	J	T					
51. Coca Cola common stock	A	Dividend	J	T					

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52. Nike common stock class B	A	Dividend	J	T					
53. PMA: Fidelity Gvt Cash Reserves (see VIII 7)	A	Dividend	K	T					
54. PMA: USAA Short Term Bond Instl - UISBX (IRA)	C	Dividend	M	T	Buy (add'l)	04/29/21	J		
55.					Buy (add'l)	11/03/21	K		
56. PMA: Fidelity Govt Cash Reserves (IRA) (see VIII 7)	A	Dividend	K	T					
57. PMA Dodge & Cox Stock Fund - DODGX (IRA)	A	Dividend	K	T	Sold (part)	04/28/21	J	A	
58.					Sold (part)	10/26/21	K	C	
59. PMA Baird Core Plus Bond Instl - BCOIX (IRA)	B	Dividend	L	T	Buy (add'l)	04/29/21	J		
60.					Buy (add'l)	10/26/21	J		
61. PMA Vanguar Mid Cap Value Index Adm - VMVAX (IRA)	A	Dividend	K	T	Sold (part)	10/26/21	K	D	
62. PMA DFA International Core Equity Instl - DFIEX (IRA)	B	Dividend	L	T					
63. PMA DFA Intl Small Company Instl - DFISX (IRA)	B	Dividend	K	T	Sold (part)	11/03/21	J	A	
64. PMA Dodge & Cox Intl Stock Fund - DODFX	A	Dividend	J	T					
65. PMA JH Disciplined Value Mid Cap I - JVMIX	B	Dividend	K	T					
66. PMA FMI Large Cap Instl - FMIQX (IRA) (See VIII 5)	C	Dividend	K	T	Buy (add'l)	10/26/21	J		
67. PMA Vanguard Equity Income Adm - VEIRX	A	Dividend	J	T					
68. Oppenheimer FT Deep Value Dividend No. 23 (IRA)(See VIII 6)	A	Dividend			Redeemed	05/04/21	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69.	Oppenheimer Fixed Annuity Invested S&P 500 Index Funds		None	O	T					
70.	PMA Dodge & Cox Intl Stock Fund - DODFX (IRA)	A	Dividend	J	T					
71.	PMA Vanguard Int'l Growth Fund Adm - VWILX (IRA)	C	Dividend	K	T	Sold (part)	04/28/21	J	B	
72.						Sold (part)	10/26/21	K	D	
73.	PMA JH Disciplined Value Mid Cap I - JVMIX (IRA)	B	Dividend	K	T	Buy (add'l)	10/26/21	K		
74.	PMA AQR Large Cap Defensive Style Fund Class I - AUEIX(IRA)	A	Dividend	K	T	Sold (part)	10/26/21	J	A	
75.	PMA T. Rowe Price Intl Stock I - PRIUX (IRA)	A	Dividend	J	T	Sold (part)	11/03/21	J	A	
76.	PMA T. Rowe Price Small-Cap Stock I - OTIIX (IRA)	C	Dividend	K	T	Sold (part)	04/28/21	J	B	
77.						Sold (part)	10/26/21	K	D	
78.	PMA T.Rowe Price Equity Income I - REIPX (IRA)	B	Dividend	K	T	Sold (part)	04/28/21	J	A	
79.						Sold (part)	10/26/21	J	C	
80.	PMA T. Rowe Price International Stock I - PRIUX	A	Dividend	J	T					
81.	PMA AQR Large Cap Defensive Style Fund Class I - AUEIX	A	Dividend	J	T					
82.	PMA Vanguard Mid Cap Value Index Adm - VMVAX	A	Dividend	J	T					
83.	PMA T. Rowe Price Equity Income I - REIPX	C	Dividend	K	T					
84.	PMA FMI Large Cap Instl - FMIQX (See VIII 5)	B	Dividend	J	T					
85.	PMA Vanguard International Growth Fund Adm - VWILX	B	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86.	PMA Van Short Term Inflation Prot Secs Index - VTAPX (IRA)	B	Dividend	L	T	Buy	11/03/21	L		
87.	PMA Metropolitan West High Yield Bond I - MWHIX (IRA)	A	Dividend	K	T	Buy	10/26/21	K		
88.	PMA Vanguard Equity Income Adm - VEIRX (IRA)	B	Dividend	K	T	Buy	10/26/21	K		
89.	PMA Primecap Odyssey Aggrissive Growth - POAGX (IRA)	B	Dividend	J	T	Buy	10/26/21	K		
90.	PMA Janus Henderson Enterprise I - JMGRX (IRA)	B	Dividend	J	T	Buy	10/26/21	K		
91.	PMA T.Rowe Price Tax-Free Short-Interm I - TTSIX (IRA)	A	Dividend	J	T	Buy	10/26/21	J		
92.	PMA Vanguard Short-Term Tax Exempt Adm - VWSUX	A	Dividend	K	T	Buy	11/17/21	K		
93.						Buy (add'l)	12/13/21	J		
94.	PMA T.Rowe Price Tax-Free Shrt-Interm I - TTSIX	A	Dividend	K	T	Buy	11/17/21	K		
95.						Buy (add'l)	12/13/21	J		
96.	Oppenheimer Kinetics Paradigm Adv C - KNPCX (see VIII 8)	A	Dividend	J	T					
97.	Oppenheimer Kinetics New Paradigm Adv FD CLA - KNPAX (see VIII 9)	B	Dividend	K	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. PMA as used in Section VII refers to Prudential Management Associates, which maintains managed asset portfolios (individual and IRA) consisting of investments identified in that section.

2. Fidelity 401K as used in Section VII refers to a 401K account maintained by Fidelity Investments consisting of investments identified in that section. Each year, a maximum allowable 401K contribution is made (total value K,) which is allocated pursuant to formula among various of the 401K fund investments identified in this report. Each allocated amount has a value of J.

3. As of September 13, 2021, the 401K plan administrator removed the Fidelity Growth & Inc. Fund (Item 8) from the list of assets available to plan participants for investment and the moneys in that fund were transferred to Fidelity's Am. Century Equity Fund (Item 12.) The value so transferred from the one fund to the other was N.

4. This asset was misidentified in the 2020 Report as JP Morgan Growth & Income Fund. It was (and is) a Morgan U.S. Value Fund.

5. Oppenheimer as used in Section VII refers to Oppenheimer & Co., Inc., which maintains brokerage accounts (individual and IRA) consisting of investments identified in that section.

6. Funds from Oppenheimer FT Deep Value Dividend No. 23 (IRA) (Item 68) were redeemed on May 4, 2021 and deposited in Oppenheimer Advantage Bank Deposits (Item 27.)

7. In Section VII, items 53 and 56 are money market funds that would be the subject of multiple purchases and sales during the course of the year. At year end, the increased value of each fund had a value of K.

8. The Oppenheimer Kinetics Paradigm Adv C asset was inadvertently omitted from the Section VII list of assets for reporting year 2020. The value of that ommitted asset was J and the income code was A for that year.

9. The Oppenheimer Kinetics New Paradigm Adv FD CLA asset was inadvertently omitted from the Section VII list of assets for reporting year 2020. The value of that ommitted asset was J and the income code was B for that year.

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/5/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JOAN M. AZRACK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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