AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
AZRACK, JOAN M.	U.S. DISTRICT COURT, EASTERN DISTRICT NY	8/2/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	1/1/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States Courthouse 100 Federal Plaza Central Islip, NY 11722		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/2/2023

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2022	Salary and bonus from Landman Corsi Ballaine & Ford PC
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/2/2023

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/2/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B	C		D				
	Description of Assets (including trust assets)	Income during reporting period	Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Citibank NA Personal Banking NY, NY (multiple accounts)	A	Interest	M	T					
2.	National Life Ins. Co. whole life policy (See VIII 1)	A	Dividend	K	T					
3.	Fidelity Blue Chip Fund - Fidelity 401K (see VIII 2)		None	N	T					
4.	Fidelity Diversified intl - Fidelity 401K		None	M	T					
5.	Fidelity Freedom 2020 Fund - Fidelity 401K		None	K	T					
6.	Fidelity Contrafund - Fidelity 401K		None	O	T					
7.	Fidelity Value Fund - Fidelity 401K		None	M	T					
8.	Fidelity Government Money Market - Fidelity 401K		None	M	T					
9.	Fidelity Investment Grade Bond Fund - Fidelity 401K		None	M	T					
10.	Am Century Equity - Fidelity 401K		None	N	T					
11.	JP Morgan US Value Fund	A	Dividend	K	T					
12.	Walt Disney Co common stock	A	Dividend	J	T					
13.	Oppenheimer: Catalyst Inside Buying Fund (IRA) (see VIII 3)	A	Dividend			Sold	08/23/22	K		
14.	PMA: Vanguard High Yield Corporate Adm - VWEAX (IRA) (see VIII 4)	B	Dividend	K	T	Buy (add'l)	10/25/22	J		
15.						Sold (part)	10/19/22	J		
16.	Oppenheimer: Kinetics Paradigm Adv C - KNPYX (IRA)	A	Dividend			Sold	08/23/22	J		
17.	Oppenheimer Kinetics Paradigm Adv C - KNPYX	A	Dividend	K	T	Buy	08/25/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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AZRACK, JOAN M.	8/2/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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	A	B		C		D				
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18.	PMA: Vanguard Short Term Inv Grade Adm - VFSUX (IRA)	B	Dividend	M	T	Buy (add'l)	07/01/22	J		
19.						Buy (add'l)	08/08/22	J		
20.						Buy (add'l)	10/25/22	J		
21.						Sold (part)	10/19/22	J		
22.	PMA: MetWest Intermediate Bond I - MWIIX	A	Dividend	J	T	Sold (part)	03/09/22	J		
23.						Sold (part)	07/05/22	J		
24.						Sold (part)	12/05/22	J		
25.	Northwestern Mutual Life Ins. whole policy (See VIII 1)	C	Dividend	K	T					
26.	PMA: Vanguard Inter-Term Inv Grade Adm - VFIDX (IRA)	B	Dividend	K	T	Buy (add'l)	10/25/22	J		
27.						Sold (part)	07/01/22	K		
28.						Sold (part)	10/19/22	J		
29.	JP Morgan Mid Cap Growth	A	Dividend	K	T					
30.	Oppenheimer: Advantage Bank Deposits in various banks - ABDXX (IRA)	A	Interest	J	T					
31.	PMA: Jensen Quality Growth I - JENIX (IRA)	A	Dividend	K	T	Buy (add'l)	07/01/22	J		
32.						Buy (add'l)	10/25/22	J		
33.						Sold (part)	10/19/22	J	A	
34.	PMA: Primecap Odyssey Growth - POGRX (IRA)	D	Dividend	L	T	Buy (add'l)	02/09/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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35.					Buy (add'l)	07/01/22	J		
36.					Buy (add'l)	10/25/22	J		
37. PMA: Vanguard Extended Market Index Adm - VEXAX (IRA)	A	Dividend	K	T	Buy (add'l)	02/09/22	J		
38.					Buy (add'l)	07/01/22	J		
39.					Buy (add'l)	10/25/22	J		
40.					Sold (part)	08/08/22	J		
41. PMA: Vanguard 500 Index Adm - VFIAX (IRA)	B	Dividend	M	T	Buy (add'l)	07/01/22	J		
42.					Buy (add'l)	10/25/22	J		
43.					Sold (part)	02/09/22	J	C	
44.					Sold (part)	10/19/22	J	A	
45. PMA: Vanguard Total Intl Stock Index Adm - VTIAX (IRA)	B	Dividend	K	T	Buy (add'l)	02/09/22	J		
46.					Buy (add'l)	07/01/22	J		
47.					Sold (part)	10/25/22	J		
48. PMA: Odyssey Growth - POGRX	C	Dividend	K	T					
49. PMA Primecap Odyssey Aggressive Growth - POAGX	B	Dividend	K	T					
50. PMA: Vanguard Total Intl Stock Index Adm - VTIAX	B	Dividend	K	T	Sold (part)	03/09/22	K	C	
51.					Sold (part)	10/31/22	J		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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52. PMA: Vanguard Extended Market Index Adm - VEXAX	A	Dividend	K	T	Buy (add'l)	10/31/22	J		
53. PMA: Jensen Quality Growth I - JENIX	B	Dividend	K	T					
54. PMA: Vanguard 500 Index Adm - VFIAX	B	Dividend	L	T					
55. PMA: Vanguard High Yield Corporate Adm - VWEAX	A	Dividend	J	T	Buy (add'l)	03/09/22	J		
56. PMA: Vanguard Limited Term Tax Exempt Fund Adm - VMLUX	A	Dividend	K	T	Buy (add'l)	12/05/22	J		
57.					Sold (part)	03/09/22	J		
58.					Sold (part)	07/05/22	J		
59. PMA: Vanguard Int-Term Tax Exempt Adm - VWIUX	A	Dividend	K	T	Sold (part)	03/09/22	J		
60. PMA: Vanguard Growth Index Adm - VIGAX (IRA)	A	Dividend	K	T	Sold (part)	08/08/22	J	C	
61.					Sold (part)	10/19/22	J	A	
62. PMA: Vanguard Growth Index Adm - VIGAX	A	Dividend	K	T					
63. PMA: MetWest Intermediate Bond I - MWIIX (IRA)	B	Dividend	K	T	Buy (add'l)	10/25/22	J		
64.					Sold (part)	07/01/22	K		
65.					Sold (part)	10/19/22	J		
66. McDonald's common stock	A	Dividend	J	T					
67. Coca Cola common stock	A	Dividend	J	T					
68. Nike common stock class B	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69. PMA: Fidelity Govt Cash Reserves - FDRXX (see VIII 5)	A	Dividend	K	T					
70. PMA: USAA Short Term Bond Instl - UISBX (IRA)	A	Dividend			Sold	02/09/22	K		
71. PMA: Fidelity Govt Cash Reserves - FDRXX (IRA) (see VIII 5)	A	Dividend	K	T					
72. PMA Dodge & Cox Stock Fund - DODGX (IRA)	A	Dividend	K	T	Buy (add'l)	10/25/22	J		
73.					Sold (part)	10/19/22	J	A	
74. PMA Baird Core Plus Bond Instl - BCOIX (IRA)	B	Dividend	L	T	Sold (part)	07/01/22	J		
75. PMA Vanguar Mid Cap Value Index Adm - VMVAX (IRA)	A	Dividend	K	T	Buy (add'l)	10/25/22	J		
76.					Sold (part)	10/19/22	J	A	
77. PMA DFA International Core Equity Instl - DFIEX (IRA)	B	Dividend	K	T	Buy (add'l)	02/09/22	J		
78.					Buy (add'l)	07/01/22	J		
79.					Sold (part)	10/25/22	J		
80. PMA DFA Intl Small Company Instl - DFISX (IRA)	A	Dividend	J	T	Buy (add'l)	02/09/22	J		
81.					Buy (add'l)	07/01/22	J		
82.					Sold (part)	10/25/22	J		
83. PMA Dodge & Cox Intl Stock Fund - DODFX	A	Dividend	J	T	Buy (add'l)	07/05/22	J		
84. PMA JH Disciplined Value Mid Cap I - JVMIX	B	Dividend	K	T					
85. PMA FMI Large Cap Instl - FMIQX (IRA)	A	Dividend			Sold	02/09/22	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. PMA Vanguard Equity Income Adm - VEIRX	A	Dividend	J	T					
87. Oppenheimer Fixed Annuity Invested S&P 500 Index Funds		None	O	T					
88. PMA Dodge & Cox Intl Stock Fund - DODFX (IRA)	A	Dividend	J	T	Sold (part)	10/25/22	J	A	
89. PMA Vanguard Int'l Growth Fund Adm - VWILX (IRA)	B	Dividend	K	T	Buy (add'l)	02/09/22	J		
90.					Buy (add'l)	07/01/22	J		
91.					Sold (part)	10/25/22	J		
92. PMA JH Disciplined Value Mid Cap I - JVMIX (IRA)	B	Dividend	K	T	Buy (add'l)	07/01/22	J		
93.					Buy (add'l)	10/25/22	J		
94.					Sold (part)	10/19/22	J	A	
95. PMA AQR Large Cap Defensive Style Fund Class I - AUEIX(IRA)	B	Dividend	K	T	Buy (add'l)	07/01/22	J		
96.					Buy (add'l)	10/25/22	J		
97.					Sold (part)	10/19/22	J	A	
98. PMA T. Rowe Price Intl Stock I - PRIUX (IRA)	A	Dividend	J	T	Buy (add'l)	02/09/22	J		
99.					Buy (add'l)	07/01/22	J		
100.					Sold (part)	10/25/22	J		
101. PMA T. Rowe Price Small-Cap Stock I - OTIIX (IRA)	B	Dividend	K	T					
102. PMA T.Rowe Price Equity Income I - REIPX (IRA)	B	Dividend	K	T	Buy (add'l)	10/25/22	J		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103.					Sold (part)	10/19/22	J	A	
104. PMA T. Rowe Price International Stock I - PRIUX	A	Dividend	J	T	Buy (add'l)	07/05/22	J		
105. PMA AQR Large Cap Defensive Style Fund Class I - AUEIX	B	Dividend	J	T					
106. PMA Vanguard Mid Cap Value Index Adm - VMVAX	A	Dividend	J	T					
107. PMA T. Rowe Price Equity Income I - REIPX	B	Dividend	K	T					
108. PMA FMI Large Cap Instl - FMIQX	A	Dividend			Sold	10/31/22	J	A	
109. PMA Vanguard International Growth Fund Adm - VWILX	B	Dividend	J	T	Buy (add'l)	03/09/22	J		
110.					Buy (add'l)	07/05/22	J		
111. PMA Van Short Term Inflation Prot Secs Index - VTAPX (IRA)	C	Dividend	L	T	Buy (add'l)	02/09/22	K		
112.					Buy (add'l)	07/01/22	K		
113.					Buy (add'l)	08/08/22	J		
114.					Sold (part)	10/19/22	J		
115. PMA Metropolitan West High Yield Bond I - MWHIX (IRA)	B	Dividend	K	T	Buy (add'l)	10/25/22	J		
116.					Sold (part)	10/19/22	J		
117. PMA Vanguard Equity Income Adm - VEIRX (IRA)	C	Dividend	K	T	Buy (add'l)	02/09/22	J		
118.					Buy (add'l)	10/25/22	J		
119.					Sold (part)	10/19/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/2/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PMA Primecap Odyssey Aggrissive Growth - POAGX (IRA)	B	Dividend	J	T	Buy (add'l)	02/09/22	J		
121.					Buy (add'l)	07/01/22	J		
122.					Buy (add'l)	10/25/22	J		
123.					Sold (part)	10/19/22	J		
124. PMA Janus Henderson Enterprise I - JMGRX (IRA)	B	Dividend	J	T	Buy (add'l)	02/09/22	J		
125.					Buy (add'l)	07/01/22	J		
126.					Buy (add'l)	10/25/22	J		
127.					Sold (part)	10/19/22	J		
128. PMA T.Rowe Price Tax-Free Short-Interm I - TTSIX (IRA)	A	Dividend	J	T					
129. PMA Vanguard Short-Term Tax Exempt Adm - VWSUX	A	Dividend	K	T	Buy (add'l)	03/09/22	J		
130.					Buy (add'l)	10/31/22	J		
131.					Buy (add'l)	12/05/22	J		
132.					Sold (part)	07/05/22	J		
133. PMA T.Rowe Price Tax-Free Shrt-Interm I - TTSIX	A	Dividend	K	T	Buy (add'l)	12/05/22	J		
134.					Sold (part)	07/05/22	J		
135. Oppenheimer Kinetics Paradigm Adv C - KNPCX	A	Dividend	J	T					
136. Oppenheimer Kinetics New Paradigm Adv FD CLA - KNPAX	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/2/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. PMA Fidelity Cash Fund - FID:CASH (IRA) (see VIII 6)	A	Dividend	J	T					
138. PMA Fidelity Cash Fund - FID:CASH (See VIII 6)	A	Dividend	J	T					
139. PMA DFA International Core Equity - DFIEX	A	Dividend	K	T	Buy	03/09/22	K		
140.					Buy (add'l)	07/05/22	J		
141.					Sold (part)	10/31/22	J		
142.					Sold (part)	12/05/22	J		
143. PMA Vanguard Value Index Admiral - VVIAX	A	Dividend	J	T	Buy	10/31/22	J		
144.					Buy (add'l)	12/05/22	J		
145. PMA DFA Municipal Real Return Port Instl - DMREX	A	Dividend	K	T	Buy	03/09/22	J		
146.					Buy (add'l)	07/05/22	J		
147.					Buy (add'l)	10/31/22	J		
148. PMA USAA Tax-Exempt Interm Term Instl - UITIX	A	Dividend	K	T	Buy	03/09/22	K		
149.					Sold (part)	10/31/22	J		
150. Oppenheimer Riverbridge Growth Fd Instl - RIVBX (IRA)	A	Dividend	J	T	Buy	08/25/22	J		
151. Oppenheimer Genter Dividend Income Fund - GDIIX (IRA)	A	Dividend	K	T	Buy	08/25/22	J		
152. Oppenheimer Madison Investors Fund Class Y - MINVX (IRA)	A	Dividend	J	T	Buy	08/25/22	J		
153. Oppenheimer Merger Institutional Fund - MERIX (IRA)	A	Dividend	J	T	Buy	08/25/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/2/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Oppenheimer Nuveen Real Asset Income Fund Class I - NRIIX (IRA)	A	Dividend	J	T	Buy	08/25/22	J		
155. Oppenheimer Ancora/Thelen Small Mid Cap - AATIX (IRA)	A	Dividend	J	T	Buy	08/25/22	J		
156. Oppenheimer Cullen International High Dividend Class I FD -CIHIX (IRA)	A	Dividend	J	T	Buy	08/25/22	J		
157. Oppenheimer Cullen Enhanced Equity Income FD Class I - ENHNX (IRA)	A	Dividend	K	T	Buy	08/25/22	J		
158. Oppenheimer Polen Growth Fund Inst'l Class - POLIX (IRA)	B	Dividend	J	T	Buy	08/25/22	J		
159. Oppenheimer Kinetics New Paradigm - KNPAX (IRA)	A	Dividend			Sold	08/23/22	L		
160.									
161.									
162.									
163.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/2/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. In prior reports, column C(1), regarding the value code for the whole life insurance policy at the end of the reporting period, was mistaking reported based on the policy's cash surrender value. In the current report, that column's valuation is based solely on the investment component of the whole life policy.

2. Fidelity 401K as used in Section VII refers to a 401K account maintained by Fidelity Investments consisting of investments identified in that section. Each year, a maximum allowable 401K contribution is made (total value K,) which is allocated pursuant to formula among various of the 401K fund investments identified in this report. Each allocated amount has a value of J.

3. Oppenheimer as used in Section VII refers to Oppenheimer & Co., Inc., which maintains brokerage accounts (individual and IRA) consisting of investments identified in that section.

4. PMA as used in Section VII refers to Prudential Management Associates, which maintains managed asset portfolios (individual and IRA) consisting of investments identified in that section.

5. Fidelity Government Cash Reserved Money Market Fund (69 and 71) are money market funds that would be the subject of multiple purchases and sales during the course of the year. At year end, the increased value of each fund had a value of K.

6. Fidelity Cash Fund reflects certain dividend payments before they are swept into the Fidelity Government Cash Reserves Money Market Fund, another asset separately disclosed in this Report.

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	8/2/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JOAN M. AZRACK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544