AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
AZRACK, JOAN M.	U.S. DISTRICT COURT, EASTERN DISTRICT NY	7/29/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	1/1/2023 **to** 12/31/2023
	5b. ☐ Amended Report	
7. Chambers or Office Address		
United States Courthouse 100 Federal Plaza Central Islip, NY 11722		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2023	Salary and bonus from Landman Corsi Ballaine & Ford PC
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Jersey Mike's Franchise Systems Inc.	Private flight from Lakewood, NJ to Miami, FL	$600.00
2.	Jersey Mike's Franchise Systems Inc.	Box seat to a Dolphins game	$625.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	7/29/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Citibank NA Personal Banking NY, NY (multiple accounts)	A	Interest	M	T					
2.	National Life Ins. Co. whole life policy (see VIII 8)	A	Dividend	L	T					
3.	Fidelity Blue Chip Fund - Fidelity 401K (see VIII 1)		None	N	T					
4.	Fidelity Diversified intl - Fidelity 401K		None	M	T					
5.	Fidelity Freedom 2020 Fund - Fidelity 401K		None	K	T					
6.	Fidelity Contrafund - Fidelity 401K		None	O	T					
7.	Fidelity Value Fund - Fidelity 401K		None	M	T					
8.	Fidelity Government Money Market - Fidelity 401K		None	M	T					
9.	Fidelity Investment Grade Bond Fund - Fidelity 401K		None	M	T					
10.	Am Century Equity - Fidelity 401K		None	N	T					
11.	JP Morgan US Value Fund	A	Dividend			Redeemed	03/06/23	K		
12.	Walt Disney Co common stock	A	Dividend	J	T					
13.	PMA: Vanguard High Yield Corporate Adm - VWEAX (IRA) (see VIII 3)	B	Dividend	K	T	Buy (add'l)	07/24/23	J		
14.						Sold (part)	10/11/23	J		
15.	Oppenheimer Kinetics Paradigm Adv C - KNPYX (IRA) (see VIII 2)	A	Dividend	J	T	Sold (part)	05/18/23	J		
16.						Sold (part)	11/29/23	J		
17.						Sold (part)	12/07/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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AZRACK, JOAN M.	7/29/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.						Sold (part)	12/13/23	J		
19.	PMA: Vanguard Short Term Inv Grade Adm - VFSUX (IRA)	D	Dividend	M	T	Buy (add'l)	02/01/23	K		
20.						Buy (add'l)	07/24/23	J		
21.						Buy (add'l)	11/21/23	J		
22.						Sold (part)	10/11/23	J		
23.	PMA: MetWest Intermediate Bond I - MWIIX (see VIII 7)	A	Dividend	J	T	Sold (part)	10/16/23	J		
24.						Distributed (part)	10/02/23	J		
25.	Northwestern Mutual Life Ins. whole policy (see VIII 9)	C	Dividend	N	T					
26.	PMA: Vanguard Inter-Term Inv Grade Adm - VFIDX (IRA)	B	Dividend	L	T	Buy (add'l)	07/24/23	K		
27.						Sold (part)	10/11/23	J		
28.	JP Morgan Mid Cap Growth	A	Dividend			Redeemed	03/06/23	K		
29.	Oppenheimer: Advantage Bank Deposits in various banks - ABDXX (IRA)	A	Interest	J	T					
30.	PMA: Jensen Quality Growth I - JENIX (IRA)	A	Dividend			Buy (add'l)	02/01/23	J		
31.						Merged (with line 32)	09/11/23	K		
32.	PMA: Jensen Funds Qty CI Y - JENYX (IRA)	B	Dividend	K	T	Sold (part)	10/11/23	J	A	
33.						Sold (part)	11/21/23	J	A	
34.	PMA: Primecap Odyssey Growth - POGRX (IRA)	A	Dividend			Sold	07/24/23	L	E	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. PMA: Vanguard Extended Market Index Adm - VEXAX (IRA)	A	Dividend	L	T	Sold (part)	10/11/23	J		
36. PMA: Vanguard 500 Index Adm - VFIAX (IRA)	B	Dividend	M	T	Buy (add'l)	02/01/23	J		
37.					Sold (part)	07/24/23	J	B	
38.					Sold (part)	10/11/23	J	B	
39.					Sold (part)	11/21/23	J	B	
40. PMA: Vanguard Total Intl Stock Index Adm - VTIAX (IRA)	B	Dividend	K	T	Sold (part)	02/01/23	J	A	
41.					Sold (part)	10/11/23	J	A	
42. PMA: Janus Enterprise I - JMGRX (IRA)	A	Dividend			Buy (add'l)	02/01/23	J		
43.					Merged (with line 44)	02/06/23	K		
44. PMA: Janus Enterprise N - JDMNX (IRA)	B	Dividend	J	T	Sold (part)	10/11/23	J		
45. PMA: Odyssey Growth - POGRX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	K		
46. PMA Primecap Odyssey Aggressive Growth - POAGX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	K		
47. PMA: Vanguard Total Intl Stock Index Adm - VTIAX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	K		
48.									
49. PMA: Vanguard Extended Market Index Adm - VEXAX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	K		
50. PMA: Jensen Quality Growth I - JENIX	A	Dividend			Merged (with line 51)	09/11/23	K		
51. PMA: Jensen Funds CI Y - JENYX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	K		

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52. PMA: Vanguard 500 Index Adm - VFIAX (see VIII 7)	B	Dividend	J	T	Distributed (part)	10/02/23	L		
53.					Sold (part)	10/16/23	J		
54. PMA: Vanguard High Yield Corporate Adm - VWEAX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	J		
55. PMA: Vanguard Limited Term Tax Exempt Fund Adm - VMLUX (see VIII 7)	A	Dividend	J	T	Buy (add'l)	08/11/23	J		
56.					Sold (part)	10/16/23	J		
57.					Distributed (part)	10/02/23	K		
58. PMA: Vanguard Int-Term Tax Exempt Adm - VWIUX (see VIII 7)	A	Dividend	J	T	Buy (add'l)	08/11/23	J		
59.					Distributed (part)	10/02/23	K		
60. PMA: Vanguard Growth Index Adm - VIGAX (IRA)	A	Dividend	K	T	Buy (add'l)	02/01/23	J		
61.					Buy (add'l)	07/24/23	K		
62.					Buy (add'l)	11/21/23	J		
63.					Sold (part)	10/11/23	J		
64. PMA: Vanguard Growth Index Adm - VIGAX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	K		
65. PMA: MetWest Intermediate Bond I - MWIIX (IRA)	B	Dividend	K	T	Buy (add'l)	02/01/23	J		
66.					Buy (add'l)	07/24/23	J		
67.					Buy (add'l)	11/21/23	J		
68.					Sold (part)	10/11/23	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69.	McDonald's common stock	A	Dividend	J	T					
70.	Coca Cola common stock	A	Dividend	J	T					
71.	Nike common stock class B	A	Dividend	J	T					
72.	PMA: Fidelity Govt Cash Reserves - FDRXX (see VIII 5 and 7)	A	Dividend	J	T	Distributed (part)	10/02/23	J		
73.	PMA: Victory Portfolios URSBX (see VIII 6) (IRA)	C	Dividend	L	T	Buy (add'l)	02/01/23	K		
74.						Buy (add'l)	11/21/23	J		
75.						Sold (part)	07/24/23	K		
76.						Sold (part)	10/11/23	J		
77.	PMA: Fidelity Govt Cash Reserves - FDRXX (IRA) (see VIII 5)	A	Dividend	K	T					
78.	PMA Dodge & Cox Stock Fund - DODGX (IRA)	A	Dividend	K	T	Sold (part)	10/11/23	J	A	
79.	PMA Baird Core Plus Bond Instl - BCOIX (IRA)	C	Dividend	M	T	Buy (add'l)	02/01/23	J		
80.						Buy (add'l)	07/24/23	K		
81.						Buy (add'l)	11/21/23	J		
82.						Sold (part)	10/11/23	J		
83.	PMA Vanguar Mid Cap Value Index Adm - VMVAX (IRA)	A	Dividend	K	T					
84.	PMA DFA International Core Equity Instl - DFIEX (IRA)	B	Dividend	K	T	Sold (part)	02/01/23	J	A	
85.	PMA DFA Intl Small Company Instl - DFISX (IRA)	A	Dividend	J	T	Sold (part)	02/01/23	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. PMA Dodge & Cox Intl Stock Fund - DODFX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	J		
87. PMA JH Disciplined Value Mid Cap I - JVMIX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	K		
88. PMA Vanguard Equity Income Adm - VEIRX (see VIII 7)	A	Dividend	J	T	Buy (add'l)	08/11/23	J		
89.					Distributed (part)	10/02/23	K		
90. Oppenheimer Fixed Annuity Invested S&P 500 Index Funds		None	O	T					
91. PMA Dodge & Cox Intl Stock Fund - DODFX (IRA)	A	Dividend	J	T	Sold (part)	10/11/23	J	A	
92. PMA Vanguard Int'l Growth Fund Adm - VWILX (IRA)	A	Dividend	K	T	Sold (part)	02/01/23	J		
93. PMA JH Disciplined Value Mid Cap I - JVMIX (IRA)	B	Dividend	K	T	Sold (part)	10/11/23	J		
94. PMA AQR Large Cap Defensive Style Fund Class I - AUEIX(IRA)	C	Dividend	K	T	Buy (add'l)	02/01/23	J		
95.					Sold (part)	10/11/23	J		
96. PMA T. Rowe Price Intl Stock I - PRIUX (IRA)	A	Dividend	J	T	Sold (part)	02/01/23	J	A	
97.					Sold (part)	10/11/23	J	A	
98. PMA T. Rowe Price Small-Cap Stock I - OTIIX (IRA)	B	Dividend	K	T	Sold (part)	11/21/23	J		
99. PMA T.Rowe Price Equity Income I - REIPX (IRA)	A	Dividend			Sold	07/24/23	K	C	
100. PMA T. Rowe Price International Stock I - PRIUX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	J		
101. PMA AQR Large Cap Defensive Style Fund Class I - AUEIX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	J		
102. PMA Vanguard Mid Cap Value Index Adm - VMVAX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	J		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. PMA T. Rowe Price Equity Income I - REIPX	A	Dividend			Sold	08/11/23	K	C	
104. PMA Vanguard International Growth Fund Adm - VWILX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	J		
105. PMA Van Short Term Inflation Prot Secs Index - VTAPX (IRA)	A	Dividend	K	T	Buy (add'l)	11/21/23	J		
106.					Sold (part)	02/01/23	K		
107.					Sold (part)	07/24/23	K		
108.					Sold (part)	10/11/23	J		
109. PMA Metropolitan West High Yield Bond I - MWHIX (IRA)	B	Dividend	K	T	Buy (add'l)	02/01/23	J		
110.					Buy (add'l)	07/24/23	J		
111.					Sold (part)	10/11/23	J		
112. PMA Vanguard Equity Income Adm - VEIRX (IRA)	B	Dividend	K	T	Buy (add'l)	02/01/23	J		
113. PMA Primecap Odyssey Aggrissive Growth - POAGX (IRA)	A	Dividend	J	T	Sold (part)	10/11/23	J		
114.					Sold (part)	11/21/23	J		
115. PMA T.Rowe Price Tax-Free Short-Interm I - TTSIX (IRA)	A	Dividend			Sold	02/01/23	J	A	
116. PMA Vanguard Short-Term Tax Exempt Adm - VWSUX (see VIII 7)	A	Dividend	J	T	Sold (part)	08/11/23	J		
117.					Sold (part)	10/16/23	J		
118.					Distributed (part)	10/02/23	K		
119. PMA T.Rowe Price Tax-Free Shrt-Interm I - TTSIX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	7/29/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
120. Oppenheimer Kinetics Paradigm Adv C - KNPCX	A	Dividend			Merged (with line 121)	07/05/23	K		
121. Oppenheimer Kinetics New Paradigm Adv FD CLA - KNPAX	B	Dividend			Sold	11/29/23	K	D	
122. PMA Fidelity Cash Fund - FID:CASH (IRA) (see VIII 4)	A	Dividend	J	T					
123. PMA Fidelity Cash Fund - FID:CASH (See VIII 4)	A	Dividend	J	T					
124. PMA DFA International Core Equity - DFIEX (see VIII 7)	A	Dividend	J	T	Distributed (part)	10/02/23	K		
125. PMA Vanguard Value Index Admiral - VVIAX (see VIII 7)	A	Dividend	J	T	Buy (add'l)	08/11/23	J		
126.					Distributed (part)	10/02/23	K		
127. PMA DFA Municipal Real Return Port Instl - DMREX (see VIII 7)	A	Dividend	J	T	Sold (part)	08/11/23	J		
128.					Distributed (part)	10/02/23	J		
129. PMA Victory Tax Exempt Intermedite Term - UITIX (see VIII 6 and 7)	A	Dividend	J	T	Distributed (part)	10/02/23	K		
130. Oppenheimer Riverbridge Growth Fd Instl - RIVBX (IRA)	B	Dividend	J	T	Sold (part)	05/18/23	J	A	
131.					Sold (part)	11/29/23	J	A	
132.					Sold (part)	12/07/23	J	A	
133.					Sold (part)	12/13/23	J	A	
134. Oppenheimer Genter Dividend Income Fund - GDIIX (IRA)	A	Dividend	J	T	Sold (part)	05/18/23	J		
135.					Sold (part)	11/29/23	J		
136.					Sold (part)	12/07/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	7/29/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	12/13/23	J		
138. Oppenheimer Madison Investors Fund Class Y - MINVX (IRA)	B	Dividend	J	T	Sold (part)	05/19/23	J		
139.					Sold (part)	11/30/23	J	A	
140.					Sold (part)	12/08/23	J	A	
141.					Sold (part)	12/14/23	J	A	
142. Oppenheimer Merger Institutional Fund - MERIX (IRA)	A	Dividend	J	T	Sold (part)	05/18/23	J		
143.					Sold (part)	11/29/23	J		
144.					Sold (part)	12/07/23	J		
145.					Sold (part)	12/13/23	J		
146. Oppenheimer Nuveen Real Asset Income Fund Class I - NRIIX (IRA)	A	Dividend	J	T	Sold (part)	05/18/23	J		
147.					Sold (part)	11/29/23	J		
148.					Sold (part)	12/08/23	J		
149.					Sold (part)	12/13/23	J		
150. Oppenheimer Ancora/Thelen Small Mid Cap - AATIX (IRA)	A	Dividend	J	T	Sold (part)	05/19/23	J		
151.					Sold (part)	11/30/23	J	A	
152.					Sold (part)	12/08/23	J	A	
153.					Sold (part)	12/14/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	7/29/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.	Oppenheimer Cullen International High Dividend Class I FD -CIHIX (IRA)	A	Dividend	J	T	Sold (part)	05/19/23	J	A	
155.						Sold (part)	11/30/23	J	A	
156.						Sold (part)	12/08/23	J	A	
157.						Sold (part)	12/14/23	J	A	
158.	Oppenheimer Cullen Enhanced Equity Income FD Class I - ENHNX (IRA)	A	Dividend	J	T	Sold (part)	05/19/23	J		
159.						Sold (part)	11/30/23	J		
160.						Sold (part)	12/08/23	J		
161.						Sold (part)	12/14/23	J		
162.	Oppenheimer Polen Growth Fund Inst'l Class - POLIX (IRA)	B	Dividend	J	T	Sold (part)	05/18/23	J		
163.						Sold (part)	11/29/23	J	A	
164.						Sold (part)	12/07/23	J	A	
165.						Sold (part)	12/13/23	J	A	
166.										
167.	PMA Vanguard Value Index Adm - VVIAX (IRA)	A	Dividend	K	T	Buy	07/24/23	J		
168.	PMA TRowe Price Small Cap Value I - PRVIX (IRA)	A	Dividend	J	T					
169.	PMA: Vanguard Windsor Admiral - VWNAX (IRA)	A	Dividend	J	T	Buy	07/24/23	J		
170.						Sold (part)	10/11/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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AZRACK, JOAN M.	7/29/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. PMA: Harbor Cap App. Retirement - HNACX (IRA)	B	Dividend	K	T	Buy	07/24/23	K		
172.					Buy (add'l)	11/21/23	J		
173.					Sold (part)	10/11/23	J		
174. PMA: Dodge & Cox Stock - DODGX (see VIII 7)	A	Dividend	J	T	Buy	08/11/23	J		
175.					Distributed (part)	10/02/23	J		
176. PMA: T Rowe Price Summit Mun. Intrm I - PRTMX (see VIII 7)	A	Dividend	J	T	Buy	08/11/23	J		
177.					Distributed (part)	10/02/23	J		
178.									
179.									
180. Fidelity Cash Fund FID: CASH (See VIII 4 and VIII 7)	A	Dividend	J	T	Open	10/02/23	J		
181. Vanguard 500 Index Adm - VFIAX	A	Dividend	M	T	Open	10/02/23	L		
182. Vanguard Growth Index Admiral - VIGAX	A	Dividend	L	T	Open	10/02/23	K		
183. Vanguard Extended Market Index Admiral - VEXAX	A	Dividend	K	T	Open	10/02/23	K		
184. Vanguard Value Index Adm - VVIAX	A	Dividend	K	T	Open	10/02/23	J		
185.					Buy (add'l)	12/12/23	J		
186. Vanguard Total Intl Stock Index Adm - VTIAX	A	Dividend	K	T	Open	10/02/23	K		
187. Jensen Funds Qty Growth CI Y - JENYX	B	Dividend	K	T	Open	10/02/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
188. Vanguard Limited-Term Tax-Exempt Fund Adm - VMLUX	A	Dividend	K	T	Open	10/02/23	K		
189. Vanguard Int-Term tax Exempt Adm - VWIUX	A	Dividend	K	T	Open	10/02/23	K		
190. John Hancock Disciplined Value Mid-Cap I - JVMIX	B	Dividend	K	T	Open	10/02/23	K		
191. PRIMECAP Odyssey Growth - POGRX	C	Dividend	K	T	Open	10/02/23	K		
192. DFA International Core Equity Instl - DFIEX	A	Dividend	K	T	Open	10/02/23	K		
193. PRIMECAP Odyssey Aggressive Growth - POAGX	B	Dividend	K	T	Open	10/02/23	K		
194. Vanguard Ultra-Short-Term Tax Exempt Admiral - VWSUX	A	Dividend	K	T	Open	10/02/23	K		
195. Vanguard Equity Income Admiral - VEIRX	B	Dividend	K	T	Open	10/02/23	K		
196. T. Rowe Price Tax-Free Shrt-Interm I - TTSIX	A	Dividend	K	T	Open	10/02/23	K		
197. Fidelty Government Cash Reserves - FDRXX (see VIII 5)	A	Dividend	K	T	Open	10/02/23	J		
198. Victory Tax Exempt Intermedite-Term Ins - UITIX	A	Dividend	K	T	Open	10/02/23	K		
199. Vanguard International Growth Fund Admiral - VWILX	A	Dividend	K	T	Open	10/02/23	J		
200. Vanguard High-Yield Corporate Admiral - VWEAX	A	Dividend	J	T	Open	10/02/23	J		
201. Dodge & Cox Stock Fund - DODGX	A	Dividend	J	T	Open	10/02/23	J		
202. T. Rowe Price Summit Municipal Intrm I - PRTMX	A	Dividend	J	T	Open	10/02/23	J		
203. Metroplitan West Intermediate Bond I - MWIIX	A	Dividend	J	T	Open	10/02/23	J		
204. T. Rowe Price International Stock I - PRIUX	A	Dividend	J	T	Open	10/02/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
AZRACK, JOAN M.	7/29/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Dodge & Cox Intl Stock Fund - DODFX	A	Dividend	J	T	Open	10/02/23	J		
206. DFA Municipal Real Return Portfolio - DMREX	A	Dividend	J	T	Open	10/02/23	J		
207. Vanguard Mid Cap Value Index Adm - VMVAX	A	Dividend	J	T	Open	10/02/23	J		
208. AQR Large Cap Defensive Style Fund Class I - AUEIX	A	Dividend			Open	10/02/23	J		
209.					Sold	12/12/23	J	B	
210.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Fidelity 401K as used in Section VII refers to a 401K account maintained by Fidelity Investments consisting of investments identified in that section. Each year, a maximum allowable 401K contribution is made (total value K,) which is allocated pursuant to formula among various of the 401K fund investments identified in this report. Each allocated amount has a value of J.

2. Oppenheimer as used in Section VII refers to Oppenheimer & Co., Inc., which maintains brokerage accounts (individual and IRA) consisting of investments identified in that section.

3. PMA as used in Section VII refers to Prudential Management Associates, which maintains managed asset portfolios (individual and IRA) consisting of investments identified in that section.

4. Fidelity Cash Fund (Assets 122, 123 and 180) reflects certain dividend payments before they are swept into the Fidelity Government Cash Reserves Money Market Fund, another asset separately disclosed in this Report.

5. Fidelity Government Cash Reserves Money Market Fund (Assets 72, 77 and 197) are money market funds that would be the subject of multiple purchases and sales during the course of the year. At year end, the increased value of each fund had a value of K.

6. USAA stock reported in the undersigned's 2022 Finance Disclosure was involved in a conversion (or exchange) of stock accomplished over the 2022-2023 period to "rebrand" the USAA stock with a new name and new ticker symbol. This conversion to the name Victory Portfolios (Assets 73 and 129) does not constitute a purchase or sale of stock and is not subject to capital gains treatment.

7. On October 2, 2023 a portion of the stock held by the [redacted] in [redacted] Individual Account with PMA was transferred to an Individual Account set up by the undersigned. I understand this transfer of stock does not constitute a purchase or sale of stock and is not subject to capital gains treatment. These assets are marked with a "distributed - part" in Column D1 and the "new" Individual Account is in Assets 180-208.

8. Based on the information available for reporting period 2023, it appears the gross year end valuation for prior reporting period 2022 for the National Life policy should have been higher than the "K" valuation disclosed.

9. Based on the information available for reporting period 2023, it appears the gross year end valuation for prior reporting period 2022 for the Northwestern Mutual policy should have been substantially higher than the "K" valuation disclosed.

Name of Person Reporting	Date of Report
AZRACK, JOAN M.	7/29/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JOAN M. AZRACK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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