AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BABCOCK, LEWIS T.	U.S. DISTRICT COURT - COLORADO	12/18/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
JUDGE - SENIOR STATUS	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
1929 STOUT STREET, C450 DENVER, CO 80294		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2023	Colorado Public Employees Retirement Association - received from [redacted] employement as a former teacher
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2023	Self-employed artist
2.	2023	Colorado Public Employees Retirement Association received from employment as a former teacher
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BABCOCK, LEWIS T.	12/18/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Double Eagle Petroleum Mining Co (Com.) kna Escalera Resources		None	J	T					
2.	Prime Energy Corp (Com) fka KRM Petrleum Corp		None	K	T					
3.	jhi, Inc. (Com)		None	J	T					
4.	Brokeridge Account #1 (IRA)									
5.	-a) American Bond Fund of America A	A	Dividend	K	T	Distributed (part)	12/01/23	J	B	
6.	-c) Hartford Dividend & Growth A (THGIX)	A	Dividend	K	T	Distributed (part)	12/01/23	J	B	
7.	Brokeridge Account #2 (IRA)(H)									
8.	-a) American World Growth & Income A	A	Dividend	L	T	Distributed (part)	12/29/23	J	B	
9.	Brokeridge Account #2(H)									
10.	-a) Citibank Bank Deposit Program Money Market Account	A	Interest	K	T					
11.	-b) AT&T Inc	A	Dividend	J	T					
12.	-c)(i) Pfizer, Inc.	A	Dividend	K	T					
13.	-d) Alcate10Lucent ADR n/k/a Nokia CPADR		None	J	T					
14.	-e) Viacom B (Com) now Paramount Global B	A	Dividend	J	T					
15.	-h) Comcast Corp (Com)	A	Dividend	K	T					
16.	-i) American Builder A	A	Dividend	K	T					
17.	-j) Capital World Growth & Income B (CWGIx)	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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BABCOCK, LEWIS T.	12/18/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -k) Invesco Charter Funds	A	Dividend	L	T					
19. Invesco Am Franchise fund	A	Dividend	K	T					
20. AIM Invesco Diversified Div Fund NKA	A	Dividend	M	T					
21. Invesco Municipal Income	C	Int./Div.	M	T					
22. Valic (IRA) nka AIG Retirement nka MacQuarie Fidelity Trust	A	Interest	J	T					
23. U.S. Consolidated Federal Credit Union nka ENT(H)									
24. -a) CD	A	Interest	J	T					
25. -b) Account #1 - Bank account	A	Interest	J	T					
26. USbancorp Account #1 - Bank account	A	Interest	K	T					
27. USbancorp Account #2 - Bank account	A	Interest	K	T					
28. Lot Riverside County, Calif		None	J	W					
29. .333 Int in Section Imperial County, CA		None	M	W					
30. Brokeridge Account #1 (IRA)(H)									
31. -a) American Capital Income Building Fund B	A	Dividend	K	T	Distributed (part)	10/15/23	J	A	
32. Brokeridge Account #2)H)									
33. Brokeridge Account #3 CBS - See Line #15(H)									
34. -a)Apple Inc.	A	Dividend	M	T					

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35. -b) Bristol Myers	A	Dividend	J	T					
36. -c) Verizon	A	Dividend	J	T					
37. -d) Alerian (AMPL)	A	Dividend	J	T					
38. Waddell & Reed/IVY Investments are one in the same - Core equity	A	Dividend	J	T	Distributed (part)	12/01/23	J	B	
39. Vatris (spinoff from Pfizer)	A	Dividend	J	T	Distributed (part)	11/02/23	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In response to your letter of December 4, 2024 regarding my 2023 amended report, please see the following:

SECTION VII - Investments and Trusts

Regarding Part VII, Line 39 (previously Line 40), pursuant to your December 2024 letter, I have amended Column B(2) to read "Dividend" and Columns D(1), (3) and (4) which were previously left blank are now filled in with the proper description of "Distributiom (part)", "J" and "A".

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ LEWIS T. BABCOCK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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