AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bailey, John P.	Northern District of West Virginia	04/06/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse P.O. Box 551 Wheeling, WV 26003		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Engage Analytics LLC
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason University Foundation	12/04-2021 to 12/10/2021	Palm Beach, Florida	Colloquium - Economics for Judges	travel, lodging and some meals
2.	West Virginia Bar Foundation	05/22/2021 to 05,23,2021	Roanoke, West Virginia	Lawyers Leadership Conference	room and meals
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Bailey, John P.	04/06/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Chase Bank	Credit Card	J
2.	Truist Bank	Credit Card	J
3.	American Express	Credit Card	J
4.	Barclay's Bank	Credit Card	J
5.	Citibank	Credit Card	J
6.	United Bank	Credit Card	J
7.	WesBanco	Credir Caerd	J

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. - Cash - Hazlett, Burt & Watson		None		T					
3. Mass Mutual Life Ins. Whole Life Ins.	A	Dividend	J	T					
4. IRA #2 (H)									
5. - Fidelity Govt MMkt Cap Reserves	A	Int./Div.	J	T	Sold (part)	01/24/21	J	A	
6. - Ishares Russell 2000 Value ETF	A	Dividend			Sold	09/14/21	J	D	
7. - Ishares Edge MSCI Min Vol EAFE EFT	A	Dividend			Sold	09/10/21	L	D	
8. - SPDR Ser Tr S&P Div. ETF	B	Dividend	L	T					
9. - SPDR S&P Midcap 400 ETF Tr UTSE1	A	Dividend	K	T					
10. - Vanguard Spec. Portfolio Div	A	Dividend	K	T					
11. - Vanguard Equity Inc. Admiral FD	B	Dividend	L	T	Buy (add'l)	09/15/21	K		
12. - Vanguard Index Fd Vanguard Small Cap	A	Dividend	K	T					
13. - Wisdomtree TR Midcap Div Fd	B	Dividend	K	T	Sold (part)	09/10/21	K	D	
14. - Wisdomtree Small Cap Div Fd	A	Dividend	K	T					
15. -Invesco Exch S&P Low Volatility Fd	B	Dividend	L	T					
16. -Invesco Exch. Fd. Pur. Growth	A	Dividend	K	T					
17. -Federated Ultra Short Bond Is	A	Dividend	L	T	Sold (part)	03/17/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	- ABM Income Fund Advisor	B	Dividend	L	T					
19.	- CTI Biopharma	A	Dividend	J	T					
20.	- JP Morgan Large Cap Growth	A	Dividend	K	T					
21.	- Fidelity 500 Index Fund	A	Dividend	K	T					
22.	- Ballie Gifford Intl Growth Fd Instl Cl		Dividend	K	T	Buy	09/14/21	K		
23.	- Goldman Sachs Intl Equity Cl	A	Dividend	K	T	Buy	09/15/21	K		
24.	Enterprise Products Partners LP	A	Distribution			Sold	02/24/21	J	A	
25.	Chevron Corp New Com	A	Dividend	J	T					
26.	Coca Cola Co.	A	Dividend	J	T					
27.	e.l.f. Beauty Inc.	A	Dividend	J	T					
28.	Fidelity Govt MMKT Cap. Res.	A	Int./Div.	J	T					
29.	Engage Analytics 401(k) (H)									
30.	- John Hancock Multi-Index 2045 Lifetime Fd.	C	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI, liabilities to Wells Fargo and United bBank; no longer reportable. Property used solely as [redacted].

Part VII, line 12. Property purchased 02/28/14. Actual purchaseice $762,500.

Part VII, Line 12. Appraisal dated July 10, 2020.

Part VII, lines 1-2. Proceeds of liquidation of IRA were all roled into the Thrift Savings Plan..

Part VII, line 4. Property is no longer rented.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John P. Bailey

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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