AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Barbier, Carl J.	U.S. District Court, E.D. La.	04/12/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge-Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
500 Poydras Street New Orleans, LA. 70130		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Directors	Loyola Law Alumni Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	01/30/2021	Merrill Lynch Individual Retirement Account - Required Minimum Distribution	$137,076.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	01/30/2021	Merrill Lynch IRA - Required Minimum Distribution
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Lexus Financial Services	Vehicle loan	J
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Barbier, Carl J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Bank of America (Merrill Lynch) FIA NA Rasp (money mkt acct)	A	Interest	N	T	Buy (add'l)	12/30/21	J		
2.						Sold (part)	01/30/21	M		
3.	BA CA N.A. RASP (money market account)	A	Interest	M	T					
4.	El Paso Nat. Gas (Bond) Jan 15, 2022	A	Interest	J	T					
5.	Coca Cola Ent. Debentures Feb 01 2022	B	Interest	J	T					
6.	USX Corp May 15, 2022	B	Interest	K	T					
7.	Coca Cola Ent. Inc. Debentures Sep 15 2022	C	Interest	K	T					
8.	USX Marathon Grp Debentures Mar 01 2023	C	Interest	L	T					
9.	Gen Motors Corp Global Bds July 15 2023	A	Interest			Sold	03/30/21	J	A	
10.	USX Marathon Group July 15, 2023	B	Interest	K	T					
11.	Southern Union Co. Feb 01, 2024	A	Interest	J	T					
12.	Time Warner Cos Inc Feb 01, 2024	B	Interest	K	T					
13.	Entergy La. LLC Nov. 01, 2024	A	Interest	K	T					
14.	Union Carbide Deben 6/1/25	A	Interest	J	T					
15.	BellSouth Telecommunications Oct 01, 2025	A	Interest	J	T					
16.	Frontier Communications Nov 01,2025 (Formerly Citizens Utility)	A	Interest			Distributed	05/04/21	J	A	
17.	Ford Motor Co. Nov 15, 2025	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	Burlington Resources Inc Feb 15, 2026	B	Interest	K	T					
19.	Petro Canada Jun 15, 2026	A	Interest	J	T					
20.	Indiana Bell Tel Debentures Aug 15 2026	D	Interest	M	T					
21.	Morgan Stanley Sub Ser GMTN Sept 08, 2026	B	Interest	L	T					
22.	Bristol Meyers Squibb Nov 15 2026	B	Interest	K	T					
23.	Hydro Quebec Deb Ser MBIA Jan 15, 2027	B	Interest	K	T					
24.	Phillip Morris Cos Inc Jan. 15, 2027	B	Interest	K	T					
25.	Eli Lilly & Co March 15, 2027	A	Interest	K	T					
26.	Wal-Mart Stores, Inc Apr 05 2027	B	Interest	K	T					
27.	CSX Corp May 01 2027	A	Interest	J	T					
28.	Hershey Foods Corp Aug 15, 2027	A	Interest	J	T					
29.	Seagull Energy Corp Sr. Notes 9/15/27	C	Interest	K	T					
30.	Puget Sound Energy Inc. Dec 01, 2027	B	Interest	K	T					
31.	Anheuser-Busch Cos Inc Dec 15 2027	A	Interest	J	T					
32.	Pioneer Natural Resource Jan 15, 2028	B	Interest	K	T					
33.	Sears Roebuck Acceptance Jan. 15, 2028	A	Interest	J	T					
34.	Kinder Morgan Inc. Mar. 01, 2028 (formerly KN Engergy)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Barbier, Carl J.	04/12/2022

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35. Occidental Petroleum Apr 01 2028	B	Interest	K	T					
36. Bell Atlantic Corp Apr 15, 2028	B	Interest	K	T					
37. GTE Corporation Apr 15, 2028	D	Interest	M	T					
38. Bell South Telecom Inc Jun 01 2028	A	Interest	J	T					
39. Georgia-Pacific Group Jun 01 2028	A	Interest	J	T					
40. Heinz (HJ) Co July 15 2028	C	Interest	K	T					
41. United Utilities PLC Aug 15, 2028	A	Interest	J	T					
42. Petro Canada Deben 11/15/28	A	Interest	J	T					
43. Bell Atlantic Penn Dec 01, 2028	B	Interest	K	T					
44. Duke Energy Corp Dec. 01, 2028	B	Interest	K	T					
45. Burlington Resources Mar 01, 2029	B	Interest	K	T					
46. Conoco Inc Apr 15, d2029	B	Interest	K	T					
47. Archer-Daniels-Midland May 01 2029	B	Interest	K	T					
48. Norfolk Southern Corp May 17, 2029	B	Interest	K	T					
49. Amerada Hess Corp Bonds Oct 01 2029	C	Interest	L	T					
50. Dow Chemical Co Nov 01, 2029	B	Interest	K	T					
51. Frontier Communications Nov.01,2029 5.85%	A	Interest	J	T	Spinoff (from line 16)	05/04/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. New England Tel & Tel Co. Nov 15 2029	B	Interest	K	T					
53. New Jersey Bell Tel Co Nov 15, 2029	B	Interest	K	T					
54. Apache Fin Canada Corp Dec 15, 2029	B	Interest	K	T					
55. Wal Mart Stores Bonds Feb 15 2030	C	Interest	L	T					
56. Burlington North Santa Fe Aug 15, 2030	A	Interest	K	T					
57. Bell Telephone Co PA Dec 15, 2030	A	Interest	J	T					
58. Dominion Res Cap TR III Jan 15, 2031	B	Interest	K	T					
59. Amerada Hess Corp Aug 15, 2031	C	Interest	L	T					
60. Gen. Electric Cap Corp Mar 15, 2032	B	Interest	K	T					
61. Gen. Electric Cap Corp Jul 15, 2032	B	Interest	K	T					
62. Texaco Capital Inc Aug 01, 2032	A	Interest	J	T					
63. Florida Power Corp Mar 01, 2033	B	Interest	K	T					
64. Wisconsin Electric Power May 15, 2033	A	Interest	J	T					
65. Florida Power & Light Apr 01, 2034	A	Interest	K	T					
66. Gen. Electric Cap Corp Jun 15, 2034	B	Interest	K	T					
67. Bell South Corp Nov. 15, 2034	B	Interest	K	T					
68. Goldman Sachs Group Jun 15, 2035	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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69. Goldman Sachs Group Oct 1, 2037	C	Interest	L	T					
70. St. Gabriel La Pub Impt May 01 29	A	Interest	K	T					
71. Jefferson PH LA Hosp Svc Jan 1 31	C	Interest			Redeemed	01/04/21	K	A	
72. La. LCL GVT ENV CDA RV Sep 01 32	A	Interest	J	T					
73. San Jose Calif Arpt Rev Mar 01 41	B	Interest			Redeemed	04/07/21	K	A	
74. La LCL GVT ENV CDA RV Mar 01 42	A	Interest	J	T					
75. Louisiana Pub Facs Auth Jul 01 42	A	Interest	K	T					
76. Washington St Motor Aug 01 42	A	Interest	K	T					
77. Louisiana LCL GVT Mar 01 47	B	Interest	K	T					
78. American Airls Group Inc.	A	Dividend	J	T					
79. Frontier Communications -stock	A	Dividend	K	T	Spinoff (from line 16)	05/04/21	K		
80. Merrill Lynch BIF (CMA) Tax-Exempt Fund	A	Dividend	L	T					
81. Goldman Sachs Group Oct 1, 2037	A	Interest	J	T					
82. Metro Transportation Autority Nov 15, 2022	B	Interest	K	T					
83. St Gabriel La Pub Impt May 01 37	B	Interest	L	T					
84. LA Pub Facs Auth Rev Loyola Proj Oct 01 41	B	Interest			Redeemed	10/01/21	K	A	
85. Merrill Lynch Bank USA RASP (money market)	A	Interest	L	T					

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86. Goldman Sachs Bank CD Jul16 2020	B	Interest			Redeemed	01/04/21	K	A	
87. Goldman Sachs Group Aug 15, 2025	B	Interest	K	T					
88. Morgan Stanley Sub Ser GMTN Sept 08, 2026	A	Interest	J	T					
89. Phillip Morris Cos Inc Jan 15, 2027 (Bonds)	B	Interest	K	T					
90. GTE North Inc. Bond Feb. 15, 2028	A	Interest	J	T					
91. General Elec Cap Corp July 15, 2032	A	Interest	J	T					
92. Bell South Corp Nov 15, 2034	A	Interest	J	T					
93. LA LCL GVT ENV CDA RV Nov 01 31	A	Interest	K	T					
94. Central Plains Energy Sep 01 42	A	Interest	K	T					
95. Vanguard Ttl Stk Mkt Index Fund	E	Dividend	P1	T					
96. Vanguard Prime MM Fund	A	Dividend	J	T					
97. Capital One Bank-- checking/savings/money market	B	Interest	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Barbier, Carl J.	04/12/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carl J. Barbier

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544