AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Barbier, Carl J.	U.S. District Court, E.D. La.	09/18/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge-Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
500 Poydras Street New Orleans, LA. 70130		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Directors	Loyola Law Alumni Association
2.	Member, Advisory Committee	Emory Law School, Complex Litigation Advisory Committee
3.	Member, Executive Committee	Thomas More Inn of Court
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Emory Complex Litigation Institute	3/08-10/2022	Miami, FL	Meeting re proposed new rules for MDLs	Travel, hotel, food
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Barbier, Carl J.	09/18/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Bank of America (Merrill Lynch) FIA NA Rasp (money mkt acct)	A	Interest	M	T					
2.	BA CA N.A. RASP (money market account)	A	Interest	M	T					
3.	El Paso Nat. Gas (Bond) Jan 15, 2022	A	Interest			Sold	01/18/22	J	A	
4.	Coca Cola Ent. Debentures Feb 01 2022	B	Interest			Redeemed	02/01/22	J	A	
5.	USX Corp May 15, 2022	B	Interest			Redeemed	05/16/22	K	B	
6.	Coca Cola Ent. Inc. Debentures Sep 15 2022	C	Interest			Redeemed	09/15/22	K	A	
7.	USX Marathon Grp Debentures Mar 01 2023	C	Interest	L	T					
8.	USX Marathon Group July 15, 2023	B	Interest	K	T					
9.	Southern Union Co. Feb 01, 2024	A	Interest	J	T					
10.	Time Warner Cos Inc Feb 01, 2024	B	Interest	K	T					
11.	Entergy La. LLC Nov. 01, 2024	A	Interest	K	T					
12.	Union Carbide Deben 6/1/25	A	Interest	J	T					
13.	BellSouth Telecommunications Oct 01, 2025	A	Interest	J	T					
14.	Ford Motor Co. Nov 15, 2025	B	Interest	K	T					
15.	Burlington Resources Inc Feb 15, 2026	B	Interest	K	T					
16.	Petro Canada Jun 15, 2026	A	Interest	J	T					
17.	Indiana Bell Tel Debentures Aug 15 2026	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Morgan Stanley Sub Ser GMTN Sept 08, 2026	B	Interest	K	T					
19. Bristol Meyers Squibb Nov 15 2026	B	Interest	K	T					
20. Hydro Quebec Deb Ser MBIA Jan 15, 2027	B	Interest	K	T					
21. Phillip Morris Cos Inc Jan. 15, 2027	B	Interest	K	T					
22. Eli Lilly & Co March 15, 2027	A	Interest	K	T					
23. Wal-Mart Stores, Inc Apr 05 2027	B	Interest	K	T					
24. CSX Corp May 01 2027	A	Interest	J	T					
25. Hershey Foods Corp Aug 15, 2027	A	Interest	J	T					
26. Seagull Energy Corp Sr. Notes 9/15/27	C	Interest	K	T					
27. Puget Sound Energy Inc. Dec 01, 2027	B	Interest	K	T					
28. Anheuser-Busch Cos Inc Dec 15 2027	A	Interest	J	T					
29. Pioneer Natural Resource Jan 15, 2028	A	Interest	K	T					
30. Sears Roebuck Acceptance Jan. 15, 2028	A	Interest	J	T					
31. Kinder Morgan Inc. Mar. 01, 2028 (formerly KN Engergy)	A	Interest	J	T					
32. Occidental Petroleum Apr 01 2028	B	Interest	K	T					
33. Bell Atlantic Corp Apr 15, 2028	B	Interest	K	T					
34. GTE Corporation Apr 15, 2028	D	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. Bell South Telecom Inc Jun 01 2028	A	Interest	J	T					
36. Georgia-Pacific Group Jun 01 2028	A	Interest	J	T					
37. Heinz (HJ) Co July 15 2028	C	Interest	K	T					
38. United Utilities PLC Aug 15, 2028	A	Interest	J	T					
39. Petro Canada Deben 11/15/28	A	Interest	J	T					
40. Bell Atlantic Penn Dec 01, 2028	B	Interest	K	T					
41. Duke Energy Corp Dec. 01, 2028	B	Interest	K	T					
42. Burlington Resources Mar 01, 2029	B	Interest	K	T					
43. Conoco Inc Apr 15, d2029	B	Interest	K	T					
44. Archer-Daniels-Midland May 01 2029	B	Interest	K	T					
45. Norfolk Southern Corp May 17, 2029	B	Interest	K	T					
46. Amerada Hess Corp Bonds Oct 01 2029	C	Interest	L	T					
47. Dow Chemical Co Nov 01, 2029	B	Interest	K	T					
48. Frontier Communications Nov.01,2029 5.85%	A	Interest	J	T					
49. New England Tel & Tel Co. Nov 15 2029	B	Interest	K	T					
50. New Jersey Bell Tel Co Nov 15, 2029	B	Interest	K	T					
51. Apache Fin Canada Corp Dec 15, 2029	B	Interest	K	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52. Wal Mart Stores Bonds Feb 15 2030	C	Interest	K	T					
53. Burlington North Santa Fe Aug 15, 2030	A	Interest	J	T					
54. Bell Telephone Co PA Dec 15, 2030	A	Interest	J	T					
55. Dominion Res Cap TR III Jan 15, 2031	B	Interest	K	T					
56. Amerada Hess Corp Aug 15, 2031	C	Interest	K	T					
57. Gen. Electric Cap Corp Mar 15, 2032	B	Interest	K	T					
58. Gen. Electric Cap Corp Jul 15, 2032	B	Interest	K	T					
59. Texaco Capital Inc Aug 01, 2032	A	Interest	J	T					
60. Florida Power Corp Mar 01, 2033	B	Interest	K	T					
61. Wisconsin Electric Power May 15, 2033	A	Interest	J	T					
62. Florida Power & Light Apr 01, 2034	A	Interest	J	T					
63. Gen. Electric Cap Corp Jun 15, 2034	B	Interest	K	T					
64. Bell South Corp Nov. 15, 2034	B	Interest	K	T					
65. Goldman Sachs Group Jun 15, 2035	B	Interest	K	T					
66. Goldman Sachs Group Oct 1, 2037	C	Interest	L	T					
67. St. Gabriel La Pub Impt May 01 29	A	Interest			Redeemed	05/02/22	J	A	
68. La. LCL GVT ENV CDA RV Sep 01 32	A	Interest	J	T					

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69.	La LCL GVT ENV CDA RV Mar 01 42	A	Interest			Redeemed	03/01/22	J	A	
70.	Louisiana Pub Facs Auth Jul 01 42	A	Interest			Redeemed	07/01/22	J	A	
71.	Washington St Motor Aug 01 42	A	Interest	J	T					
72.	Louisiana LCL GVT Mar 01 47	B	Interest			Redeemed	03/01/22	K	A	
73.	American Airls Group Inc.	A	Dividend	J	T					
74.	Frontier Communications -stock	A	Dividend	K	T					
75.	Merrill Lynch BIF (CMA) Tax-Exempt Fund	A	Dividend	M	T					
76.	Goldman Sachs Group Oct 1, 2037	A	Interest	J	T					
77.	Metro Transportation Autority Nov 15, 2022	B	Interest			Redeemed	11/15/22	K	A	
78.	St Gabriel La Pub Impt May 01 37	B	Interest			Redeemed	05/02/22	K	A	
79.	Merrill Lynch Bank USA RASP (money market)	A	Interest	K	T					
80.	Goldman Sachs Group Aug 15, 2025	B	Interest	K	T					
81.	Morgan Stanley Sub Ser GMTN Sept 08, 2026	A	Interest	J	T					
82.	Phillip Morris Cos Inc Jan 15, 2027 (Bonds)	B	Interest	J	T					
83.	GTE North Inc. Bond Feb. 15, 2028	A	Interest	J	T					
84.	General Elec Cap Corp July 15, 2032	A	Interest	J	T					
85.	Bell South Corp Nov 15, 2034	A	Interest	J	T					

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86. LA LCL GVT ENV CDA RV Nov 01 31	A	Interest	J	T					
87. Central Plains Energy Sep 01 42	A	Interest			Redeemed	09/01/22	J	A	
88. Vanguard Ttl Stk Mkt Index Fund	E	Dividend	P1	T	Buy (add'l)	06/08/22	M		
89. Vanguard Money Mkt Fund	B	Dividend	N	T	Buy (add'l)	06/08/22	M		
90. U.S.Treasury Note Due 6/30/24	A	Interest	K	T	Buy	06/08/22	K		
91. Goldman Sachs Bank CD Due 6/10/24	B	Interest	L	T	Buy	06/08/22	L		
92. JPMorganChase Bank CD Due 6/21/24	B	Interest	K	T	Buy	06/08/22	K		
93. Capital One Bank-- checking/savings/money market	D	Interest	O	T					
94.									

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Barbier, Carl J.	09/18/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. Lines 1&2, shows that in May 2022, I transferred CASH from my Merrill Lynch IRA directly to a newly opened Vanguard IRA. Once the cash was transferred, in June 2022, I bought the assets listed on lines 88-92

PART VII. I inadvertently failed to file a timely periodic transaction report for the transactions listed on Lines 6, 77 and 87: Each of these involved the redemption of a bond, which occurred automatically and was unnoticed at the time. These transactions would not create a recusal issue, but I realize should have required a periodic report to be filed. I request a waiver of any late filing penalty under these circumstances. Please advise if additional information is needed.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carl J. Barbier

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544