AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Barker, John C.	U.S. District Court, Eastern District of Texas	05/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	1/1/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 211 W. Ferguson St. Tyler, Texas 75702		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. Power of Attorney	[redacted] #1
2. Trustee	[redacted] Trust #1
3. Executor	[redacted] Estate #1
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2011	Yetter Coleman LLP defined-contribution retirement plan with former law firm, firm-managed asset selection
2. 2015	Employee Retirement System of Texas defined-benefit pension plan with State of Texas
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason University Law & Economics Center	April 30, 2021 - May 2, 2021	Arlington, VA	Scalia Forum	Transportation, food, lodging
2.	George Mason University Global Antitrust Institute	November 7 - 12, 2021	Calistoga, CA	Antitrust Economics Institute	Transportation, food, lodging
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Duke University School of Law	Scholarship awarded to all participants in Judicial Studies LLM program	$23,320.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Barker, John C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. IRA #1 (H)									
2. - Vanguard 500 Index Fund	B	Dividend	M	T					
3. - Vanguard Developed Markets Index Fund	A	Dividend	K	T					
4. IRA #2 (H)									
5. - Vanguard 500 Index Fund	B	Dividend	M	T					
6. IRA #3 (H)									
7. - Texas Instruments Inc. stock	A	Dividend	J	T					
8. - Coinbase stock			J	T	Buy	04/19/21	K		
9. - TDAmeritrade Deposit Account		None	J	T					
10. IRA #4 (H)									
11. - TDAmeritrade Deposit Account	A	Interest	J	T	Open	12/21/21	J		
12. IRA #4 (H)									
13. - TDAmeritrade Deposit Account		None	J	T	Open	12/28/21	J		
14. IRA #5 (H)									
15. - TDAmeritrade Deposit Account	A	Interest	J	T	Open	12/21/21	J		
16. 401(k) Plan #1 (H)									
17. - TIAA-CREF Lifecycle Index Ret Fund		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. - Fidelity International Index Fund		None	K	T					
19. - Vanguard Total Int'l Stock Index Fund		None	K	T					
20. - John Hancock Variable Insurance Trust 500 Index Trust		None	L	T					
21. - Fidelity ContraFund		None	K	T					
22. - American Funds Capital World Bond Fund		None	J	T					
23. - John Hancock Variable Insurance Trust Total Bond Market Trust		None	K	T					
24. 401(k) Plan #2 (H)									
25. - Fidelity Contrafund	D	Dividend	M	T					
26. - Vanguard Institutional Index 1	C	Dividend	L	T					
27. Section 529 Plan #1 (H)									
28. - Invest529 Vanguard Institutional Total Stock Market Index Fund		None			Sold	06/22/21	M	F	
29. - Invest529 Vanguard Total International Stock Index Fund		None			Sold	06/22/21	K	E	
30. Section 529 Plan #2 (H)									
31. - Utah my529 Age Based Aggressive Global Investment	A	Dividend			Sold	09/14/21	K	C	
32. Section 529 Plan #3 (H)									
33. - NY 529 Aggressive Growth Portfolio			K	T	Buy	06/29/21	K		
34.					Buy (add'l)	09/22/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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35. - NY 529 Mid-Cap Stock Index Portfolio			K	T	Buy	07/06/21	K		
36.					Buy (add'l)	07/23/21	J		
37. - NY 529 Value Stock Index Portfolio			K	T	Buy	06/29/21	K		
38. - NY 529 Conservative Growth Portfolio				T	Buy	06/29/21	J		
39. - NY 529 Developed Markets Index Portfolio			L	T	Buy	06/29/21	J		
40.					Buy (add'l)	07/23/21	J		
41.					Buy (add'l)	09/22/21	J		
42. - NY 529 Growth Stock Index Portfolio			L	T	Buy	06/29/21	K		
43.					Buy (add'l)	09/22/21	J		
44. - NY 529 Moderate Growth Portfolio			J	T	Buy	09/22/21	J		
45. Brokerage #1 (H)									
46. - TDAmeritrade Deposit Account	A	Interest	N	T					
47. - Amazon.com, Inc. stock			K	T	Buy	01/28/21	K		
48. - Grupo Aval Acciones y Valores S.A. American depositary shares			J	T	Buy	05/10/21	J		
49. - Galaxy Digital Holdings Ltd. stock			J	T	Buy	05/18/21	J		
50. - Costco Wholesale Corp. stock	A	Dividend	J	T	Buy	07/13/21	J		
51. - Duke Energy Corp. stock (X)	B	Dividend	L	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. - Entergy Corp. stock	A	Dividend	K	T					
53. - Excelon Corp. stock	B	Dividend	K	T					
54. - ExxonMobil stock	B	Dividend	J	T					
55. - FirstEnergy Corp. stock	B	Dividend	J	T					
56. - Barrick Gold Corp. stock	A	Dividend	J	T					
57. - Newmont Mining Corp. stock	A	Dividend	J	T					
58. - ProShares S&P 500 Dividend Aristocrats ETF	A	Dividend	J	T	Buy	05/10/21	J		
59. - Realty Income Corporation Common Stock	A	Dividend	J	T	Buy	01/11/21	J		
60. - Orion Office REIT Inc. Common Stock	A	Dividend	J	T	Spinoff (from line 59)	11/15/21	J		
61. - Pfizer, Inc. stock	B	Dividend	L	T	Buy	01/28/21	J		
62.					Buy (add'l)	04/19/21	K		
63. - Procter & Gamble Co. stock	A	Dividend	K	T	Buy	07/13/21	J		
64. - Royal Dutch Shell PLC American depositary shares	A	Dividend	J	T					
65. - iShares Silver Trust			J	T	Buy	01/28/21	J		
66. - Southern Co. stock	C	Dividend	M	T					
67. - Texas Instruments stock	C	Dividend	M	T					
68. - Exxon Mobil Corp. stock	B	Dividend	K	T	Buy	01/11/21	K		

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69. - AllianceBernstein Income Fund	B	Dividend	L	T					
70. - Brown Capital Management International Small Company Fund	A	Dividend	J	T	Buy	05/18/21	J		
71. - Dodge & Cox Stock Fund	A	Dividend	J	T	Buy	05/18/21	J		
72. - Fidelity Health Care Portfolio	A	Dividend	J	T	Buy	05/18/21	J		
73. - Janus Henderson Research Fund	C	Dividend	K	T					
74. - Mairs & Power Growth Fund	A	Dividend	J	T	Buy	05/18/21	J		
75. - T. Rowe Price Dividend Growth Fund	A	Dividend	J	T	Buy	05/18/21	J		
76. Brokerage #2 (H)									
77. - Vanguard Total Bond Market ETF	A	Dividend	L	T	Buy	07/19/21	J		
78.					Buy (add'l)	07/23/21	L		
79. - Vanguard LifeStrategy Growth Fund	A	Dividend	J	T	Buy	01/07/21	J		
80.					Buy (add'l)	04/23/21	J		
81. - Vanguard LifeStrategy Income Fund	A	Dividend	J	T	Buy	01/07/21	J		
82. - Vanguard Consumer Staples ETF	A	Dividend	K	T	Buy	07/13/21	K		
83. - Vanguard Equity Income Fund	A	Dividend	J	T	Buy	05/18/21	J		
84. - Vanguard 500 Index Fund	A	Dividend	K	T	Buy	01/07/21	J		
85.					Buy (add'l)	04/23/21	J		

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86.					Buy (add'l)	04/30/21	J	
87.					Buy (add'l)	05/18/21	J	
88.					Buy (add'l)	05/28/21	J	
89.					Buy (add'l)	06/30/21	J	
90.					Buy (add'l)	07/13/21	K	
91. - Vanguard Growth Index Fund	A	Dividend	K	T	Buy	05/18/21	J	
92.					Buy (add'l)	07/13/21	K	
93. - Vanguard Mid-Cap ETF	A	Dividend	J	T	Buy	07/19/21	J	
94. - Vanguard S&P 500 ETF	A	Dividend	L	T	Buy	07/19/21	J	
95.					Buy (add'l)	09/22/21	K	
96. - Vanguard Total Stock Market ETF	A	Dividend	K	T	Buy	07/19/21	J	
97.					Buy (add'l)	09/07/21	K	
98. - Vanguard Growth ETF	A	Dividend	K	T	Buy	07/19/21	J	
99.					Buy (add'l)	09/07/21	K	
100. - Vanguard Total International Stock ETF	A	Dividend	J	T	Buy	07/19/21	J	
101.					Buy (add'l)	08/31/21	J	
102. Coinbase Cash Deposit Account		None	J	T	Open	09/07/21	J	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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103. Bitcoin crytocurrency		None	J	T	Buy	07/22/21	J		
104.					Buy (add'l)	09/07/21	J		
105. Ethereum cryptocurrency		None	J	T	Buy	09/07/21	J		
106. Dogecoin cryptocurrency		None	J	T	Buy	09/07/21	J		
107. TIAA Bank Cash Account	A	Interest	M	T					
108. American Express Bank Cash Account	A	Interest			Closed	07/19/21	M		
109. American State Bank Cash Account	A	Interest			Closed	12/27/21	J		
110. [redacted] Trust #1 (H)	B	Interest	J	T					
111. - TDAmeritrade Deposit Account	A	Interest	J	T	Open	05/13/21	N		
112. [redacted] Estate #1 (H)									
113. - Southern Co. stock (X)	B	Dividend			Distributed	03/30/21	L		
114. - Duke Energy Corp. stock (X)	A	Dividend			Distributed	03/30/21	L		
115. - TDAmeritrade Deposit Account	A	Interest	L	T	Open	01/19/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Barker, John C.	05/15/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Barker, John C.	05/15/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John C. Barker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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