AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Barker, Pamela A.	U.S. District Court, Northern District of Ohio	05/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Carl B. Stokes U.S. Court House 801 West Superior Avenue Cleveland, Ohio 44113 Courtroom 16A		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Judge	United States District Court for the Northern District of Ohio
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2000	Ohio Public Employee Retirement System/Employee funded retirement plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Ohio Public Ret. Sys, Retirement benefits	$25,876.98
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	[redacted] - salary and bonuses
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Barker, Pamela A.	05/15/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	[redacted]	[redacted]	O
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2. Progressive Stock	E	Dividend	N	T	Distributed (part)	12/29/21	J		
3. Vang Inst. Index Plus	C	Dividend	K	T	Distributed (part)	12/29/21	J		
4. Vang. Bal Index Inst Fund	B	Dividend	K	T	Distributed (part)	12/29/21	J		
5. MIP II CI 3	A	Dividend	J	T	Distributed (part)	12/31/21	J		
6.									
7. Farmers Active Stock Fund	B	Dividend	J	T					
8. Farmers Active Bond Fund	A	Dividend	J	T					
9. Vanguard Target Retire 2015 Tr P	D	Dividend	L	T					
10. Hartford Life & Accident Annuity 10 years certain and life	A	Dividend	K	T					
11. [redacted] Purchased 8/12/97 for $80,000; [redacted]	C	Rent	P1	R					
12. BBF Holdings Ltd,		None	J	T	Buy	09/01/21	J		[redacted] BBF Holdings Ltd.
13. Progressive Stock	E	Dividend	N	T	Distributed (part)	10/15/21	J		
14. Progressive Stock	E	Dividend	N	T	Distributed (part)	07/15/21	J		
15. Progressive Stock	E	Dividend	N	T	Distributed (part)	04/15/21	J		
16. Progressive Stock	E	Dividend	N	T	Distributed (part)	01/15/21	K		
17. Vang Inst. Index Plus	C	Dividend	K	T	Distributed (part)	09/23/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Barker, Pamela A.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vang Inst. Index Plus	C	Dividend	K	T	Distributed (part)	06/23/21	J		
19. Vang. Inst. Index Plus	C	Dividend	K	T	Distributed (part)	03/24/21	J		
20. Vang. Bal. Index Inst Fund	B	Dividend	K	T	Distributed (part)	09/23/21	J		
21. Vang. Bal. Index Inst. Fund	B	Dividend	K	T	Distributed (part)	06/23/21	J		
22. Vang. Bal. Index Inst. Fund	B	Dividend	K	T	Distributed (part)	03/24/21	J		
23. MIP II CI 3	A	Dividend	J	T	Distributed (part)	11/30/21	J		
24. 3MIP II CI	A	Dividend	J	T	Distributed (part)	10/29/21	J		
25. 3MIP II CI	A	Dividend	J	T	Distributed (part)	09/30/21	J		
26. 3MIP II CI	A	Dividend	J	T	Distributed (part)	08/31/21	J		
27. 3MIP II CI	A	Dividend	J	T	Distributed (part)	07/30/21	J		
28. 3MIP II CI	A	Dividend	J	T	Distributed (part)	06/30/21	J		
29. 3MIP II CI	A	Dividend	J	T	Distributed (part)	05/28/21	J		
30. 3MIP II CI	A	Dividend	J	T	Distributed (part)	04/30/21	J		
31. 3MIP II CI	A	Dividend	J	T	Distributed (part)	03/31/21	J		
32. 3MIP II CI	A	Dividend	J	T	Distributed (part)	02/26/21	J		
33. 3MIP II CI	A	Dividend	J	T	Distributed (part)	01/29/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Barker, Pamela A.	05/15/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Barker, Pamela A.	05/15/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Pamela A. Barker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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