AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BARKER, SARAH E.	U.S. District Court Indiana Southern District	05/12/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
SENIOR ARTICLE III	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
46 EAST OHIO STREET, ROOM 21O, INDIANAPOLIS, IN 46204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Executive Board, 1986-Present	The Gathering
2.	Member, 1987-Present	Kiwanis Club of Indianapolis
3.	Member (affiliated with Indiana University Health), 1996-Present	Methodist Health Group
4.	Member, 1996-Present	Indiana University - Distinguished Alumni Service Association
5.	Ex Officio Director/Officer, 2001-Present	Federal Judges Association
6.	Member, 2002-Present	Indiana Academy
7.	Member, Board of Directors, 2020-Present	Indiana Landmarks
8.	Advisory Council, 2015-Present	Stanley K. Lacy Leadership Series
9.		
10.		
11.		

Name of Person Reporting	Date of Report
BARKER, SARAH E.	05/12/2022

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Indiana University Health, Inc.
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BARKER, SARAH E.	05/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Indianapolis Post Office Credit Union, Cash Account	B	Interest	M	T					
2.	JP Morgan Chase Bank, Cash Account	A	Interest	K	T					
3.	Black Rock Global Allocation Fund (Mutual Fund, IRA #1) (MDLOX)	A	Dividend	J	T					See Part VIII, Note 1
4.	JP Morgan Chase Bank, Cash Account	A	Interest	L	T					
5.	Talcott Resolution Life & Annuity (Whole Life)		None	J	T					
6.	Ivy Natural Resources A (Mutual Fund, IRA #2) IGNAX		None			Sold	11/26/21	J		See Part VIII, Note 2
7.	Ivy International Core Equity A (Mutual Fund, IRA #2) IVIAX		None			Sold	11/26/21	K		
8.	Ivy Core Equity A (Mutual Fund, IRA #2) WCEAX		None			Sold (part)	6/29/21	K	D	
9.						Sold	11/26/21	K	E	
10.	Ivy Science & Technology A (Mutual Fund, IRA #2) WSTAX		None			Sold	11/26/21	L	F	
11.	Ivy High Income A (Mutual Fund, IRA #2) WHIAX	B	Dividend			Sold	11/26/21	K	E	
12.	Ivy Securian Real Estate Securities A (Mutual Fund, IRA #2) IRSAX		None			Sold	11/26/21	K	E	
13.	Ivy Small Cap Growth A (Mutual Fund, IRA #2) WSGAX		None			Sold	11/26/21	J		
14.	Ivy Wilshire Global Allocation A (Mutual Fund, IRA #2) IWGAX		None			Sold	11/26/21	K	A	
15.	Ivy Mid Cap Growth A (Mutual Fund, IRA #2) WMGAX		None			Sold	11/26/21	L	E	
16.	Ivy Limited-Term Bond A (Mutual Fund, IRA #2) WLTAX		None			Sold	11/26/21	K		
17.	American Balanced Fund CL A (Mutual Fund, IRA #1) (ABALX)	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. BlackRock Low Duration Bond Fund CL A (Mutual Fund, IRA#1) BLDAX	A	Dividend	J	T					
19. BlackRock Advantage Large Cap Core Fund CL A (Mut. Fund, IRA#1) MDLRX	C	Dividend	K	T					
20. Franklin Invs Sec Trust Franklin Managed Incm Fnd (Mutual Fund) FBLAX	C	Dividend	L	T					
21. Ivy Accumulative A (Mutual Fund) IATAX		None			Sold	11/26/21	K	E	
22. Ivy Cash Management A (Mutual Fund) (Y)									
23. BlackRock Global Allocation Fund (MDLOX) (Mutual Fund)	B	Dividend	K	T					
24. Ivy Small Cap Growth A (Mutual Fund) WSGAX		None			Sold	11/26/21	K	D	
25. Ivy Large Cap Growth A (Mutual Fund) WLGAX		None			Sold	11/26/21	L	E	
26. Ivy LaSalle Global Real Estate A (Mutual Fund) IREAX	A	Dividend			Sold	11/26/21	J	B	
27. Ivy Managed International Opportunities A (Mutual Fund) IVTAX	A	Dividend			Sold	11/26/21	J	B	
28. Ivy Limited-Term Bond A (Mutual Fund) WLTAX	A	Dividend			Sold	11/26/21	K	A	
29. Ivy Core Equity A (Mutual Fund) WCEAX		None			Sold	11/26/21	L	E	
30. Ivy Balanced A (Mutual Fund) IBNAX	A	Dividend			Sold	11/26/21	J	B	
31. Ivy Global Equity Income A (Mutual Fund, IRA #3) IBIAX	A	Dividend			Sold	11/26/21	J	C	
32. Ivy Science & Technology A (Mutual Fund, IRA #3) WSTAX		None			Sold	11/26/21	J	C	
33. Ivy LaSalle Global Real Estate A (Mutual Fund, IRA #3) IREAX	A	Dividend			Sold	11/26/21	J	B	
34. Ivy International Core Equity A (Mutual Fund, IRA #3) IVIAX		None			Sold	11/26/21	J	B	

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35.	Ivy Emerging Markets Equity A (Mutual Fund IRA #3) IPOAX		None			Sold	11/26/21	J	B	See Part VIII, Note 3
36.	Ivy Balanced A (Mutual Fund, IRA #3) IBNAX	A	Dividend			Sold	11/26/21	K	D	
37.	American Balanced Fund CL A (Mutual Fund) (ABALX)	A	Dividend	J	T					
38.	BlackRock Large Cap Focus Growth Fund IncA (MDFOX) (Mut.Fund, IRA#1)	A	Dividend	J	T					
39.	BlackRock Strategic Income Opps PTF A (BASIX) (Mutual Fund, IRA#1)	A	Dividend	J	T					
40.	BlackRock Capital Appreciation Fund A (MDFGX) (Mutual Fund, IRA #1)	B	Dividend	K	T					
41.	BlackRock Advantage Global Fund Inc. A (MDGCX) (Mutual Fund, IRA #1)	A	Dividend	J	T					
42.	BlackRock Large Cap Focus Value A (MDBAX) (Mutual Fund, IRA #1)	B	Dividend	J	T					See Part VIII, Note 4
43.	BlackRock Total Return Fund CL A (MDHQX) (Mutual Fund, IRA #1)	A	Dividend	J	T					
44.	BlackRock Emerging Markets Fund A (MDDCX) (Mutual Fund, IRA #1)	A	Dividend	J	T					
45.	BlackRock Equity Dividend Fund A (MDDVX) (Mutual Fund, IRA #1)	A	Dividend	J	T					
46.	BlackRock International Fund A (MDILX) (Mutual Fund, IRA #1)	A	Dividend	J	T					
47.	BlackRock High Yld BD Portfolio CL A (BHYAX) (Mutual Fund, IRA #1)	A	Dividend	J	T					
48.	BlackRock Large Cap Focus Growth Fund Inc A (MDFOX) (Mutual Fund)	A	Dividend	K	T					
49.	American Bond Fund of America CL C (BFACX)	A	Dividend	J	T					
50.	Vanguard S&P 500 Growth Index Fund EFT (VOOG)	A	Dividend	J	T					
51.	Vanguard S&P 500 Value Index Fund EFT (VOOV)	A	Dividend	J	T					

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52.	Ivy Mid Cap Growth A (Mutual Fund) WMGAX		None			Sold	11/26/21	J	A	
53.	JP Morgan Chase Bank Investment Portfolio Cash Account (X)	A	Interest	J	T					
54.	BlackRock COMT Ishares U S GSCI Commodity Dynamic Roll Strategy ETF	B	Dividend	J	T	Buy	11/26/21	J		
55.	BlackRock ESGE Ishares ESG Aware MSCI EM ETF	A	Dividend	J	T	Buy	11/26/21	J		
56.	BlackRock ESGU Ishares ESG Aware MSCI USA ETF	A	Dividend	L	T	Buy	11/26/21	L		
57.						Sold (part)	11/29/21	J	A	
58.	BlackRock IHI Ishares U S Medical Devices EFT	A	Dividend	J	T	Buy	11/26/21	J		
59.	BlackRock TLT Ishares 20+ Yr Treasury Bond EFT	A	Dividend	J	T	Buy	11/26/21	J		
60.	BlackRock VLUE Ishares MSCI USA Value Factor ETF	A	Dividend	K	T	Buy	11/26/21	K		
61.	BlackRock IEFA Ishares Core MSCI EAFE ETF	A	Dividend	J	T	Buy	11/26/21	J		
62.	BlackRock IJR Ishares Core S&P Small Cap EFT	A	Dividend	J	T	Buy	11/26/21	J		
63.	BlackRock IVV Ishares Core S&P 500 EFT	A	Dividend	L	T	Buy	11/26/21	L		
64.						Sold (part)	11/29/21	J	A	
65.	BlackRock IXN Ishares Global Tech ETF	A	Dividend	J	T	Buy	11/26/21	J		
66.	BlackRock EFG Ishares MSCI EAFE Growth ETF	A	Dividend	K	T	Buy	11/26/21	K		
67.	BlackRock EFV Ishares MSCI EAFE Value ETF	A	Dividend	K	T	Buy	11/26/21	K		
68.	BlackRock MUB Ishares National Muni Bond ETF	A	Dividend	L	T	Buy	11/26/21	L		

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69.	BlackRock SUB Ishares Short Term National Muni Bond ETF	A	Dividend	K	T	Buy	11/26/21	K		
70.	BlackRock ESGE Ishares ESG Aware MSCI EM ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
71.	BlackRock ESGU Ishares ESG Aware MSCI USA ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
72.						Sold (part)	11/29/21	J	A	
73.	BlackRock Govt Ishares U S Treasury Bond ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
74.	BlackRock IUSB Ishares Core Total USD Bond Market ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
75.	BlackRock IYE Ishares U S Energy ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
76.	BlackRock TIP Ishares TIPS Bond ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
77.	BlackRock TLH Ishares 10-20 Yr Treasury Bond ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
78.	BlackRock USMV Ishares MSCI USA Min Volatility Factor ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
79.	BlackRock VLUE Ishares MSCI USA Value Factor ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
80.	BlackRock IJR Ishares Core S&P Small Cap EFT (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
81.	BlackRock IVV Ishares Core S&P 500 ETF (IRA #3)	A	Dividend	K	T	Buy	11/26/21	K		
82.						Sold (part)	11/29/21	J	A	
83.	BlackRock IXG Ishares Global Financials ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
84.	BlackRock IXN Ishares Global Tech ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
85.	BlackRock EFG Ishares MSCI EAFE Growth ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		

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86.	BlackRock EFV Ishares MSCI EAFE Value ETF (IRA #3)	A	Dividend	J	T	Buy	11/26/21	J		
87.	BlackRock COMT Ishares U S GSCI Commodity Dynamic Roll Strategy ETF	A	Dividend	J	T	Buy	11/26/21	J		Note 5 adds "IRA #3"
88.	Town Bank Investment Portfolio Cash Account (X) (IRS #3)	A	Interest	J	T					
89.	BlackRock ESGE Ishares ESG Aware MSCI EM ETF (IRA #2)	A	Dividend	J	T	Buy	11/26/21	J		
90.	BlackRock ESGU Ishares ESG Aware MSCI USA ETF (IRA #2)	A	Dividend	L	T	Buy	11/26/21	L		
91.						Sold (part)	11/29/21	J	A	
92.	BlackRock Govt Ishares U S Treasury Bond ETF (IRA #2)	A	Dividend	K	T	Buy	11/26/21	J		
93.	BlackRock IUSB Ishares Core Total USD Bond Market ETF (IRA #2)	A	Dividend	K	T	Buy	11/26/21	K		
94.	BlackRock IYE Ishares U S Energy ETF (IRA #2)	A	Dividend	J	T	Buy	11/26/21	J		
95.	BlackRock TIP Ishares TIPS Bond ETF (IRA #2)	A	Dividend	J	T	Buy	11/26/21	J		
96.	BlackRock TLH Ishares 10-20 Yr Treasury Bond ETF (IRA #2)	A	Dividend	J	T	Buy	11/26/21	J		
97.	BlackRock USMV Ishares MSCI USA Min Volatility Factor ETF (IRA #2)	A	Dividend	J	T	Buy	11/26/21	J		
98.	BlackRock VLUE Ishares MSCI USA Value Factor ETF (IRA #2)	A	Dividend	K	T	Buy	11/26/21	J		
99.	BlackRock IJR Ishares Core S&P Small Cap ETF (IRA #2)	A	Dividend	K	T	Buy	11/26/21	K		
100.	BlackRock IVV Ishares Core S&P 500 EFT (IRA #2)	A	Dividend	L	T	Buy	11/26/21	L		
101.						Sold (part)	11/29/21	J	A	
102.	BlackRock IXG Ishares Global Financials ETF (IRA #2)	A	Dividend	J	T	Buy	11/26/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
BARKER, SARAH E.	05/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
103. BlackRock IXN Ishares Global Tech ETF (IRA #2)	A	Dividend	J	T	Buy	11/26/21	J		
104. BlackRock EFG Ishares MSCI EAFE Growth ETF (IRA #2)	A	Dividend	K	T	Buy	11/26/21	K		
105. BlackRock EFV Ishares MSCI EAFE Value ETF (IRA #2)	A	Dividend	K	T	Buy	11/26/21	K		
106. BlackRock COMT Ishares U S GSCI Commodity Dynamic Roll Strategy ETF	B	Dividend	J	T	Buy	11/26/21	J		Note 6 adds "IRA #2"
107. Metro City Bank Investment Portfolio Cash Account (X) (IRA #2)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII Notes:

Note #1: The BlackRock Global Allocation Fund listed at Line 3 is held, as noted, in an IRA. In this case, the same fund is also listed at Line 23 except that there it is held in a non-IRA account. In short, the asset has not been aggregated into a single entry because the ownership and/or account vehicles are substantially different. This is only one of numerous examples throughout this report, none of which are individually noted; but all of these apparent duplications or "non-aggregations" are reported separately for the same reasons: the ownership is different and/or the IRAs in which they are held are of different types.

Note #2: This note applies to Item 6, "Ivy Natural Resources A," as well as to every other Ivy fund listed in this Disclosure Report. In mid-2021, the parent company of Ivy Investments was acquired by another asset management company. As a consequence of that acquisition, all Ivy funds were renamed and became "Delaware Ivy" funds. We are informed that such name changes did not otherwise affect the underlying assets, and because we subsequently sold the entirety of our "Ivy"/"Delaware Ivy" holdings before the year's end, for sake of clarity as well as simplification, we have not detailed the name changes. We have, however, added the symbol designations to each such fund listed in the body of this Report, so that no confusion will occur with regard to what was sold.

Note #3: Following the acquisition of the parent company of Ivy Investments by another asset management company, the fund reported at Line 35, entitled "Ivy Emerging Markets Equity A" and carrying the ticker symbol IPOAX, underwent a name change. At the time of the sale reported here, it was designated as "Delaware Ivy Systematic Emerging Markets Equity A" but continued to have the same ticker symbol, IPOAX.

Note #4: Entry #42 was listed in last year's Disclosure Report as "BlackRock Basic Value Fund A (MDBAX)." As of 1 September 2021, however, the MDBAX-BlackRock fund underwent a change of name and became "BlackRock Large Cap Focus Value (MDBAX)," as it is shown here.

Note #5: The complete description at Line 87 is: BlackRock COMT Ishares U S GSCI Commodity Dynamic Roll Strategy ETF (IRA #3)

Note #6: The complete description at Line 106 is: BlackRock COMT Ishares U S GSCI Commodity Dynamic Roll Strategy ETF (IRA #2)

Name of Person Reporting	Date of Report
BARKER, SARAH E.	05/12/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ SARAH E. BARKER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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