AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Barlow, David B.	U.S. District Court for the District of Utah	08/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 351 South West Temple Salt Lake City, UT 84101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2019	Dorsey & Whitney LLP 401(k); self-funded retirement plan with former law firm (reportable assets are listed in Part VII)
2.	2017	Sidley Austin LLP 401(k); self-funded retirement plan with former law firm (reportable assets are listed in Part VII)
3.	2017	Sidley Austin LLP Partner Plan; self-funded retirement plan with former law firm (reportable assets are listed in Part VII)
4.	2017	Sidley Austin LLP Cash Balance Plan; self-funded retirement plan with former law firm (reportable assets are listed in Part VII)
5.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Barlow, David B.	08/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Altabank (cash)	A	Interest	K	T					
3. Ally Bank (cash)	A	Interest	M	T					
4. Lakeside Living Investors, LLC	E	Distribution	N	V					
5. RS Orchard Corners Investors, LLC	D	Distribution	L	V					
6. U Edge Investors, LLC	D	Distribution	M	V					
7. RS Glenwood Investors, LLC	C	Distribution	M	V	Buy	03/09/21	M		
8. RS Raintree Investors, LLC	B	Distribution	M	V	Buy	05/04/21	M		
9. Account #1 (H)									
10. Financial Trust (cash)	A	Interest	N	T					
11. Sorenson Capital Partners IV-A, LP		None	M	V	Buy	09/15/21	J		
12.					Buy (add'l)	11/01/21	M		
13.					Buy (add'l)	11/10/21	K		
14. Account #2 (H)									
15. Vanguard Intl Value Investor Cl (VTRIX)		None			Sold	11/12/21	L		
16. Vanguard FTSE Developed Markets ETF (VEA)	C	Dividend			Sold (part)	11/02/21	K		
17.					Sold	11/12/21	M		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Barlow, David B.	08/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Vanguard Small Cap Value ETF (VBR)	A	Dividend			Sold	11/12/21	K		
19.	Account #3 (H)									
20.	Vanguard International High Dividend Yield Index Adm (VIHAX)	C	Dividend	L	T					
21.	Account #4 (H)									
22.	Vanguard Emerging Markets Stock Index Admiral Cl (VEMAX)	A	Interest	J	T					
23.	Vanguard International High Dividend Yield Index ETF (VYMI)	B	Dividend	L	T					
24.	Vanguard Mid Cap Value ETEF (VOE)	A	Dividend	K	T					
25.	Vanguard Value ETF (VTV)	A	Dividend	K	T					
26.	Account #5 (H)									
27.	Vanguard Emerging Markets Stock Index In (VEIEX)		None	M	T					
28.	Charles Schwab Personal Choice Retirement Account (cash)		None	M	T					
29.	Account #6 (H)									
30.	Vanguard Developed Markets Index In (VTMGX)		None	L	T					
31.	Vanguard Emerging Markets Stock Index In (VEIEX)		None	L	T					
32.	Charles Schwab Personal Choice Retirement Account (cash)		None	M	T					
33.	Account #7 (H)									
34.	Sidley Austin Cash Bal Retirement Plan for Partners (cash/no control)	D	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
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3. Value Method Codes (See Column C2)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Account #8 (H)									
36. Vanguard Tot Intl Stock Ix Inst (VTSNX)	B	Dividend	L	T					
37. Account #9 (H)									
38. Vanguard Federal Money Market Fund (VMFXX) (cash equiv)	A	Dividend	J	T					
39. Vanguard Cash Reserves Federal Money Market Adm (VMRXX) (cash equiv)	A	Dividend	J	T					
40. Vanguard Municipal Money Market (VMSXX) (cash equiv)	A	Dividend			Sold	03/02/21	M		
41. Account #10 (H)									
42. Virginia529 Vanguard Total International Stock Index Fund (VGTSX)		None	K	T					
43. Account #11 (H)									
44. Vanguard Interest Accumulation Fund		None	K	T					
45. Account #12 (H)									
46. Virginia529 Vanguard Total International Stock Index (VGTSX)		None	K	T					
47. Account #13 (H)									
48. my529 FDIC-Insured Portfolio (cash)		None	K	T					
49. my529 Emerging Markets Stock Index (UTVEX)		None	J	T					
50. Account #14 (H)									
51. Vanguard Federal Money Market 529 Portfolio (cash equiv)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52.	Account #15 (H)									
53.	My529 FDIC Insured Portfolio (cash)		None	J	T	Sold (part)	08/11/21	J		
54.	My529 Emerging Markets Stock Index		None	J	T	Sold (part)	08/11/21	J		
55.	Account #16 (H)									
56.	Vanguard Federal Money Market 529 Portfolio (cash equiv)	A	Dividend	L	T					
57.	Account #17 (H)									
58.	Vanguard Interest Accumulation Fund		None	K	T	Sold (part)	11/15/21	K		
59.						Buy (add'l)	11/15/21	K		
60.	Vanguard Total Intl Stk Idx		None	J	T	Buy	11/15/21	J		
61.	Vanguard Value Index Fund		None	J	T	Buy	11/15/21	J		
62.	Account #18 (H)									
63.	My529 FDIC Insured Portfolio (cash)		None	J	T					
64.	Account #19 (H)									
65.	BrightStart Index Equity Portfolio		None	M	T					
66.	Account #20 (H)									
67.	Invesco Oppenheimer Developing Markets (ODMAX)	A	Dividend	J	T					
68.	Vanguard Global Equity (VHGEX)	A	Dividend	J	T					

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3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Value method "V" indicates valuation based upon the funds contributed. No control.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Barlow, David B.	08/09/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David B. Barlow

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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