AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Barlow, David B.	U.S. District Court for the District of Utah	05/15/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States Courthouse 351 South West Temple Salt Lake City, UT 84101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2017	Sidley Austin LLP 401(k); self-funded retirement plan with former law firm (reportable assets are listed in Part VII)
2. 2017	Sidley Austin LLP Partner Plan; self-funded retirement plan with former law firm (reportable assets are listed in Part VII)
3. 2017	Sidley Austin LLP Cash Balance Plan; self-funded retirement plan with former law firm (reportable assets are listed in Part VII)

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Barlow, David B.	05/15/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. Altabank (cash)	A	Interest	J	T					
3. Ally Bank (cash) (Y)									
4. Synchrony Bank (cash) (Y)									
5. American Express (cash) (X)	A	Interest	J	T					
6. Lakeside Living Investors, LLC	D	Distribution	L	V					
7. RS Orchard Corners Investors, LLC		None	K	V					
8. U Edge Investors, LLC	B	Distribution	L	V					
9. RS Glenwood Investors, LLC	D	Distribution	M	V					
10. RS Raintree Investors, LLC	D	Distribution	L	V					
11. Account #1 (H)									
12. Financial Trust (cash)	A	Interest	K	T					
13. Sorenson Capital Partners IV-A, LP		None	N	V	Buy (add'l)	03/30/23	J		
14.					Buy (add'l)	08/22/23	K		
15.					Buy (add'l)	10/23/23	K		
16. Account #2 (H)									
17. Vanguard Federal Money Market (cash eq.)	C	Dividend	L	T	Buy (add'l)	05/30/23	M		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Barlow, David B.	05/15/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Sold (part)	08/02/23	K		
19.					Sold (part)	10/17/23	K		
20. Account #3 (H)									
21. Vanguard International High Dividend Yield Index Adm (VIHAX)	C	Dividend	M	T					
22. Account #4 (H)									
23. Vanguard Cash Reserves Federal Money Market Fund (VMRXX) (cash eq.)	A	Dividend	J	T	Buy	05/08/23	J		
24. Vanguard Emerging Markets Stock Index Admiral Cl (VEMAX)	A	Dividend	J	T					
25. Vanguard International High Dividend Yield Index ETF (VYMI)	C	Dividend	L	T					
26. Vanguard Mid Cap Value ETEF (VOE)	A	Dividend	K	T					
27. Vanguard Value ETF (VTV)	A	Dividend	K	T					
28. Account #5 (H)									
29. Vanguard Cash Reserves Fed Money Market Fund Adm (VMRXX) (cash eq.)	A	Dividend	J	T	Buy	05/08/23	J		
30. Vanguard Emerging Markets Stock Index Admiral Cl (VEMAX)	A	Dividend	J	T					
31. Vanguard Developed Markets Index Adm Cl (VTMGX)	A	Dividend	K	T					
32. Vanguard Intl Value Inv Cl (VTRIX)	A	Dividend	J	T					
33. Vanguard Value Index Adm Cl (VVIAX)	C	Dividend	M	T					
34. Account #6 (H)									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. Vanguard Emerging Markets Stock Index In (VEMAX)		None	M	T					
36. Vanguard FTSE Emerging Markets ETF (VWO)	B	Dividend	K	T	Sold (part)	08/07/23	L		
37. Vanguard International High Dividend Yld ETF (VYMI)	A	Dividend	J	T					
38. Inesco QQQ Trust Series 1 (QQQ)	A	Dividend	L	T	Buy	08/07/23	K		
39. Account #7 (H)									
40. Vanguard Developed Markets Index In (VTMGX)		None	L	T					
41. Vanguard Emerging Markets Stock Index In (VEMAX)	A	Dividend	K	T					
42. Vanguard International High Dividend Yld ETF (VYMI)	A	Dividend	J	T					
43. Schwab Total Stock Market Idx (SWTSX)	A	Dividend	K	T					
44. Vanguard International Value ETF (VTRIX)	B	Dividend	L	T					
45. Account #8 (H)									
46. Sidley Austin Cash Bal Retirement Plan for Partners (cash/no control)	D	Interest	N	T					
47. Account #9 (H)									
48. Vanguard Federal Money Market Fund (VMFXX) (cash eq.)	A	Dividend			Buy (add'l)	03/14/23	J		
49.					Sold	12/27/23	K		
50. Vanguard Cash Reserves Federal Money Market Adm (VMRXX) (cash eq.)	A	Dividend			Sold	12/26/23	J		
51. Vanguard Municipal Money Market Fund (VMSXX) (cash eq.)	B	Dividend	M	T	Buy	05/08/23	L		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
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52.					Buy (add'l)	10/23/23	K		
53.					Buy (add'l)	12/26/23	L		
54. Account #10 (H)									
55. Virginia529 Vanguard Total International Stock Index Fund (VGTSX)		None	K	T					
56. Account #11 (H)									
57. Vanguard Interest Accumulation Portfolio		None	K	T					
58. Account #12 (H)									
59. Virginia529 Vanguard Total International Stock Index (VGTSX)		None	K	T	Sold (part)	09/26/23	J		
60. Account #13 (H)									
61. my529 FDIC-Insured Portfolio (UTFIX)		None	K	T					
62. my529 Emerging Markets Stock Index (UTVEX)		None	J	T					
63. Account #14 (H)									
64. Vanguard Federal Money Market 529 Portfolio (cash eq.)	A	Dividend	J	T	Sold (part)	02/27/23	J		
65.					Sold (part)	04/03/23	J		
66. Account #15 (H)									
67. My529 FDIC Insured Portfolio (UTFIX)		None	J	T					
68. My529 Emerging Markets Stock Index (UTVEX)		None	J	T					

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69. Account #16 (H)									
70. Vanguard Federal Money Market 529 Portfolio (cash eq.)	A	Dividend	K	T	Sold (part)	01/03/23	J		
71.					Sold (part)	05/02/23	J		
72.					Sold (part)	09/18/23	J		
73. Account #17 (H)									
74. Vanguard Interest Accumulation Fund		None	K	T					
75. Vanguard Total Intl Stk Idx		None	J	T					
76. Vanguard Value Index Fund		None	J	T					
77. Account #18 (H)									
78. My529 FDIC Insured Portfolio (UTFIX)		None	J	T					
79. Account #19 (H)									
80. BrightStart Index Equity Portfolio		None	M	T					
81. Account #20 (H)									
82. Invesco Oppenheimer Developing Markets (ODMAX)	A	Dividend	J	T					
83. Vanguard Global Equity (VHGEX)	A	Dividend	J	T					

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Barlow, David B.	05/15/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Value method "V" indicates the cumulative amount of funds contributed.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David B. Barlow

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544