AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bartle III, Harvey	U.S. District Court, E.D. Pa.	05/06/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Senior Status)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
16614 U.S. Courthouse 601 Market Street Philadelphia, PA 19106		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Texas Teachers Retirement System - Pension
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bartle III, Harvey	05/06/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Eastman Kodak (Com.)		None	J	T					
2.	IBM (Com.)	C	Dividend	L	T					
3.	Xerox (Com.)	A	Dividend	J	T					
4.	Johnson & Johnson	B	Dividend	L	T					
5.	Bank of America Corp.	B	Dividend	L	T					
6.	CitiCorp. Inc.	C	Dividend	L	T					
7.	Delphi Automotive Systems (Com.)		None	J	T					
8.	TD Bank	A	Interest	M	T					
9.	Wells Fargo Bank	A	Interest	J	T					
10.	Vanguard-Windsor II (IRA Rollover)	D	Distribution	M	T					
11.	Merrill Lynch (Mercury U.S. Large Cap Fund Class B)		None	J	T					
12.	Vanguard (Wellington Fund Admr)	E	Dividend	N	T	Buy (add'l)	01/11/23	J		
13.	Vanguard (Wellington Fund Admr)	E	Dividend	O	T	Buy (add'l)	01/08/23	J		
14.	Vanguard (Pa. Long Term Tax Exempt Fund)	A	Interest	K	T					
15.	American Mut. Fd. Inc. A	B	Dividend	M	T					
16.	Fundamental Invs. Inc. Class A	A	Dividend	L	T					
17.	Philadelphia Contributionship	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bartle III, Harvey	05/06/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Blackrock Long Term Mun. Advisor Trust	B	Dividend	K	T					
19. BYN Mellon Muni Bd Fund	B	Dividend	K	T					
20. Alerian MLP	C	Dividend	K	T					
21. Blackrock Muniyield PA Quality Fund	B	Dividend	K	T					
22. Eaton Vance PA Muni. Income Trust Fund	B	Dividend	K	T					
23. Invesco PA Value Muni Income Trust	B	Dividend	K	T					
24. QVC Inc. 6.375% PFD	A	Interest			Sold	03/24/23	K		
25. MetLife Inc. 5.625% Pfd	B	Dividend	K	T					
26. J.P. Morgan Ser. DD Pfd	B	Dividend	K	T					
27. Wells Fargo 5.625% Pfd	B	Dividend	K	T					
28. Capital One Fin. 5% Pfd	B	Dividend	K	T					
29. Citizens Finl Corp. 5% Pfd	A	Dividend	J	T					
30. United Community Bank 6.875% PFD	B	Dividend	K	T	Buy	03/23/23	K		
31. Ocean First Fin. 7% PFD	B	Dividend	K	T	Buy	03/24/23	K		
32. Selective Ins. Group Pfd	A	Dividend	J	T					
33. Washington Fed Inc PFD	A	Dividend			Sold	03/24/23	J		
34. Service PPTYS Tr.	B	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
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3. Value Method Codes (See Column C2)
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☐ NONE *(No reportable income, assets, or transactions.)*

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35.	Alliance Bernstein Nat'l Mun Income FD	A	Interest	K	T					
36.	Blackstone / GSO Strategic Credit Fd	B	Interest	K	T					
37.	BNY Mellon Strategic Munis Inc	A	Dividend	K	T					
38.	PNC Financial Services	B	Dividend	K	T					
39.	Pioneer Mem. High Income Fund	A	Int./Div.	K	T					
40.	Coherent Corp	A	Dividend	K	T					
41.	Univ. of Texas Optional Ret. Plan Fixed Annuity - TIAA	C	Distribution	L	T					
42.	Wellesley College Ret. Plan FIXED Annuities Ret. Plan	C	Distribution	L	T					
43.	Phila. Health & Ed. Corp. DC Ret. Plan FIXED Annuities TIAA/Cref	B	Distribution	K	T					
44.	Drexel Univ. Contrib. Ret. Plan Fixed Annuities -TIAA/Cref	B	Distribution	K	T					
45.	Harding Loevner Inst. Mkts. Class I	A	Dividend	J	T					
46.	Hartford Emerging Mkts. Equity Fd. Class Y	A	Distribution	J	T	Sold (part)	08/11/23	J		
47.	Nuveen Small Cap Value Opp. Fd.	A	Distribution	J	T					
48.	Nuveen Small Cap Growth Opps Fd	A	Distribution	J	T					
49.	PIMCO Emerging MKTS Bond Fd	A	Distribution	J	T	Sold (part)	04/19/23	J	A	
50.	TIAA/Cref International Equity Fd	A	Distribution	K	T	Sold (part)	02/10/23	J	A	
51.						Sold (part)	04/15/23	J	A	

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52. TIAA/Cref Large Cap. Growth Class I	A	Distribution	K	T	Sold (part)	07/13/23	J	A	
53.					Sold (part)	10/13/23	J	A	
54. TIAA/Cref Bond Fd	C	Distribution	L	T	Buy (add'l)	04/19/23	J		
55. TIAA/Cref Large Cap Value - Index Fd	A	Distribution	K	T	Buy (add'l)	05/13/23	J		
56. TIAA/Cref Large Cap Growth Index Class 1	A	Distribution	K	T	Buy (add'l)	01/05/23	J		
57.					Sold (part)	09/13/23	J	A	
58.					Sold (part)	11/13/23	J	A	
59. TIAA/Cref Large Cap Value Class 1	A	Distribution	K	T					
60. TIAA/Cref Bond Index - Index Fd	B	Distribution	L	T	Buy (add'l)	04/19/23	J		
61.					Buy (add'l)	12/23/23	J		
62. TIAA/Cref - High Yield Class I	A	Distribution			Sold	04/19/23	K	A	
63. AllSpring Special Mid-Cap Value Fd	A	Dividend	J	T					
64. J.P. Morgan Mid-Cap Growth Fd	B	Dividend	J	T					
65. IShares Tr Russell Mid-Cap Value ETF	A	Dividend	J	T					
66. IShares Tr Russell Mid-Cap Growth ETF	A	Dividend	J	T					
67. IShares Tr Russell 2000 Value ETF	A	Dividend	J	T					
68. IShares Tr Russell 2000 Growth ETF	A	Dividend	J	T					

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69.	IShares TR MSCI EAFE Small Cap. ETF	A	Dividend			Sold	04/19/23	J	A	
70.	IShares TR CORE MSCI EAFE ETF	B	Dividend	K	T	Sold (part)	02/10/23	J	A	
71.						Sold (part)	03/13/23	J	A	
72.	IShares Inc CORE MSCI Emerging MTKS ETF	A	Dividend	K	T					
73.	IShares TR Broad USD High Yield Cap BDETF	A	Dividend			Sold	04/19/23	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

II - VI - Inc reported in Part VII, line 57 in last years report is now Coherent Corp. (see line 40)..

Name of Person Reporting	Date of Report
Bartle III, Harvey	05/06/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Harvey Bartle III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544