AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bashant, Cynthia A.	U.S. District Court, Southern District of California	5/6/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2021 **to** 12/31/2021
	5b. ☐ Amended Report	
7. Chambers or Office Address		
221 W. Broadway San Diego, CA 92101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Bashant, Cynthia A.	5/6/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bashant, Cynthia A.	5/6/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cabrillo Federal Credit Union (cash accounts)	A	Interest	K	T					
2. Pimco Income Fund (PONDX) (IRA)	A	Dividend			Sold	01/07/21	M	E	
3. Fidelity Government Money Market (SPAXX) (IRA)	A	Dividend	O	T	Buy (add'l)	06/16/21	M		
4. Fidelity Real Estate Fund (FSRNX)	D	Dividend	K	T					
5. Fidelity Emerging Market Index Fund (FPADX)	A	Dividend	L	T					
6. Fidelity Four in One Index (FFNOX) (IRA)	F	Dividend	O	T					
7. Fidelity Extended Market Index Fund (FSMAX)	E	Dividend	M	T					
8. Fidelity Int'l Enhanced Index Fund (FIENX) (IRA)	D	Dividend	L	T					
9. Fed Large Cap Growth Enhanced Index (FLGEX) (IRA)	F	Dividend	N	T	Sold (part)	01/05/21	L	E	
10.					Sold (part)	04/29/21	L	E	
11. Fidelity Small Cap Enhanced Index Fund (FCPEX)(IRA)	D	Dividend	L	T	Buy	02/05/21	K		
12. Goldman Sachs Bk USA NY CD	A	Interest	K	T					
13. Capital One CD	A	Interest			Matured	08/02/21	K		
14. Midfirst Bk Okla City CD	A	Interest	K	T					
15. Invesco Stable Value Fund (IRA)	A	Dividend			Sold	06/16/21	K		
16. Vanguard Global Minimal Volatility Fund (VMVFX)	A	Dividend	J	T					
17. Vanguard GNMA Bond Fund (VFIIX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. Vanguard High Dividend Yield Index Fund (VHYAX)	A	Dividend	K	T					
19. Vanguard Real Estate Index Fund (VGSLX)	A	Dividend	J	T					
20. Vanguard Select Value Fund (VASVX)	A	Dividend	K	T					
21. Vanguard Small Cap Index Fund (VSMAX)	A	Dividend	K	T					
22. Vanguard Total Bond Market Index Fund (VBTLX))	B	Dividend	K	T					
23. Vanguard Federal Money Market	A	Dividend			Sold	06/16/21	M		
24. Amplify ETF (IBUY)	A	Dividend	J	T	Buy	02/01/21	J		
25. ETF Mgrs Travel (AWAY)	A	Dividend	J	T	Buy	02/11/21	J		
26. Invesco Exchange Trade Fund (PEJ)	A	Dividend	J	T	Buy	02/11/21	J		
27. Fidelity Asset Manager (FASIX)	A	Dividend	K	T					
28. Fidlelity Floating Rate High Income (FFRHX)	A	Dividend			Sold	01/06/21	J		
29. Vanguard Mun. Bond Tax Exempt Fund (VTEB)	A	Dividend	K	T					
30. Fidelity Balanced (FBALX)	B	Dividend	K	T	Buy	03/20/21	K		
31. Vanguard 500 Index Admiral (VFIAX)	A	Dividend	K	T	Buy	03/20/21	K		
32. Vanguard Federal Reserve Mkt Investor (VMFXX)	A	Dividend	J	T	Buy	03/20/21	J		
33. Invesco Developing Mkts (ODVIX) (X)	A	Dividend	K	T					
34. Alphabet Inc. (GOOGLE) (X)	D	Dividend	K	T	Sold (part)	04/06/21	J		

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35. Ameriprise Financial (AMP) (X)	A	Dividend	K	T					
36. Amgen Inc. (AMGN) (X)	A	Dividend	J	T					
37. Apple Inc. (AAPL) (X)	A	Dividend	K	T					
38. Carillon Scout Small Cap Fun (UMBHX) (X)	A	Dividend	K	T					
39. Chevron Corp. (CVX) (X)	A	Dividend	K	T					
40. Coca Cola Co. (KO) (X)	A	Dividend	J	T					
41. Duke Energy Corp. (DVK) (X)	A	Dividend	J	T					
42. Exxon Mobil Corp. (XOM) (X)	A	Dividend	J	T	Buy (add'l)	05/27/21	J		
43. Facebook Inc/ Meta Platforms Inc.. (FB) (X)	A	Dividend	J	T					
44. Johnson & Johnson (JNJ) (X)	A	Dividend	J	T					
45. Lowe's Co. In. (LOW) (X)	A	Dividend	L	T					
46. Microsoft Crop. (MSFT) (X)	A	Dividend	L	T					
47. PNC Financial Services Grp Inc. (PNC)(X)	A	Dividend	J	T					
48. Pepsico Inc. (PEP) (X)	A	Dividend	K	T					
49. Proctor & Gamble (PG) (X)	A	Dividend	J	T	Buy (add'l)	05/27/21	J		
50. Raytheon Technologies Corp. (FTX) (X)	A	Dividend	K	T					
51. T. Rowe Price Mid-Growth (RPTIX) (X)	A	Dividend	K	T					

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52. SPDR Materials Select Sector Fund (XLB) (X)	A	Dividend	J	T	Buy (add'l)	05/27/21	J		
53. Thermo Fisher Scientific Inc. (X)	A	Dividend	K	T					
54. 3M Co. (MMM) (X)	A	Dividend	J	T					
55. Toronto Dominion Bank Ont. (TD) (X)	A	Dividend	J	T					
56. Verizon Communications Inc. (X)	A	Dividend	J	T					
57. Dodge & Cox Income Fund (X)	A	Dividend	K	T	Buy (add'l)	05/05/21	J		
58. Eaton Vance Floating Rate (X)	A	Dividend	K	T	Buy (add'l)	05/05/21	J		
59. Morgan Stanley CD	A	Interest			Matured	03/08/21	J		
60. Guggenheim Total Return Bond (X)	A	Dividend	K	T	Buy (add'l)	05/05/21	J		
61. Palmer Square Income Plus (X)	A	Dividend	K	T	Buy (add'l)	05/05/21	J		
62. Lazard U.S. Corp Inc.(X)	C	Dividend			Sold	04/05/21	J		
63. Principal Spectrum Preferred & Capital Securities Fund (X)	A	Dividend	K	T	Buy (add'l)	05/05/21	K		
64. Fidelity U.S. Bond Index Fund (X)	A	Dividend	K	T	Buy (add'l)	04/05/21	K		
65.					Buy (add'l)	05/05/21	K		
66. Cohen & Steers Instit'l Realty Shares (CSRIX) (X)	C	Dividend	K	T	Buy	05/05/21	K		
67. Digital Realty Trust Inc. (DLR) (X)	B	Dividend	J	T	Buy	05/27/21	J		
68. Eaton Cop. (X)	B	Dividend	J	T	Buy	05/27/21	J		

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69. JP Morgan (X)	A	Dividend	K	T	Buy	05/27/21	K		
70. Key Corp. (X)	A	Dividend	K	T	Buy	05/27/21	K		
71. McDonalds (X)	B	Dividend	J	T	Buy	05/27/21	J		
72. United Healthcare (X)	C	Dividend	K	T	Buy	05/27/21	K		
73.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Bashant, Cynthia A.	5/6/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cynthia A. Bashant

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544