AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bashant, Cynthia A.	U.S. District Court, Southern District of California	04/04/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	
7. Chambers or Office Address		
331 W. Broadway San Diego, CA 92101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bashant, Cynthia A.	04/04/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cabrillo Federal Credit Union (cash accounts)	A	Interest	K	T					
2. Fidelity Government Money Market (SPAXX) (IRA)	A	Dividend	N	T					
3. Fidelity Investment Grade (FBNDX) (IRA)	D	Dividend	M	T					
4. Fidelity Real Estate Fund (FSRNX) (IRA)	C	Dividend	K	T					
5. Fidelity Emerging Market Index Fund (FPADX) (IRA)	C	Dividend	K	T					
6. Fidelity U.S. Sustainability Index Fund (FITLX) (IRA)	E	Dividend	M	T	Buy (add'l)	01/10/23	J		
7. Fidelity Extended Market Index Fund (FSMAX) (IRA)	E	Dividend	M	T					
8. Fidelity Int'l Enhanced Index Fund (FIENX) (IRA) now an ETF (FENI)	E	Dividend	L	T					
9. Fidelity Large Cap Growth Enh'd Index (FLGEX) (IRA) now an ETF (FELP)	F	Dividend	N	T					
10. Fidelity Capital and Income (FAGIX) (IRA)	D	Dividend	L	T					
11. Fidelity Small Cap Enhanced Index Fund (FCPEX)(IRA) now an ETF (FESM)	D	Dividend	L	T					
12. Fidelity Multi-Asset Index Fund (FFNOX) (IRA)	G	Dividend	O	T	Buy (add'l)	11/01/23	J		
13. Fidelity U.S. Sustainability Index Fund (FITLX) (IRA)	E	Dividend	M	T	Buy (add'l)	11/01/23	J		
14. Fidelity 500 Index Fund (FXAIX)	D	Dividend	K	T	Buy (add'l)	2/14/23	J		
15. Vanguard Total Bond Market Index Fund (VBTLX)	A	Dividend	K	T					
16. Vanguard Global Minimal Volatility Fund (VMVFX)	A	Dividend	J	T					
17. Vanguard GNMA Bond Fund (VFIIX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Bashant, Cynthia A.	04/04/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Vanguard High Dividend Yield Index Fund (VHYAX)	A	Dividend	K	T					
19. Vanguard Real Estate Index Fund (VGSLX)	A	Dividend	J	T					
20. Vanguard Select Value Fund (VASVX)	B	Dividend	K	T					
21. Vanguard Small Cap Index Fund (VSMAX)	C	Dividend	K	T					
22. Raymond James CD (2/8/24)	A	Interest	J	T	Buy	01/31/23	J		
23. West des Moines Bank CD (2/29/24)	A	Interest	J	T	Buy	08/07/23	J		
24. Goldman Sachs CD (11/5/24)	A	Interest	J	T	Buy	11/03/23	J		
25. Commerce One Bank (CD)	A	Interest			Buy	01/31/23	J		
26. Commerce One Bank (CD)	A	Interest			Matured	05/08/23	J	A	
27. Fidelity Asset Manager (FASIX)		None			Sold	08/22/23	J	A	
28. ETF Mgs Travel (AWAY)	A	Dividend	J	T					
29. Vanguard Mun. Bond Tax Exempt Fund (VTEB)		None			Sold	08/22/23	J	A	
30. Invesco Exchange Trade Fund (PEJ)	A	Dividend	J	T					
31. Vanguard 500 Index Admiral (VFIAX)	D	Dividend	K	T					
32. Vanguard Federal Reserve Mkt Investor (VMFXX)		None	J	T					
33. Invesco Developing Mkts (ODVIX)		None	K	T					
34. Alphabet Inc. (GOOGLE)	D	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Bashant, Cynthia A.	04/04/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Ameriprise Financial (AMP)	C	Dividend	K	T					
36. Amgen Inc. (AMGN)	C	Dividend	K	T					
37. Apple Inc. (AAPL)	D	Dividend	K	T					
38. Carillon Scout Small Cap Fun (UMBHX)	D	Dividend			Sold	05/09/23	J	D	
39. Chevron Corp. (CVX)		None	K	T					
40. Coca Cola Co. (KO)		None	J	T					
41. Duke Energy Corp. (DVK)		None	J	T					
42. Exxon Mobil Corp. (XOM)		None	K	T					
43. JP Morgan (JPM)	C	Dividend	K	T					
44. Johnson & Johnson (JNJ)	A	Dividend	J	T					
45. Lowe's Co. In. (LOW)	D	Dividend	K	T					
46. Microsoft Crop. (MSFT)	E	Dividend	L	T					
47. PNC Financial Services Grp Inc. (PNC)	B	Dividend	J	T					
48. Pepsico Inc. (PEP)		None	K	T					
49. Proctor & Gamble (PG)		None	J	T					
50. Raytheon Technologies Corp. (FTX)		None	K	T					
51. T. Rowe Price Mid-Growth (RPTIX)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bashant, Cynthia A.	04/04/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52. SPDR Materials Select Sector Fund (XLB)	A	Dividend	J	T					
53. Thermo Fisher Scientific Inc. (TMO)		None	J	T					
54. 3M Co. (MMM)	B	Dividend			Sold	06/15/23	J	B	
55. McDonalds (MCD)	A	Dividend	J	T					
56. Verizon Communications Inc.		None	J	T					
57. Dodge & Cox Income Fund	A	Dividend	K	T					
58. Eaton Vance Floating Rate		None	K	T					
59. Eaton Corp. (ETN)	C	Dividend	K	T					
60. Guggenheim Total Return Bond		None	K	T					
61. Palmer Square Income Plus		None	K	T					
62. Key Corp. (KEY)		None			Sold	05/22/23	J	A	
63. Principal Spectrum Preferred & Capital Securities Fund	A	Dividend	K	T					
64. Fidelity Balanced Fund (FBALX)	D	Dividend	L	T	Buy (add'l)	02/14/23	J		
65. United Healthcare	B	Dividend	K	T					
66. Cohen & Steers Instit'l Realty Shares (CSRIX)	B	Dividend	K	T					
67. Digital Realty Trust Inc. (DLR)	B	Dividend	J	T					
68. Samtander Bank (CD)	A	Interest	J	T	Buy	01/31/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bashant, Cynthia A.	04/04/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
69. Santander Bank (CD)	A	Interest			Matured (part)	08/03/23	J	A	
70. Santander Bank (CD)	A	Interest			Matured	11/03/23	J	A	
71. Wells Fargo Bank (8/6/24) CD	A	Interest	J	T	Buy	08/03/23	J		
72. Wells Fargo Bank (2/10/25) CD	A	Interest	J	T	Buy	08/03/23	J		
73. Wells Fargo Bank (8/8/25) CD	A	Interest	J	T	Buy	08/03/23	J		
74. Wells Fargo Bank (8/7/24) CD	A	Interest	J	T	Buy	08/01/23	J		
75. Wells Fargo Bank (5/2/24) CD	A	Interest	J	T	Buy	05/03/23	J		
76. Well Fargo Bank (2//5/24) CD	A	Interest	J	T	Buy	05/03/23	J		
77. Leader Bank CD	A	Interest			Buy	05/03/23	J		
78. Leader Bank CD	A	Interest			Matured	11/06/23	J	A	
79. US Government I-Bond	A	Interest	J	T					
80. U.S. Government I-bond	A	Interest	J	T					
81. Centier Bank Whiting CD	A	Interest	J	T	Buy	05/04/23	J		
82. Centier Bank Whiting	A	Interest			Matured	08/07/23	J	A	
83. Bank of America (5/23/24) CD	A	Interest	J	T	Buy	08/21/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Bashant, Cynthia A.	04/04/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Bashant, Cynthia A.	04/04/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cynthia A. Bashant

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544