AO-10T
Rev. 03/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. §§ 13101-13111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
John	D.	Bates	
*5. Title	*6. District/Court/Unit		*7. Circuit
District Judge	DISTRICT OF COLUMBIA		DC
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	04/06/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
09/20/2022		09/27/2022	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Nuveen Preferred Securities Income Fund	Buy	09/20/2022	10/15/2022	$1,000 - $15,000
2. Verizon Communications	Buy	09/20/2022	10/15/2022	$1,000 - $15,000
3. Northern Trust Corp	Buy	09/20/2022	10/15/2022	$1,000 - $15,000
4. Clearbridge MLP and Midstream Fund	Buy	09/20/2022	10/15/2022	$1,000 - $15,000
5. AT&T Inc. Bond	Buy	09/20/2022	10/15/2022	$1,000 - $15,000
6. First Trust MLP and Energy Income Fund	Buy	09/20/2022	10/15/2022	$1,000 - $15,000
7. Ford Motor Co. Bond	Buy	09/20/2022	10/15/2022	$1,000 - $15,000
8. Clearbridge MLP and Midstream Fund	Buy	09/27/2022	10/15/2022	$1,000 - $15,000
9. First Trust MLP and Energy Income Fund	Buy	09/27/2022	10/15/2022	$1,000 - $15,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name John	Middle Initial D.	Last Name Bates	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name John	Middle Initial D.	Last Name Bates	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name John	Middle Initial D.	Last Name Bates	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name John	Middle Initial D.	Last name Bates	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. § 13106)

**SIGNATURE:*

s/ John D. Bates

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544