AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BATTAGLIA, ANTHONY	US DISTRICT COURT	12/12/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
DISTRICT JUDGE - SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court 221 West Broadway, Room 4135 San Diego, CA 92101-8927		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Battaglia APC Closed Pension & Profit Sharing Trust
2.	Trustee	[redacted] Trust I (has no reportable assests)
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	[redacted] earnings as a lawyer
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BATTAGLIA, ANTHONY	12/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. T. Rowe Price Equity Inc. Fund (Pension Plan)	E	Dividend	N	T					
2. Vanguard High Yield Fund (Pension Plan)	C	Dividend	L	T					
3. Vanguard Star Fund (Profit Sharing)	E	Dividend	N	T					
4. Vanguard Prime Fund (Profit Sharing)	A	Dividend	J	T					
5. T. Rowe Price High Yield Fund (Profit Sharing)	C	Dividend	L	T					
6. Vanguard GNMA (Profit Sharing)	A	Dividend	L	T					
7. T. Rowe Price Spectrum Growth Fund	D	Dividend	M	T					
8. Sp[ouses Law Office Close Corp. Stock		None	J	W					
9. Hennessy Fund (Pension Plan)	D	Dividend	L	T	Sold (part)	04/06/21	L	A	See VIII
10. Proctor & Gamble Common Stock	A	Dividend	K	T					
11. Vanguard Index 500 (Profit Sharing)	A	Dividend	L	T					
12. Vanguard Health Care Fund (Profit Sharing)	E	Dividend	N	T					
13. Vanguard Inflation Protected Secuities-IRA	D	Dividend	M	T	Sold (part)	03/22/21	J	A	See VIII
14. Vanguard GNMA Fund-IRA	A	Dividend	K	T					
15. Sempra Energy Common Stock	A	Dividend	J	T					
16. Vanguard 500 Index-IRA	B	Dividend	M	T					
17. Vanguard Midcap-IRA	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BATTAGLIA, ANTHONY	12/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Vanguard Total Bond-IRA	B	Dividend	L	T					
19. Vanguard Small Cap IRA	A	Dividend	K	T					
20. Vanguard Intl. IRA	A	Dividend	J	T	Sold (part)	03/23/21	J	A	See VIII
21. Vanguard Total Bond (Profit Sharing)	A	Dividend	K	T					
22. Vanguard California Tax Free Bond Fund	B	Dividend	J	T	Buy (add'l)	12/20/21	J		
23. Vanguard California Tax Free Bond Fund	B	Dividend	J	T	Buy (add'l)	08/18/21	J		
24. Vanguard California Tax Free Bond Fund	B	Dividend	J	T	Buy (add'l)	02/17/21	J		
25. Vanguard California Tax Free Bond Fund	B	Dividend	J	T	Buy (add'l)	01/19/21	J		
26. Verizon Commun. Common Stock	A	Dividend	J	T	Sold	12/28/21	J	A	See VIII
27. Blackrock Debt Fund	A	Dividend		T	Sold	12/28/21	J	A	See VIII
28. Blackrock Energy Intl. Fund	A	Dividend	J	T	Open	06/14/21	J		See VIII
29. First Tr Interim Fund	A	Dividend	J	T	Open	06/14/21	J		See VIII
30. Gabelli Div. Fund	B	Dividend	K	T	Open	06/14/21	K		See VIII
31. KKR Inc. Opp. Fund	A	Dividend	J	T	Open	06/14/21	J		See VIII
32. Nuveen S&P Fund	C	Dividend	K	T	Open	06/14/21	K		See VIII
33. SPDR S&P 500 Fund	A	Dividend	K	T	Open	06/14/21	K		See VIII
34. Tekla Healthcare Fund	A	Dividend	J	T	Open	06/14/21	J		See VIII

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35. Tekla World Healthcare Fund	A	Dividend	K	T	Open	06/14/21	K		See VIII
36. Virtus Global Fund	A	Dividend	K	T	Open	06/14/21	K		See VIII
37. Lord Abbott Fund	A	Dividend	K	T	Open	06/14/21	K		See VIII
38. Blackrock Ltd	B	Dividend		T	Sold	12/28/21	J	A	See VIII
39. Blackrock Multi-Sector	A	Dividend		T	Sold	12/28/21	J	A	See VIII
40. Blackrock Strat Fund	A	Dividend	J	T	Open	06/14/21	J		See VIII
41. Clearbridge MLP FD	A	Dividend		T	Sold	07/15/21	J	A	See VIII
42. Goldman Sachs MLP Energy	A	Dividend		T	Sold	07/15/21	J	A	See VIII
43. Invesco High Inc.	A	Dividend		T	Sold	06/28/21	J	A	See VIII
44. Invesco Senior Loan	A	Dividend		T	Sold	06/28/21	J	A	See VIII
45. Mainstay Mackay Fund	A	Dividend		T	Sold	12/28/21	K	A	See VIII
46. Nuveen Corp Fd	A	Dividend		T	Sold	7/15/21	J	A	See VIII
47. SPDR Blackstone	A	Dividend		T	Sold	12/28/21	K	A	See VII
48. SPDR DJIA	A	Dividend		T	Sold	12/28/21	K	A	See VII
49. Tortoise Pipeline Fund	A	Dividend		T	Sold	07/15/21	J	A	See VIII
50. Invesco RCH Muni	A	Dividend		T	Sold	12/28/21	K	A	See VIII
51. PGIM	B	Dividend	K	T	Sold (part)	12/28/21	J	A	See VIII

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65.									
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67.									
68.									

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69.									
70.									
71.									
72.									
73.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

9 Hennessy Fund sale was a required minimum distribution.

13 Vanguard Inflation Protected Secuities IRA sale was a required minimum distribution.

20 Vanguard International Fund IRA sale was a required minimum distribution.

26 Verizon Common Stock was received as an inheritance 6/7/21, Part sold 12/28/21

#27 Blackrock Debt Fund inherited 6/14/21 and sold 12/28/21.

#28 Blackrock Energy Fund Inherited 6/14/21.

#29 First Tr Interim Fund inherited 6/14/21.

#30 Gabelli Fund inherited 6/14/21.

#31 KKR Inc. Opp. Fund inherited 6/14/21.

#32 Nuveen S7P Fund inherited 6/14/21.

#33 SPDR S&P 500 Fund inherited 6/14/21.

#34 Tekla Healthcare Fund inherited 6/14/21.

#35 Tekla World Healthcare Fund inherited 6/14/21.

#36 Virtus Global Fund inherited 6/14/21.

#37 Lord Abbott Fund inherited 6/14/21.

#38 Blackrock Ltd inherited 6/14/21 and sold12/28/21.

#39 Blackrock Multi-Sector inherited 6/14/21 and sold 12/28/21.

#40 Blackrock Strat Fund inherited 6/14/21.

#41 Clearbridge MLP FD inherited 6/14/21 and sold 7/15/21.

#42 Golman Sachs MLP Energy inherited 6/14/21 and sold 7/15/21.

#43 Invesco Hihg Inc. inherited 6/14/21and sold 6/28/21.

#44 Invesco Senior Loan inherited 6/14/21 and sold 7/15/21.

#45 Mainstay Mackay Fund inherited 6/14/21 and sold 12/28/21.

#46 Nuveen Corp Fd inherited 6/14/21 and sold 7/15/21.

#47 SPDR Blackstone inherited 6/14/21 and sold 12/28/21.

#48 SPDR DJIA inherited 6/14/21 and sold 12/28/21.

#49 Tortoise Pipeline Fund inherited 6/14/21 and sold 7/15/21.

#50 Invesco RCH Muni inherited 6/14/21 and sold 12/28/21.

#51 PGIM inherited 6/14/21 and a part sold 12/28/21.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ANTHONY BATTAGLIA

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544