AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BATTAGLIA, ANTHONY	US DISTRICT COURT	12/12/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
DISTRICT JUDGE - SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States District Court 221 West Broadway, Room 4135 San Diego, CA 92101-8927		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Battaglia APC Closed Pension & Profit Sharing.
2.	Trustee	[redacted] Trust (has no reportable assests).
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2022	[redacted] earnings as a lawyer.
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BATTAGLIA, ANTHONY	12/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. T. Rowe Price Equity Inc. Fund (Pension Plan)	E	Dividend	N	T					
2. Vanguard High Yield Fund (Pension Plan)	C	Dividend	L	T					
3. Vanguard Star Fund (Profit Sharing Plan)	E	Dividend	N	T					
4. Vanguard Prime Fund (Profit Sharing Plan)	A	Dividend		T	Sold	10/12/22	J	A	See VIII
5. T. Rowe Price High Yield Fund (Profit Sharing Plan)	C	Dividend	L	T					
6. Vanguard GNMA Fund (Profit Sharing Plan)	A	Dividend	L	T					
7. T. Rowe Price Spectrum Growth Fund (Profit Sharing Plan)	E	Dividend	M	T					
8. Spouses Law Office Close Corp Stock		None	J	T					
9. Hennessy Fund (Pension Plan)	D	Dividend		T	Sold (part)	06/13/22	L	A	See VIII
10. Proctor & Gamble Common Stock	A	Dividend	K	T					
11. Vanguard Index 500 Fund (Profit Sharing Plan)	A	Dividend	L	T					
12. Vanguard Health Care Fund (Profit Sharing Plan)	E	Dividend	O	T					
13. Vanguard Inflation Protected Securoties-IRA	D	Dividend	M	T	Sold (part)	11/01/22	J	A	See VIII
14. Vanguard GNMA Fund -IRA	A	Dividend	K	T					
15. Sempra Energy Common Stock	A	Dividend	J	T					
16. Vanguard 500 Index-IRA	B	Dividend	L	T	Sold (part)	11/04/22	J	A	See VIII
17. Vanguard Midcap-IRA	A	Dividend	K	T	Sold (part)	11/04/22	J	A	See VIII

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BATTAGLIA, ANTHONY	12/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Vanguard Total Bond -IRA	B	Dividend	L	T	Sold (part)	11/04/22	J	A	See VIII
19. Vanguard Small Cap-IRA	A	Dividend	K	T	Sold (part)	11/04/22	J	A	See VIII
20. Vanguard International Fund	A	Dividend	J	T					
21. Vanguard Total Bond (Profit Sharing Plan)	A	Dividend	K	T					
22. Vanguard California Tax Free Bond Fund	B	Dividend	L	T	Buy (add'l)	11/01/22	J		
23. Verizon Comm. Stock	A	Dividend		T	Sold	06/14/22	K	A	See VIII
24. Blackrock En Int Fund	A	Dividend	J	T					
25. Blackrock Strat FD	A	Dividend		T	Sold	06/16/22	J	A	
26. First TR Interim Fund	A	Dividend		T	Sold	06/16/22	J	A	
27. Gabelli Div Fund	B	Dividend		T	Sold	06/16/22	K	A	
28. KKR Inc Opp Fund	A	Dividend		T	Sold	06/16/22	J	A	
29. Nuveen S&P	C	Dividend	L	T					
30. SPDR S&P 500	B	Dividend	M	T	Buy (add'l)	07/21/22	M		
31. Tekla Healthcare Fund	A	Dividend	J	T					
32. Tekla World Healthcare Fund	A	Dividend	J	T					
33. Virtus Global Fund	A	Dividend		T	Sold	06/16/22	K	A	
34. Lord Abbott Fund	A	Dividend		T	Sold	06/15/22	K	A	

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35. PGIM Short Term Bond	B	Dividend		T	Sold	06/15/22	K	A	
36. SPDR S & P	C	Dividend	M	T	Buy (add'l)	07/25/22	M		
37. Invesco QQQ	A	Dividend	K	T	Buy	07/25/22	M		
38. Neuberger Berman Fund	B	Dividend	K	T	Buy	07/22/22	K		
39. American Strat Bond	A	Dividend	K	T	Buy	07/22/22	K		
40. Thornburg Strat Fund	A	Dividend	K	T	Buy	07/22/22	K		
41. IShares Core	A	Dividend	K	T	Buy	07/25/22	K		
42. IShares 20+	A	Dividend		T	Sold	09/22/22	K	A	See VIII
43. SPDR DJIA	A	Dividend	K	T	Buy	07/25/22	J		
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69.									
70.									
71.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

#4 Vanguard Prime Fund sale 10/22/22 was a required minimum distribution and account closed.

#9 Hennessey Fund Sale 6/13/22 was a required mnimu distribution and account closed.

#13 Vanguard Inflation Protected Securities IRA 11/1/22 sale was a required minimum distribution.

16 Vanguard 500 sale 11/4/22 sale was a required minimum distribution.

#17 Vanguard Mid Cap sale 11/4/22 was a required minimu distribution.

#18 Vanguard Total Bond sale 11/4/22was a required minimum distribution.

#19 Vanguard Small Cap sale 11/4/22 was a required minimu distribution.

#23 Verizon stock was completely sold.

#42 IShares 20+ was bought 7/25/22, value K and sold completely 9/22/22 value K.

Name of Person Reporting	Date of Report
BATTAGLIA, ANTHONY	12/12/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ANTHONY BATTAGLIA

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544