AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BATTAGLIA, ANTHONY	US DISTRICT COURT	12/16/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
DISTRICT JUDGE - SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States District Court 221 West Broadway, Room 4135 San Diego, CA 92101-8927		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	APC Pension & Profit Sharing Trust
2.	Trustee	[redacted] Trust I (has no reportable assests)
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2023	[redacted] earnings as a lawyer
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BATTAGLIA, ANTHONY	12/16/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. T. Rowe Price Equity Inc. Fund (Pension Plan)	E	Dividend	O	T					
2. Vanguard High Yield Fund (Pension Plan)	C	Dividend	L	T					
3. Vanguard Star Fund (Profit Sharing)	E	Dividend	N	T					
4. T. Rowe Price High Yield Fund (Profit Sharing)	C	Dividend	L	T	Sold (part)	10/29/23	K	A	
5. Vanguard GNMA (Profit Sharing)	A	Dividend	L	T	Sold (part)	10/02/23	J	A	
6. T. Rowe Price Spectrum Growth Fund	E	Dividend	M	T					
7. Spouses Law Office Close Corp. Stock		None	J	W					
8. Proctor & Gamble Common Stock	A	Dividend	K	T					
9. Vanguard Index 500 (Profit Sharing)	A	Dividend	L	T					
10. Vanguard Health Care Fund (Profit Sharing)	E	Dividend	O	T					
11. Vanguard Inflation Protected Secuities-IRA	D	Dividend	M	T	Sold (part)	10/02/23	J	A	
12. Vanguard GNMA Fund-IRA	A	Dividend	K	T	Sold (part)	10/04/23	J	A	
13. Sempra Energy Common Stock	A	Dividend	J	T					
14. Vanguard 500 Index-IRA	B	Dividend	M	T					
15. Vanguard Midcap-IRA	A	Dividend	K	T					
16. Vanguard Total Bond-IRA	B	Dividend	L	T					
17. Vanguard Small Cap IRA	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BATTAGLIA, ANTHONY	12/16/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Vanguard Intl. IRA	A	Dividend	J	T					
19. Vanguard Total Bond (Profit Sharing)	A	Dividend	K	T					
20. Vanguard California Tax Free Bond Fund	B	Dividend	L	T					
21. Nuveen S&P 500	C	Dividend	K	T					
22. SPDR S&P 500 Trust	C	Dividend	M	T					
23. Tekla Healthcare Fund	A	Dividend		T	Sold	06/06/23	J	A	
24. Tekla World Healthcare Fund	A	Dividend		T	Sold	06/06/23	K	A	
25. Virtus Global Fund	A	Dividend		T		06/27/21	K		
26. ABRDN Opp. Fund	A	Dividend	J	T	Buy	06/06/23	J		
27. ABRDN World Healthcare	B	Dividend	J	T	Buy	06/06/23	J		
28. Invesco QQQ Trust	A	Dividend	L	T					
29. SPDR DJIA Trust	A	Dividend	K	T					
30. Neuberger Berman	A	Dividend	K	T					
31. Federated Money Mkt Fund	B	Dividend	L	T	Buy	06/06/23	L		
32. Invesco Premium Money Mkt Fund	B	Dividend	K	T	Buy	06/06/23			
33. Thornburg Strategic Fund	A	Dividend		T	Sold	06/27/23	K	A	
34. American Strategic Bond Fund	A	Dividend		T	Sold	06/27/23	K	A	

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A =$1,000 or less
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H1 =$1,000,001 - $5,000,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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3. Value Method Codes (See Column C2)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. IShares Core U.S.	A	Dividend		T	Sold	06/28/23	K	A	
36. Blackrock EN INT DIV Tr	A	Dividend		T	Sold	06/07/23	J	A	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
BATTAGLIA, ANTHONY	12/16/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ANTHONY BATTAGLIA

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544