AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Baxter, Susan P.	Western District of Pennsylvania	03/16/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US District Court Judge/FT	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. District Court 17 South Park Row Room A 280 Erie, PA 16501		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Dermatology Associates of Erie - Salary & Bonus
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	US Courts	10/19/2021 - 10/22/2021	Galloway, NJ	Third Circuit Conference	Transportation, Food & Lodging
2.	Judicial Conference	11/30/2021 - 12/3/2021	Miami, FL	Meeting of Judicial Conference Committee	Transportation, Food & Lodging
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	PNC Bank, NA	Portfolio Line of Credit	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank Checking/Money Market Accounts	A	Interest	M	T					
2. Navy Federal Credit Union Account	A	Interest	J	T					
3. Medical Office Building, City of Erie, Erie County, PA	E	Rent	K	W					
4. U.S. Savings Bonds - Series EE Bonds	A	Interest	J	T					
5. PNC WM ACCOUNTS: (H)									
6. - IShares Core S&P Mid-Cap ETF (IJH)	A	Dividend	J	T	Sold (part)	05/05/21	J	A	
7.					Sold (part)	07/06/21	J	A	
8. - IShares Core S&P Small-Cap ETF (IJR)	A	Dividend	J	T					
9. - TRowe Price Growth Stock Fd (PRGFX) n/k/a (PRUFX)	B	Dividend	K	T	Sold (part)	01/15/21	J	B	
10.					Sold (part)	04/27/21	J	B	
11.					Sold (part)	07/02/21	J	A	
12.					Buy (add'l)	09/14/21	J		
13. - Vanguard Inter-Term Tax Exempt Fd (VWIUX)	C	Dividend	M	T	Buy (add'l)	01/19/21	J		
14.					Buy (add'l)	06/01/21	J		
15.					Buy (add'l)	06/03/21	J		
16. - Baird Aggregate Bond Fd #72 (BAGIX)	A	Dividend	J	T	Buy (add'l)	01/15/21	J		
17.					Sold (part)	04/27/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.						Sold (part)	05/04/21	J		
19.	- Dodge & Cox Income Fd #147 (DODIX)	A	Dividend	J	T	Buy (add'l)	01/15/21	J		
20.						Sold (part)	04/27/21	J		
21.						Sold (part)	05/04/21	J		
22.	- Vanguard Growth ETF (VUG)	A	Dividend	J	T					
23.	- Vanguard International Value Fd (VTRIX)	A	Dividend	K	T	Sold (part)	01/19/21	J	A	
24.						Buy (add'l)	05/04/21	J		
25.	- IShares MSCI EAFE ETF (EFA)	A	Dividend	J	T					
26.	- IShares MSCI EAFE Small Cap ETF (SCZ)	A	Dividend	J	T	Sold (part)	01/19/21	J	A	
27.						Buy (add'l)	04/28/21	J		
28.						Sold (part)	07/20/21	J	A	
29.	- WCM Focused Intl Growth Fd (WCMIX)	A	Dividend	K	T	Sold (part)	01/15/21	J	A	
30.						Buy (add'l)	05/04/21	J		
31.						Buy (add'l)	09/10/21	J		
32.						Buy (add'l)	09/14/21	J		
33.						Buy (add'l)	09/23/21	J		
34.	- Edgewood Growth Fd (EGFIX)	B	Dividend	K	T	Sold (part)	01/15/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	04/27/21	J		
36.					Buy (add'l)	05/04/21	J		
37.					Buy (add'l)	09/10/21	J		
38.					Buy (add'l)	09/14/21	J		
39.					Buy (add'l)	09/23/21	J		
40. - Lazard Global Listed Infrastructure Fd #1243 (GLIFX)	A	Dividend			Sold (part)	01/15/21	J		
41.					Buy (add'l)	04/27/21	J		
42.					Buy (add'l)	05/04/21	J		
43.					Sold (part)	08/16/21	J	A	
44.					Sold (part)	09/10/21	J	A	
45.					Sold (part)	09/14/21	J	A	
46.					Sold	09/23/21	K	A	
47. - Harbor Large Cap Value Fd (HAVLX) n/k/a (HNLVX)	B	Dividend	K	T	Sold (part)	01/15/21	J	B	
48.					Buy (add'l)	05/04/21	J		
49.					Sold (part)	07/02/21	J	A	
50.					Buy (add'l)	09/10/21	J		
51.					Buy (add'l)	09/14/21	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	09/23/21	J		
53. - Principal Mid Cap Fund R6 (PMAQX)	B	Dividend	K	T	Sold (part)	01/15/21	J	A	
54.					Buy (add'l)	04/27/21	J		
55.					Sold (part)	07/02/21	J	A	
56. - PNC Bank NA Deposit Sweep Money Market	A	Dividend	J	T					
57. - Vanguard Value ETF (VTV)	A	Dividend	J	T					
58. - Vanguard FTSE Emerging Markets (VWO)	A	Dividend	J	T	Sold (part)	01/19/21	J	A	
59.					Buy (add'l)	07/06/21	J		
60.					Sold (part)	07/06/21	J	A	
61. - Western Asset Core Plus Bond Fd (WACPX) n/k/a (WAPSX)	A	Dividend	J	T	Buy (add'l)	01/15/21	J		
62.					Sold (part)	04/27/21	J		
63.					Sold (part)	05/04/21	J		
64. - Wells Fargo Municipal Bond Fd (WMBIX)	A	Dividend			Sold (part)	04/27/21	K	A	
65.					Sold (part)	05/04/21	K	A	
66.					Sold (part)	05/28/21	J	A	
67.					Sold (part)	06/03/21	K	B	
68.					Sold	06/17/21	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
69. - Baron Small Cap Fd (BSFIX)	A	Dividend	J	T	Sold (part)	01/15/21	J	A	
70.					Buy (add'l)	04/27/21	J		
71. - Wisdomtree US Quality Dividend ETF (DGRW)	A	Dividend	J	T	Sold (part)	01/19/21	J	A	
72. - Fidelity Spartin Tax-Free Bd Fund #090 (FTABX)	C	Dividend	M	T	Buy (add'l)	01/15/21	J		
73.					Buy (add'l)	05/28/21	J		
74.					Buy (add'l)	06/03/21	J		
75. - AMG GW&K Small Cap Core Fd (GWEIX)	B	Dividend	J	T	Sold (part)	01/15/21	J	A	
76.					Buy (add'l)	04/27/21	J		
77.					Buy (add'l)	05/04/21	J		
78.					Sold (part)	09/14/21	J	A	
79. - MFS Value Fund (MEIIX) n/k/a (MEIKX)	A	Dividend	J	T					
80. - Oakmark International Small Cap (OANEX) n/k/a (OAZEX)	A	Dividend	J	T	Sold (part)	01/15/21	J	A	
81.					Buy (add'l)	05/04/21	J		
82.					Buy (add'l)	07/02/21	J		
83.					Buy (add'l)	07/19/21	J		
84.					Buy (add'l)	09/23/21	J		
85. - Polen International Growth Fd (POIIX)		None			Sold (part)	01/15/21	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	09/10/21	J	A	
87.					Sold (part)	09/14/21	J	A	
88.					Sold	09/23/21	J	A	
89. - Invesco QQQ Trust ETF (QQQ)	A	Dividend	J	T	Sold (part)	01/19/21	J	A	
90. - Touchstone Mid Cap Growth (TEGIX)	A	Dividend	J	T	Sold (part)	01/15/21	J	A	
91. - Touchstone Sands Emerging Growth Fd #565 (TSEGX)	A	Dividend	J	T	Sold (part)	01/15/21	J	A	
92.					Buy (add'l)	04/27/21	J		
93.					Buy (add'l)	05/04/21	J		
94.					Buy (add'l)	07/02/21	J		
95. - Vanguard Real Estate ETF (VNQ)	A	Dividend	J	T	Sold (part)	01/19/21	J	A	
96.					Buy (add'l)	04/28/21	J		
97.					Buy (add'l)	05/05/21	J		
98.					Buy (add'l)	09/13/21	J		
99.					Buy (add'l)	09/15/21	J		
100.					Buy (add'l)	09/24/21	J		
101. - Wasatch International Opportunities Fd (WIIOX)	A	Dividend	J	T	Sold (part)	01/15/21	J	A	
102.					Buy (add'l)	04/27/21	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
103.					Buy (add'l)	05/04/21	J		
104.					Sold (part)	07/02/21	J	A	
105.					Sold (part)	09/23/21	J	A	
106. - Wells Fargo Core Bond Fd (WTRIX)	A	Dividend			Sold	03/18/21	J		
107. - Blackrock Core Bond Portfolio #332 (BFMCX)	A	Dividend	J	T	Buy	03/18/21	J		
108. - Seafarer Overseas Growth & Income #11602 (SIGIX)	A	Dividend	J	T	Buy	04/27/21	J		
109.					Buy (add'l)	05/04/21	J		
110.					Buy (add'l)	07/02/21	J		
111. - Polen Growth Fund - INS (POLIX)	A	Dividend	J	T	Buy	04/27/21	J		
112. - IShares Core S&P 500 ETF (IVV)	A	Dividend	K	T	Buy	04/28/21	J		
113. - IShares Core MSCI EAFE ETF (IEFA)	A	Dividend	J	T	Buy	04/28/21	J		
114.					Buy (add'l)	05/05/21	J		
115. - Spyglass Growth Fund - Inst (SPYGX)	A	Dividend	J	T	Buy	05/04/21	J		
116. PNC RETIREMENT ACCOUNT (H)									
117. - Baird Aggregate Bond Fd (BAGIX)	C	Dividend	M	T	Sold (part)	04/27/21	K	A	
118.					Sold (part)	05/04/21	K	A	
119. - Fidelity Money Market Fund (FIGXX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - IShares MSCI EAFE Small Cap (SCZ)	A	Dividend			Sold (part)	01/15/21	J	A	
121.					Sold	04/28/21	J	B	
122. - Metropolitan West Unconstrained Bond (WMCIX)	A	Dividend			Sold	04/27/21	K	A	
123. - TRowe Price Growth Stock (PRGFX)		None			Sold	04/27/21	K	E	
124. - TRowe Price New Horizons (PRNHX) n/k/a (PRJIX)	C	Dividend	K	T	Sold (part)	01/14/21	J	B	
125. - Vanguard FTSE Emerging (VWO)	A	Dividend	J	T	Sold (part)	01/15/21	J	A	
126. - Vanguard Intermediate Term Bond (VFIDX)	D	Dividend	M	T	Buy (add'l)	01/14/21	K		
127.					Sold (part)	04/28/21	K	A	
128.					Sold (part)	05/04/21	K	A	
129. - SPDR S&P 500 ETF (SPY)	A	Dividend			Sold	01/15/21	K	E	
130. - Vanguard International Value Fd (VTRIX)	B	Dividend	K	T	Sold (part)	01/14/21	J	B	
131. - Dodge & Cox Income Fd #147 (DODIX)	D	Dividend	M	T	Buy (add'l)	01/14/21	K		
132.					Sold (part)	04/27/21	K	A	
133.					Sold (part)	05/04/21	K	A	
134. - Lazard Global Listed Infrastructure Fd #1243 (GLIFX)	A	Dividend			Sold (part)	01/14/21	J		
135.					Buy (add'l)	04/27/21	J		
136.					Buy (add'l)	05/04/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
137.					Sold	08/19/21	K	C	
138. - Harbor Large Cap Value Fd (HAVLX) n/k/a (HNLVX)	C	Dividend	L	T					
139. - Principal Mid Cap Value Fd - R6 (PMAQX)	C	Dividend	K	T	Sold (part)	01/14/21	J	B	
140.					Buy (add'l)	04/27/21	J		
141. - Touchstone Mid Cap Growth - Inst (TEGIX)	C	Dividend	K	T	Sold (part)	01/14/21	J	A	
142. - Western Asset Core Plus Bond Fd (WACPX) exchanged to (WAPSX)	D	Dividend	M	T	Buy (add'l)	01/14/21	J		
143.					Sold (part)	04/27/21	K		
144.					Sold (part)	05/04/21	K		
145. - Wisdomtree US Quality Dividend ETF (DGRW)	A	Dividend	K	T					
146. - Oakmark International Small Cap (OANEX) n/k/a (OAZEX)	A	Dividend	K	T	Buy (add'l)	04/27/21	J		
147.					Buy (add'l)	05/04/21	J		
148. - PGIM High Yield Fund Class R6 (PHYQX)	C	Dividend	L	T	Buy (add'l)	01/14/21	J		
149. - Pacific Floating Rate Income Fund (PLFRX)	A	Dividend			Buy (add'l)	01/14/21	J		
150.					Sold	04/09/21	L	C	
151. - Polen International Growth Fund - Inst (POIIX)		None			Sold	08/19/21	J	B	
152. - Polen Growth Fund - Inst (POLIX)	B	Dividend	L	T	Buy (add'l)	08/19/21	J		
153. - Invesco QQQ Trust ETF (QQQ)	A	Dividend	K	T	Sold (part)	01/15/21	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
154. - Touchstone Sands Emerging Growth Fund #565 (TSEGX)	A	Dividend	K	T	Sold (part)	01/14/21	J	A	
155.					Sold (part)	04/27/21	J		
156.					Sold (part)	05/04/21	J		
157. - Vanguard Real Estate ETF (VNQ)	A	Dividend	K	T	Sold (part)	01/15/21	J	A	
158.					Buy (add'l)	04/28/21	J		
159.					Buy (add'l)	05/05/21	J		
160.					Buy (add'l)	08/20/21	J		
161. - Wasatch International Opportunities Fd (WIIOX)	B	Dividend	K	T	Buy (add'l)	05/04/21	J		
162. - Wells Fargo Core Bond Fd (WTRIX)	A	Dividend			Sold	03/18/21	M		
163. - TRowe Price Inst Floating Rate #430 (PFFRX) n/k/a (RPIFX)	B	Dividend	L	T	Buy	04/09/21	L		
164. - Blackrock Core Bond Portfolio #332 (BFMCX)	C	Dividend	M	T	Buy	03/18/21	M		
165.					Sold (part)	04/27/21	K	A	
166. - Edgweood Growth Fund Cl Ins (EGFIX)	C	Dividend	L	T	Buy	04/27/21	K		
167.					Buy (add'l)	05/04/21	K		
168. - AMG GW&K SC Core - I (GWEIX)	B	Dividend	K	T	Buy	04/27/21	J		
169.					Buy (add'l)	05/04/21	J		
170. - Seafarer Overseas Growth & Income Inst CL #11602 (SIGIX)	B	Dividend	K	T	Buy	04/27/21	K		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	05/04/21	J		
172. - IShares Core MSCI EAFE ETF (IEFA)	B	Dividend	K	T	Buy	04/28/21	K		
173.					Buy (add'l)	05/05/21	J		
174.					Buy (add'l)	08/20/21	J		
175. - IShares Core s&P 500 ETF (IVV)	A	Dividend	L	T	Buy	04/28/21	L		
176. - MFS Value Fund Fd (MEIIX) n/k/a (MEIKX)	A	Dividend	K	T	Buy	05/04/21	K		
177. - Spyglass Growth Fund Inst (SPYGX)	A	Dividend	J	T	Buy	05/04/21	J		
178.					Buy (add'l)	08/19/21	J		
179. - WCM Focused Intl Growth Ins (WCMIX)	B	Dividend	K	T	Buy	08/19/21	K		
180. Croation Fraternal Union Whole Life Insurance Policy	A	Dividend	J	T					
181. Crown Life Insurance Guaranteed Universal "W"	A	Interest	J	T					
182. Crown Life Insurance Guaranteed Universal "H"	A	Interest	J	T					
183. First Commonwealth Financial Corporation (FCF)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/16/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan P. Baxter

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544