AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Baxter, Susan P.	Western District of Pennsylvania	03/31/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US District Court Judge/FT	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. District Court 17 South Park Row Room A 280 Erie, PA 16501		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Dermatology Associates of Erie - Salary & Bonus
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York Intellectual Property Law Association	03/25/2022 - 03/26/2022	New York, NY	Dinner honoring Federal Judiciary	overnight accommodations, meals, parking, mileage
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	PNC Bank, NA	Portfolio Line of Credit	J
2.	Chase Bank	Charge Card	K
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/31/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. PNC Bank Checking/Money Market Accounts	A	Interest	M	T					
2. Navy Federal Credit Union Account	A	Interest	J	T					
3. Medical Office Building, City of Erie, Erie County, PA	C	Rent	L	W					
4. U.S. Savings Bonds - Series EE Bonds	A	Interest	J	T					
5. PNC WM ACCOUNTS: (H)									
6. - IShares Core S&P Mid-Cap ETF (IJH)	A	Dividend	J	T	Sold (part)	03/09/22	J		
7.					Buy (add'l)	03/17/22	J		
8. - IShares Core S&P Small-Cap ETF (IJR)	A	Dividend	J	T					
9. - TRowe Price Growth Stock Fd (PRUFX)		None			Sold (part)	03/10/22	J		
10.					Sold (part)	03/15/22	J		
11.					Sold (part)	03/25/22	J	A	
12.					Sold (part)	04/01/22	J	C	
13.					Sold	04/14/22	J	A	
14. - Vanguard Inter-Term Tax Exempt Fd (VWIUX)	B	Dividend			Sold (part)	03/10/22	K		
15.					Sold (part)	05/05/22	K		
16.					Sold (part)	05/10/22	J		
17.					Sold	08/01/22	L		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

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18. - Baird Aggregate Bond Fd #72 (BAGIX)	A	Dividend	J	T					
19. - Dodge & Cox Income Fd #147 (DODIX)	A	Dividend	J	T					
20. - Vanguard Growth ETF (VUG)	A	Dividend	J	T	Buy (add'l)	04/18/22	J		
21. - Vanguard International Value Fd #46 (VTRIX)	A	Dividend	J	T	Buy (add'l)	03/09/22	J		
22.					Sold (part)	03/10/22	J		
23.					Sold (part)	03/15/22	J		
24. - IShares MSCI EAFE ETF (EFA)	A	Dividend	J	T	Buy (add'l)	03/17/22	J		
25. - IShares MSCI EAFE Small Cap ETF (SCZ)	A	Dividend			Sold	10/11/22	J		
26. - WCM Focused Intl Growth Fd (WCMIX)	A	Dividend	J	T	Buy (add'l)	03/09/22	J		
27.					Sold (part)	03/10/22	J		
28. - Edgewood Growth Fd (EGFIX)		None			Sold (part)	03/10/22	J		
29.					Buy (add'l)	04/01/22	J		
30.					Sold (part)	05/05/22	J		
31.					Sold	05/10/22	J		
32. - Harbor Large Cap Value Fd (HNVLX)	B	Dividend	K	T	Sold (part)	03/10/22	J		
33.					Sold (part)	03/15/22	J		
34. - Principal Mid Cap Fund R6 (PMAQX)	A	Dividend	J	T	Sold (part)	03/10/22	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Sold (part)	03/15/22	J		
36.					Sold (part)	04/01/22	J		
37.					Sold (part)	04/14/22	J	A	
38.					Sold (part)	10/04/22	J		
39.					Sold (part)	10/07/22	J	A	
40.	- PNC Bank NA Deposit Sweep Money Market	A	Dividend	J	T				
41.	- Vanguard Value ETF (VTV)	A	Dividend			Sold	03/28/22	J	A
42.	- Vanguard FTSE Emerging Markets (VWO)	A	Dividend	J	T	Sold (part)	03/10/22	J	
43.	- Western Asset Core Plus Bond Fd (WAPSX)	A	Dividend			Sold (part)	04/14/22	J	
44.						Sold (part)	05/05/22	J	
45.						Sold	05/10/22	J	
46.	- Baron Small Cap Fd (BSFIX)		None			Sold (part)	04/01/22	J	
47.						Sold	07/26/22	J	A
48.	- Wisdomtree US Quality Dividend ETF (DGRW)	A	Dividend	J	T	Sold (part)	03/10/22	J	A
49.						Sold (part)	03/16/22	J	A
50.						Sold (part)	03/28/22	J	A
51.						Sold (part)	04/04/22	J	A

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52.					Sold (part)	04/18/22	J	A	
53.					Buy (add'l)	10/05/22	J		
54.					Buy (add'l)	10/11/22	J		
55. - Fidelity Spartin Tax-Free Bd Fund #090 (FTABX)	B	Dividend	J	T	Sold (part)	02/17/22	M		
56.					Sold (part)	03/10/22	K		
57.					Sold (part)	03/15/22	J		
58.					Buy (add'l)	03/31/22	J		
59. - AMG GW&K Small Cap Core Fd (GWEIX)	A	Dividend	J	T	Buy (add'l)	03/09/22	J		
60.					Sold (part)	03/10/22	J		
61.					Sold (part)	03/15/22	J	A	
62.					Buy (add'l)	03/16/22	J		
63.					Sold (part)	04/01/22	J		
64. - MFS Value Fund (MEIKX)	A	Dividend	J	T	Buy (add'l)	03/09/22	J		
65.					Buy (add'l)	03/25/22	J		
66. - Oakmark International Small Cap (OAZEX)		None			Buy (add'l)	03/09/22	J		
67.					Sold (part)	03/10/22	J		
68.					Buy (add'l)	03/16/22	J		

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69.					Sold	10/04/22	J		
70. - Invesco QQQ Trust (ETF) (QQQ)	A	Dividend	J	T	Sold (part)	03/10/22	J		
71.					Buy (add'l)	05/11/22	J		
72. - Touchstone Mid Cap Growth (TEGIX) n/k/a (TFGRX)	A	Dividend	J	T	Buy (add'l)	02/02/22	J		
73.					Sold (part)	03/10/22	J		
74. - Touchstone Sands Emerging Gr Fd (TSEGX) n/k/a (TSRMX)	A	Dividend	J	T	Buy (add'l)	03/09/22	J		
75.					Sold (part)	03/10/22	J		
76.					Buy (add'l)	03/16/22	J		
77. - Vanguard Real Estate ETF (VNQ)	A	Dividend	J	T	Sold (part)	03/10/22	J		
78.					Sold (part)	03/16/22	J	A	
79.					Sold (part)	04/04/22	J	A	
80.					Sold (part)	04/18/22	J		
81. - Wasatch International Opportunities Fd (WIIOX)		None			Buy (add'l)	03/09/22	J		
82.					Sold (part)	03/10/22	J		
83.					Buy (add'l)	03/16/22	J		
84.					Sold	10/04/22	J		
85. - Blackrock Core Bond Portfolio #332 (BFMCX)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
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86. - Seafarer Overseas Growth & Income #11602 (SIGIX)	A	Dividend	J	T	Buy (add'l)	03/09/22	J		
87.					Sold (part)	03/10/22	J		
88.					Buy (add'l)	03/16/22	J		
89. - Polen Growth Fund - INS (POLIX)		None			Sold	05/05/22	J		
90. - IShares Core S&P 500 ETF (IVV)	A	Dividend	K	T	Sold (part)	03/10/22	J		
91.					Buy (add'l)	03/17/22	J		
92.					Buy (add'l)	03/24/22	J		
93.					Buy (add'l)	03/28/22	J		
94.					Buy (add'l)	04/04/22	J		
95. - IShares Core MSCI EAFE ETF (IEFA)	A	Dividend	J	T	Sold (part)	03/10/22	J		
96. - Spyglass Growth Fund - Inst (SPYGX)		None			Sold	02/02/22	J		
97. - Vanguard PA LT Tax-Exempt Fd (VPALX)	A	Dividend			Buy	02/02/22	L		
98.					Sold (part)	04/18/22	J		
99.					Sold	08/01/22	K		
100. - Allspring Special Mid Cap Value Fd (WFPRX)	A	Dividend	J	T	Buy	03/09/22	J		
101.					Buy (add'l)	03/25/22	J		
102.					Buy (add'l)	04/01/22	J		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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103.					Buy (add'l)	04/14/22	J		
104. - American Century Small Cap Value Fund (ASVYX)	A	Dividend	J	T	Buy	03/25/22	J		
105.					Buy (add'l)	04/01/22	J		
106. - Jensen Quality Growth Fund (JENYX)	A	Dividend	K	T	Buy	03/25/22	J		
107.					Buy (add'l)	04/01/22	J		
108.					Buy (add'l)	04/14/22	J		
109.					Buy (add'l)	05/05/22	J		
110.					Buy (add'l)	05/10/22	J		
111. - TRowe Price Inst Floating Rate Fd (RPIFX)	A	Dividend	K	T	Buy	03/25/22	J		
112.					Buy (add'l)	03/31/22	J		
113.					Buy (add'l)	04/14/22	J		
114.					Buy (add'l)	05/05/22	J		
115. - Vanguard Large Cap ETF (VV)	A	Dividend	J	T	Buy	04/18/22	J		
116. - Vanguard Short Term Invmt Grade Admr Fund (VFSUX)	A	Dividend	J	T	Buy	05/05/22	J		
117. - Vanguard Limited Term Tax-Exempt FD (VMLUX)	A	Dividend	K	T	Buy	05/05/22	J		
118.					Buy (add'l)	05/10/22	J		
119. - IShares S&P Small Cap 600 Growth ETF (IJT)	A	Dividend	J	T	Buy	07/27/22	J		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. - Vanguard Tax Exempt Bond Index ETF (VTEB)	B	Dividend	M	T	Buy	08/02/22	M		
121. - Vanguard Mid Cap ETF (VO)	A	Dividend	J	T	Buy	10/05/22	J		
122.					Buy (add'l)	10/11/22	J		
123. PNC RETIREMENT ACCOUNT (H)									
124. - Baird Aggregate Bond Fd (BAGIX)	C	Dividend	M	T	Sold (part)	10/13/22	K		
125.					Sold (part)	10/27/22	J		
126. - Fidelity Money Market Fund (FIGXX)	A	Dividend	J	T					
127. - TRowe Price New Horizons (PRJIX)	A	Dividend	J	T	Sold (part)	05/10/22	J		
128. - Vanguard FTSE Emerging (VWO)	A	Dividend	J	T	Sold (part)	10/14/22	J		
129. - Vanguard Intermediate Term Bond (VFIDX)	C	Dividend	L	T	Sold (part)	06/14/22	L		
130. - Vanguard Interrrnational Value Fd (VTRIX)	A	Dividend	K	T					
131. - Dodge & Cox Income Fd #147 (DODIX)	D	Dividend	M	T	Sold (part)	10/13/22	K		
132.					Sold (part)	10/27/22	J		
133. - Harbor Large Cap Value Fd (HNLVX)	C	Dividend	L	T					
134. - Principal Mid Cap Value Fd - R6 (PMAQX)	A	Dividend	K	T					
135. - Touchstone Mid Cap Growth - Inst (TEGIX) n/k/a (TFGRX)	A	Dividend	K	T					
136. - Western Asset Core Plus Bond Fd (WAPSX)	B	Dividend			Sold	05/10/22	M		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. - Wisdomtree US Quality Dividend ETF (DGRW)	A	Dividend	K	T					
138. - Oakmark Interrnational Small Cap (OAZEX)		None			Sold	10/13/22	J		
139. - PGIM High Yield Fund Class R6 (PHYQX)	D	Dividend	L	T	Buy (add'l)	05/10/22	K		
140.					Sold (part)	10/13/22	K		
141. - Polen Growth Fund - Inst (POLIX)		None			Sold	05/10/22	K		
142. - Invesco QQQ Trust ETF (QQQ)	A	Dividend	K	T					
143. - Touchstone Sands Emerg Gr Fd #565 (TSEGX) n/k/a (TSRMX)	A	Dividend	J	T					
144. - Vanguard Real Estate ETF (VNQ)	A	Dividend	J	T	Sold (part)	05/11/22	J	A	
145. - Wasatch International Opportunities Fd (WIIOX)		None			Sold	10/13/22	J		
146. - TRowe Price Inst Floating Rate #430 (RPIFX)	D	Dividend	L	T	Buy (add'l)	05/10/22	K		
147.					Buy (add'l)	06/14/22	K		
148.					Sold (part)	10/27/22	L		
149. - Blackrock Core Bond Portfolio #332 (BFMCX)	C	Dividend	M	T	Sold (part)	10/13/22	K		
150.					Sold (part)	10/27/22	J		
151. - Edgewood Growth Fund Cl Ins (EGFIX)		None			Sold	06/14/22	K		
152. - AMG GW&K SC Core - I (GWEIX)	A	Dividend	J	T					
153. - Seafarer Overseas Growth & Income Inst CL #11602 (SIGIX)	A	Dividend	K	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154. - IShares Core MSCI EAFE ETF (IEFA)	A	Dividend	K	T	Sold (part)	10/14/22	J		
155. - IShares Core S&P 500 ETF (IVV)	A	Dividend	L	T					
156. - MFS Value Fund (MEIKX)	B	Dividend	K	T					
157. - Spyglass Growth Fund Inst (SPYGX)		None			Sold	05/10/22	J		
158. - WCM Focused Intl Growth Ins (WCMIX)	A	Dividend	K	T					
159. - American Century Small Cap Value - Y (ASVYX)	A	Dividend	J	T	Buy	05/10/22	J		
160. - Jensen Quality Growth - Y (JENYX)	B	Dividend	L	T	Buy	05/10/22	K		
161.					Buy (add'l)	06/14/22	K		
162. - Vanguard Short Term Invmt Grade Fd (VFSUX)	B	Dividend	M	T	Buy	05/10/22	M		
163.					Buy (add'l)	06/14/22	K		
164. - Allspring Special Mid Cap Value Fd (WFPRX)	B	Dividend	K	T	Buy	05/10/22	J		
165. - Vanguard Ultra-Short Term Bond Fd (VUSFX)	A	Dividend	M	T	Buy	10/13/22	M		
166. - USA Treasury Notes 2.5% due 8/15/23 Cusip #912828VS6		None	K	T	Buy	10/27/22	K		
167. - USA Treasury Notes 2.875% due 9/30/23 Cusip #9128285D8		None	K	T	Buy	10/27/22	K		
168. Croation Fraternal Union Whole Life Insurance Policy	A	Dividend	J	T					
169. Crown Life Insurance Guaranteed Universal "W"	A	Interest	J	T					
170. Crown Life Insurance Guaranteed Universall "H"	A	Interest	J	T					

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171.	First Commonwealth Financial Corporation (FCF)	B	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Baxter, Susan P.	03/31/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan P. Baxter

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544