AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
CARLOS	T	BEA	
*5. Title	*6. District/Court/Unit		*7. Circuit
SENIOR CIRCUIT JUDGE	U.S. COURT OF APPEALS		09
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	04/11/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
03/01/2023		03/31/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. LILLY ELI & CO UNSOLICITED	Buy	03/01/2023	03/02/2023	$1,000 - $15,000
2. ADVANCE AUTO PARTS INC UNSOLICITED	Sold	03/02/2023	03/03/2023	$1,000 - $15,000
3. ADVANCE AUTO PARTS INC UNSOLICITED	Sold	03/03/2023	03/04/2023	$1,000 - $15,000
4. LILLY ELI & CO UNSOLICITED	Buy	03/06/2023	03/07/2023	$1,000 - $15,000
5. ADVANCE AUTO PARTS INC UNSOLICITED	Sold	03/08/2023	03/09/2023	$1,000 - $15,000
6. ADVANCE AUTO PARTS INC UNSOLICITED	Sold	03/14/2023	03/15/2023	$1,000 - $15,000
7. ADVANCE AUTO PARTS INC UNSOLICITED	Sold	03/17/2023	03/18/2023	$1,000 - $15,000
8. ADVANCE AUTO PARTS INC UNSOLICITED	Sold	03/23/2023	03/24/2023	$1,000 - $15,000
9. ADVANCE AUTO PARTS INC UNSOLICITED	Sold	03/24/2023	03/25/2023	$1,000 - $15,000
10. DEXCOM INC UNSOLICITED	Sold	03/28/2023	03/29/2023	$1,000 - $15,000
11. THERMO FISHER SCIENTIFIC INC UNSOLICITED	Sold	03/30/2023	03/31/2023	$1,000 - $15,000
12. LILLY ELI & CO UNSOLICITED	Buy	03/01/2023	03/02/2023	$1,000 - $15,000
13. ADVANCE AUTO PARTS INC UNSOLICITED	Sold	03/02/2023	03/03/2023	$1,000 - $15,000
14. ADVANCE AUTO PARTS INC UNSOLICITED	Sold	03/03/2023	03/04/2023	$1,000 - $15,000
15. LILLY ELI & CO UNSOLICITED	Buy	03/06/2023	03/07/2023	$1,000 - $15,000

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First Name CARLOS	Middle Initial T	Last Name BEA	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. ADVANCE AUTO PARTS INC	Sold	03/08/2023	03/09/2023	$1,000 - $15,000
17. ADVANCE AUTO PARTS INC	Sold	03/14/2023	03/15/2023	$1,000 - $15,000
18. ADVANCE AUTO PARTS INC	Sold	03/17/2023	03/18/2023	$1,000 - $15,000
19. ADVANCE AUTO PARTS INC	Sold	03/23/2023	03/24/2023	$1,000 - $15,000
20. ADVANCE AUTO PARTS INC	Sold	03/24/2023	03/25/2023	$1,000 - $15,000
21. THERMO FISHER SCIENTIFIC INC	Sold	03/30/2023	03/31/2023	$1,000 - $15,000
22. AMERICOLD REALTY TRUST INC	Sold	03/02/2023	03/03/2023	$1,000 - $15,000
23. CATHAY GENL BANCORP UNSOLICI	Sold	03/16/2023	03/17/2023	$1,000 - $15,000
24. BANK OF HAWAII CORP UNSOLICITE	Sold	03/24/2023	03/25/2023	$1,000 - $15,000
25. LINCOLN ELEC HOLDINGS NEW	Sold	03/24/2023	03/25/2023	$1,000 - $15,000
26. SCHWAB CHARLES CORP NEW	Buy	03/15/2023	03/16/2023	$15,001 - $50,000
27. CALIFORNIA ST PUB WKS	Sold	03/01/2023	03/02/2023	$15,001 - $50,000
28. BROOKFIELD ASSET MGMT LTD CL	Sold	03/21/2023	03/22/2023	$1,000 - $15,000
29. NIDEC CORP ADR UNSOLICITED	Sold	03/21/2023	03/22/2023	$1,000 - $15,000
30. NU HLDGS LTD CL A UNSOLICITED	Buy	03/21/2023	03/22/2023	$1,000 - $15,000
31. TC ENERGY CORP CAD UNSOLICITE	Sold	03/21/2023	03/22/2023	$1,000 - $15,000
32. TFI INTL INC CAD UNSOLICITED	Buy	03/21/2023	03/22/2023	$1,000 - $15,000
33. MACQUARIE GROUP LTD	Sold	03/22/2023	03/23/2023	$1,000 - $15,000
34. TDK CORP ADR JAPAN ADR	Buy	03/22/2023	03/23/2023	$1,000 - $15,000
35. ATLASSIAN CORP CL A UNSOLICITE	Sold	03/31/2023	04/01/2023	$1,000 - $15,000
36. SHOPIFY INC CL A UNSOLICITED	Buy	03/31/2023	04/01/2023	$1,000 - $15,000
37. STMICROELECTRONICS N.V EUR	Sold	03/31/2023	04/01/2023	$1,000 - $15,000
38. TEVA PHARMACEUTICALS IND	Buy	03/31/2023	04/01/2023	$15,001 - $50,000
39. SHISEIDO CO LTD	Sold	03/21/2023	03/22/2023	$1,000 - $15,000
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A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name CARLOS	Middle Initial T	Last name BEA	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ CARLOS T BEA

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544