AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Beaton, Benjamin J.	US District Court, Western District of Kentucky	10/29/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
601 W. Broadway Louisville, KY 40202		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee, Board Secretary	Centre College of Kentucky
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	10/31/2004	Public Pension funded by Disclosing Party via salary deferral; Kentucky Employee Retirement Systems
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2024	Cincinnati Children's Hospital
2.	2024	Duke University
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federalist Society	02/11/24-02/12/24	Chicago, IL	Educational Speaking Engagement	Travel & Lodging Expenses
2.	Federalist Society	03/08/24-03/10/24	Cambridge, MA	Educational Speaking Engagement	Travel & Lodging Expenses
3.	Rodel Foundation	04/04/24-04/07/24	San Juan, PR	Non-FJC Seminar	Travel & Lodging Expenses
4.	Federalist Society	09/06/24-09/18/24	New Haven, CT	Educational Speaking Engagement	Travel & Lodging Expenses
5.	Federalist Society	10/25/24-10/25/24	Frankfort, KY	Non-FJC Seminar	Travel & Lodging Expenses

6.	Federalist Society	11/14/24-11/15/24	Washington, DC	Non-FJC Seminar	Travel & Lodging Expenses
7.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Beaton, Benjamin J.	10/29/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. CCHMC Retirement Plan (H)									
2. Vanguard Tgt Retire 2045	D	Dividend	N	T	Buy (add'l)	12/31/24	K		
3. Roth IRA #1 (H)									
4. Vanguard FTSE Developed Markets	A	Dividend	J	T					
5. Vanguard Extended Market ETF	A	Dividend	J	T					
6. Vanguard S&P 500 ETF	A	Dividend	J	T					
7. SPDR IS Emerging Mkts ETF	A	Dividend	J	T					
8. SPDR Portfolio S&P 500 ETF		None			Sold	01/06/24	J	A	
9. Roth IRA #2 (H)									
10. Vanguard FTSE Developed Markets	A	Dividend	J	T	Buy (add'l)	02/15/24	J		
11. Vanguard Extended Market ETF	A	Dividend	J	T					
12. Vanguard S&P 500 ETF	A	Dividend	K	T					
13. SPDR IS Emerging Mkts ETF	A	Dividend	J	T					
14. SPDR Portfolio S&P 500 ETF	A	Dividend	J	T	Buy	01/03/24	J		
15.					Sold (part)	11/12/24	J	A	
16. Citibank Checking Account		None			Closed	05/03/24	J		
17. Fifth Third Checking Account	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Beaton, Benjamin J.	10/29/2025

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. HealthEquity HSA	A	Interest	J	T					
19. 529 Plan #1 (H)									
20. Vanguard 500 Index		None	K	T					
21. Vanguard Mid-Cap Index		None	J	T					
22. Vanguard Small-Cap Index		None	K	T					
23. Vanguard Totl Int Stk Index		None	K	T					
24. Nevada Short Term Reserves Fund		None	L	T					
25. 529 Plan #2 (H)									
26. Vanguard Mid-Cap Index		None	J	T					
27. Vanguard Small-Cap Index		None	K	T					
28. Vanguard Totl Int Stk Index		None	K	T					
29. Vanguard Total Bond Market II Index		None	J	T					
30. Nevada Short Term Reserves Fund		None	J	T					
31. Vanguard Total International Bond Index Fund		None	J	T					
32. Vanguard ST Inflation-Protected Securities Index		None	J	T					
33. Vanguard 500 Index		None	K	T					
34. 529 Plan #3 (H)									

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35. Vanguard Mid-Cap Index		None	J	T					
36. Vanguard Small-Cap Index		None	J	T					
37. Vanguard Totl Int Stk Index		None	K	T					
38. Vanguard Total Bond Market II Index		None	J	T					
39. Nevada Short Term Reserves Fund		None	J	T					
40. Vanguard Total International Bond Index Fund		None	J	T					
41. Vanguard ST Inflation-Protected Securities Index		None	J	T					
42. Vanguard 500 Index		None	K	T					
43. 529 Plan #4 (H)									
44. Vanguard Mid-Cap Index		None	J	T	Buy (add'l)	05/14/24	J		
45. Vanguard Small-Cap Index		None	J	T	Buy (add'l)	05/14/24	J		
46. Vanguard Totl Int Stk Index		None	J	T	Buy (add'l)	05/14/24	J		
47. Vanguard Total Bond Market II Index		None	J	T	Buy (add'l)	05/14/24	J		
48. Nevada Short Term Reserves Fund		None	J	T	Buy (add'l)	05/14/24	J		
49. Vanguard Total International Bond Index Fund		None	J	T	Buy (add'l)	05/14/24	J		
50. Vanguard ST Inflation-Protected Securities Index		None	J	T	Buy (add'l)	05/14/24	J		
51. Vanguard 500 Index		None	J	T	Buy (add'l)	05/14/24	J		

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52. Schwab Brokerage (H)									
53. Cash (held in brokerage account)	A	Interest	J	T					
54. iShares Trust Core US AGGBD ETF	A	Dividend			Sold (part)	06/18/24	K	B	
55.					Sold	08/14/24	J	A	
56. Prime Cap Odyssey Aggressive Growth	D	Dividend	L	T	Sold (part)	08/14/24	K	B	
57.					Sold (part)	12/10/24	J	A	
58. Prime Cap Odyssey Stock	C	Dividend	K	T	Sold (part)	12/10/24	J	A	
59. Vanguard Mid-Cap Value Index Fd Adm Shs	B	Dividend	L	T					
60. Vanguard Small-Cap Growth Index Fd Adm Shs	A	Dividend	M	T					
61. Vanguard Mid-Cap Growth Index Fd	A	Dividend	M	T					
62. Vanguard Capital Opportunity Fd Adm Shs	D	Dividend	M	T	Sold (part)	12/10/24	K	B	
63. Vanguard Federal Money Market	A	Dividend			Sold	08/14/24	J	A	
64. Vanguard Short-Term Tax-Exempt Fd Adm Shs	C	Dividend	M	T					
65. IRA #1 (H)									
66. IShares MSCI USA Value	A	Dividend	K	T	Buy (add'l)	03/01/24	J		
67. Victoryshares US Small	A	Dividend	K	T					
68. IShares MSCI USA MOMNTUM	A	Dividend	K	T	Sold (part)	03/01/24	J	A	

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69. Avantis Emerging Markets	A	Dividend	K	T	Buy (add'l)	03/01/24	J		
70. Avantis Int'l Small	A	Dividend	J	T					
71. JPMRGN Divsf RT US Mid	A	Dividend	J	T	Buy (add'l)	03/01/24	J		
72. Hartford Multifactor Dvlpd	B	Dividend	K	T	Sold (part)	03/01/24	J	B	
73. IShares MSCI USA Quality	A	Dividend	K	T					
74. IShares MSCI USA Min Vol	A	Dividend	K	T					
75. IShares MSCI INTL Quality	A	Dividend	K	T	Buy	03/01/24	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This filing has been amended to properly disclose the underlying investment holdings of certain portfolio investment positions held in education savings accounts. These portfolio investments were originally disclosed as single investment positions. Each has been supplanted with that portfolio's underlying investment positions in this amended filing.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Benjamin J. Beaton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544