AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bell, Kenneth D.	U.S. District Court, Western District of North Carolina	04/25/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Charles R. Jonas Federal Building 401 W Trade St Suite 7200 Charlotte, NC 28202		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	[redacted]	[redacted]
2.	Member	Mezzanotte LLC
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2009	McGuireWoods LLC Retirement Plan with former law firm, managed
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Bell, Kenneth D.	04/25/2022

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Bell, Kenneth D.	04/25/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Bank of America - cash account	A	Interest	N	T					
2.	Wells Fargo - cash account	A	Interest	J	T					
3.	Mezanotte LLC	A	Dividend	J	U					
4.	Kure Corp	A	Distribution	J	T					
5.	AMV Holdings, LLC	A	Distribution	J	T					
6.	Rental Property #1 Oak Island, NC Purchased: 5/19/2019 $295,000	A	Rent	N	R					
7.	Prudential AST T. Rowe Price Growth Opps Portfolio	E	Dividend	M	T					
8.	Prudential AST Capital Growth Asset Allocation	E	Dividend	M	T					
9.	Prudential Secure Value Account	A	Dividend	K	T					
10.	Optum Bank Health Savings Account	A	Int./Div.	J	T					
11.	HSA Bank Health Savings Account	A	Int./Div.	K	T	Distributed (part)	12/31/21	J		
12.	Isodiol International, Inc.		None	J	T					
13.	Wells Fargo Fundamental Choice IRA #1 (H)									
14.	- Wells Fargo Standard Bank Cash	A	Int./Div.	L	T					
15.	- ETF IShares EDGE MSCI USA Quality Factor QUAL	C	Int./Div.	N	T	Sold (part)	01/26/21	J	A	
16.						Sold (part)	05/03/21	K	B	
17.						Sold (part)	10/19/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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Bell, Kenneth D.	04/25/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. - ETF IShares EDGE MSCI Min Vol USA USMV	B	Int./Div.	M	T	Sold (part)	01/26/21	M	D	
19. - ETF IShares EDGE MSCI VLUE	A	Int./Div.			Open	05/03/21	L		
20.					Closed	10/19/21	L	A	
21. - ETF Sector SPDR TR Technology Select XLK	A	Int./Div.	L	T	Sold (part)	01/26/21	J	A	
22.					Sold (part)	10/19/21	J	A	
23. - Alger Growth Small Cap Focus AGOZX	A	Int./Div.	L	T	Buy (add'l)	05/6/21	J		
24.					Sold (part)	01/26/21	J	A	
25.					Buy (add'l)	10/19/21	J		
26. Barings Funds Global Credit Income Opp	A	Int./Div.	K	T	Open	05/03/21	K		
27.					Buy (add'l)	10/19/21	J		
28. - Blackrock Technology Opportunities Fund BGSIX	A	Int./Div.	L	T	Buy (add'l)	01/26/21	K		
29.					Buy (add'l)	05/06/21	J		
30.					Sold (part)	10/19/21	J	A	
31. - Blackrock Health Sciences Opp Fund SHSSX	A	Int./Div.	L	T	Buy (add'l)	01/26/21	J		
32.					Sold (part)	10/19/21	L	C	
33. - Blackrock Total Return Fund MAHQX	B	Int./Div.	L	T	Buy (add'l)	01/26/21	J		
34.					Buy (add'l)	05/06/21	J		

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35.					Buy (add'l)	10/19/21	J		
36. - Calamos Invt TR NEW CNWIX	A	Int./Div.			Open	01/26/21	L		
37.					Buy (add'l)	05/06/21	J		
38.					Closed	10/19/21	L	A	
39. - Calamos Market Neutral Income Fund CMNIX	A	Int./Div.	K	T	Buy (add'l)	01/26/21	J		
40. - Carillon Ser TR Eagle Mid Cap Growth Fd HAGIX	A	Int./Div.	M	T	Sold (part)	01/26/21	J	A	
41.					Buy (add'l)	05/06/21	J		
42.					Sold (part)	10/19/21	J	A	
43. - Delaware Group Global & Intl Fds DEMIX	A	Int./Div.	L	T	Sold (part)	01/26/21	J	A	
44.					Buy (add'l)	05/06/21	J		
45.					Buy (add'l)	10/19/21	J		
46. - American Funds Bond Fund of America ABNFX	B	Int./Div.	L	T	Buy (add'l)	10/19/21	J		
47.					Buy (add'l)	01/26/21	J		
48.					Buy (add'l)	05/06/21	J		
49. - First Trust Rising	A	Int./Div.	M	T	Buy	10/19/21	M		
50. - PGIM Floating Rate	A	Int./Div.	K	T	Open	05/03/21	K		
51. - Franklin Invs Secs Tr Conv Secs Fund FCSZX	B	Int./Div.	L	T	Sold (part)	01/26/21	J	A	

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52.					Sold (part)	05/03/21	K	C	
53.					Sold (part)	10/19/21	J	A	
54. - Harbor Fund Small Cap Value Fd HASCX	A	Int./Div.	L	T	Buy (add'l)	01/26/21	K		
55.					Sold (part)	10/19/21	J	A	
56. - Invesco Balanced Risk Commodity Strategy BRCYX	A	Int./Div.	K	T	Buy (add'l)	01/26/21	K		
57.					Sold (part)	10/19/21	J	A	
58. - Legg Mason Funds Clearbridge Intl Growth LMGNX	A	Int./Div.	L	T	Sold (part)	01/26/21	J	A	
59.					Buy (add'l)	05/06/21	J		
60.					Buy (add'l)	10/19/21	J		
61. - Principal Spectrum PFD and Capital Securities Income Fund PPSIX	C	Int./Div.	L	T	Buy (add'l)	01/26/21	J		
62.					Sold (part)	05/03/21	L	A	
63. - Vanguard International VYMI	A	Int./Div.	L	T	Open	10/19/21	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Bell, Kenneth D.	04/25/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kenneth D. Bell

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544