AO 10
Rev. 1/2018

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bencivengo, Cathy A.	US District Court, California	10/31/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US District Judge, active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse 333 West Broadway San Diego, California 92101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member of Judicial Advisor Board	Federal Bar Association, San Diego Chapter
2.	Member of Judicial Advisor Board	Sedona Conference
3.	Vice President	Ninth Circuit District Judges Association
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Bencivengo, Cathy A.	10/31/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Thermo Fisher Scientific - Salary & Commission
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Bencivengo, Cathy A.	10/31/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bencivengo, Cathy A.	10/31/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #2 (Edward Jones) (H)									
2. -- Edward Jones Cash Reserves		None	L	T					
3. -- Columbia Small Cap Value II Cl 3	A	Dividend			Sold	12/27/21	K		
4. --Dodge & Cox Income Fund	A	Dividend			Sold	12/27/21	L		
5. -- Dodge & Cox Stock Fund	A	Dividend			Sold	12/27/21	L		
6. -- Am Fundamental Investors Cl F3	C	Dividend			Sold	12/27/21	L		
7. -- JPMorgan Core Bond Cl R6	A	Dividend	M	T	Buy (add'l)	12/27/21	M		
8. -- MFS Value Cl R6	A	Dividend			Sold	12/27/21	L		
9. -- Invesco Oppenheimer Intl Growth Cl R6	A	Dividend			Sold	12/27/21	K		
10. -- Invesco Comstock Cl R6	A	Dividend			Sold	12/27/21	K		
11. -- JPM Fed Money Market Inst Cl 1	A	Dividend			Sold	12/27/21	J		
12. -- Artisan Intl Value Cl	A	Dividend			Sold	03/05/21	K		
13. -- Am Europacific Growth Cl F3	A	Dividend			Sold	12/27/21	K		
14. -- T.Rowe Price Intl Discovery 1	A	Dividend			Sold	12/27/21	K		
15. -- Harbor Capital Appreciation Cl R	A	Dividend			Sold	12/27/21	K		
16. -- Primecap Odyssey Stock	A	Dividend			Sold	12/27/21	K		
17. -- Artisan Mid Cap Value Cl 1	A	Dividend			Sold	12/27/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. -- Brown Cap Small Co Cl	A	Dividend			Sold	12/27/21	K		
19. -- Causeway Intl Value Cl	A	Dividend			Sold	12/27/21	L		
20. -- Dfa US Small Cap Value Cl 1	A	Dividend			Sold	12/27/21	K		
21. -- Eaton Vance Atlanta Cap SMID Cl 1	A	Dividend			Sold	12/27/21	K		
22. -- PIMCO High Yield Cl	B	Dividend	K	T					
23. -- Pgim Jennision Mid Cap	A	Dividend			Sold	12/27/21	K		
24. --T Rowe Price Large Cap Growth	A	Dividend			Sold	12/27/21	L		
25. --T Rowe Price Intl Stock	C	Dividend			Sold	12/27/21	K		
26. -- Vanguard FTSE All-World Ex	B	Dividend			Buy	03/05/21	K		
27.					Sold	12/27/21	K		
28. -- Blackrock Mid Cap Growth Equity	A	Dividend	M	T	Buy	12/27/21	M		
29. --Franklin Dynatech	A	Dividend	N	T	Buy	12/27/21	N		
30. --John Hancock Small Cap Core	A	Dividend	L	T	Buy	12/27/21	L		
31. --MFS Institutional International Equity	A	Dividend	N	T	Buy	12/27/21	N		
32. 401K #2 (Thermo Fisher) (H)									
33. -- Dodge & Cox Stock Fund	F	Dividend	P1	T					
34. -- Thermo Fisher Scientific Inc.	D	Dividend	L	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. -- SSGA S&P 500 Index	E	Dividend	M	T					
36. -- Jennison Instl US Sm Cap Eq	F	Dividend	O	T					
37. Brokerage Acct. #2 (H)									
38. -- Fundamental Investors	D	Dividend	L	T					
39. -- New World Growth Fund of America	C	Dividend	L	T					
40. J.T. Account (EJ) (H)									
41. -- Palomar Pomerado Health Muni Bonds		None			Sold	08/03/20	K		
42. -- Temple City California Unifed School District Muni Bonds		None	K	T					
43. -- Growth Fund of America	D	Dividend	L	T	Buy (add'l)	12/20/21	K		
44. -- Invesco Energy Fund	A	Dividend			Sold	12/16/21	L		
45. --Invesco Discovery Mid Cap Growth	A	Dividend	J	T	Buy	12/17/21	J		
46. --Russell 1000 Growth Fund	A	Dividend	L	T	Buy	12/21/21	L		
47. --S&P Midcap 400 Growth Fund	A	Dividend	J	T	Buy	12/21/21	J		
48. --S&P NA Tech Multimedia Networking Fund	A	Dividend	J	T	Buy	12/21/21	J		
49. --S&P Smallcap 600 Growth Fund	A	Dividend	J	T	Buy	12/21/21	J		
50. Charles Schwab Money Market Account	A	Interest	L	T					
51. Brokerage Acct. #4 (Schwab) (H)									

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52. --Columbia Seligman Comm & Info	D	Dividend	K	T					
53. -- Matthews Asian Growth & Inc	B	Dividend	J	T					
54. -- Victory S&P 500 Index	D	Dividend	M	T					
55. --Oakmark Equity & Income FD	B	Dividend	K	T					
56. -- Parnassus Core Equity FD	D	Dividend	K	T					
57. -- Permanent Portfolio	B	Dividend	J	T					
58. -- Reynolds Blue Chip	D	Dividend	L	T					
59. -- AMG Yacktman Focused FD	B	Dividend	L	T					
60. IRA #1 (H)									
61. -- VIAVI Solutions Common Stock	A	Dividend	J	T					
62. -- Fidelity Intl Discovery	B	Dividend	J	T					
63. IRA #3 (Schwab) (H)									
64. -- Deposit Account/Cash Reserves		None	J	T					
65. -- Parnassus Equity Income	C	Dividend	L	T	Redeemed (part)	12/28/21	J		
66. Chase	A	Interest	N	T					
67.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts
Line 41 - Palomar Pomerado Municipal Bonds: I inadvertantly overlooked the sale of these bonds in my report last year. These bonds matured on 08/01/20 and were sold on 08/03/20. The cash from the sale was held in the account and applied toward the 12/21/21 purchase of the mutual funds identified at lines 46-49.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cathy A. Bencivengo

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544