AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bencivengo, Cathy A.	US District Court, California	4/24/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US District Judge, active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 333 West Broadway San Diego, California 92101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member of Judicial Advisor Board	Federal Bar Association, San Diego Chapter
2.	Member of Judicial Advisor Board	Sedona Conference
3.	President	Ninth Circuit District Judges Association
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Thermo Fisher Scientific - Salary & Commission
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Sedona Conference	01/08/2023 to 01/10/2023	London, England	Judicial Panelist at International Patent Law Conference	air and local transportation, lodging, meals
2.	World Intellectual Property Organization	12/05/2023 to 12/06/2023	Oakland, CA	Judicial Panelist at International Patent Law Conference	air and local transportation, lodging, meals
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bencivengo, Cathy A.	4/24/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. IRA #2 (Edward Jones) (H)									
2. -- Edward Jones Cash Reserves		None	J	T					
3. -- JPMorgan Core Bond Cl R6	A	Dividend	M	T					
4. -- PIMCO High Yield Cl	A	Dividend	K	T					
5. -- Blackrock Mid Cap Growth Equity	B	Dividend	L	T	Sold (part)	05/23/23	J		
6. --Franklin Dynatech	F	Dividend	N	T	Sold (part)	12/11/23	J		
7. --John Hancock Small Cap Core	E	Dividend	L	T	Buy (add'l)	05/23/23	J		
8.					Buy (add'l)	09/27/23	J		
9. --MFS Institutional International Equity	D	Dividend	N	T	Sold (part)	09/27/23	J		
10. 401K #2 (Thermo Fisher) (H)									
11. -- Dodge & Cox Stock Fund	G	Dividend	P1	T					
12. -- Thermo Fisher Scientific Inc.	A	Dividend	L	T					
13. -- SSGA S&P 500 Index	A	Dividend	M	T					
14. -- Jennison Instl US Sm Cap Eq	A	Dividend	O	T					
15. Brokerage Acct. #2 (H)									
16. -- Fundamental Investors	D	Dividend	L	T					
17. -- New World Growth Fund of America	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. [redacted]. Account (EJ) (H)									
19. -- Temple City California Unifed School District Muni Bonds		None			Sold	08/01/23	K		
20. -- Growth Fund of America	A	Dividend	L	T	Buy (add'l)	08/31/23	K		
21. --Invesco Discovery Mid Cap Growth	A	Dividend	J	T					
22. --Russell 1000 Growth Fund	D	Dividend	K	T					
23. --S&P Midcap 400 Growth Fund	A	Dividend	J	T					
24. --S&P NA Tech Multimedia Networking Fund	A	Dividend	J	T					
25. --S&P Smallcap 600 Growth Fund	A	Dividend	J	T					
26. --Wells Fargo CD	A	Int./Div.	L	T	Buy	09/25/23	L		
27. --Toronto Dominion Corp Bond	A	Int./Div.	L	T	Buy	09/25/23	L		
28. Charles Schwab Money Market Account	A	Interest	K	T					
29. Brokerage Acct. #4 (Schwab) (H)									
30. --Columbia Seligman Comm & Info	A	Dividend	K	T					
31. -- Matthews Asian Growth & Inc	A	Dividend	J	T					
32. -- Victory S&P 500 Index	A	Dividend	M	T					
33. --Oakmark Equity & Income FD	A	Dividend	K	T					
34. -- Parnassus Core Equity FD	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)
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3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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35. -- Permanent Portfolio	A	Dividend	J	T					
36. -- Reynolds Blue Chip	A	Dividend	L	T					
37. -- AMG Yacktman Focused FD	A	Dividend	L	T					
38. IRA #1 (H)									
39. -- VIAVI Solutions Common Stock	A	Dividend	J	T					
40. -- Fidelity Intl Discovery	A	Dividend	J	T					
41. IRA #3 (Schwab) (H)									
42. -- Deposit Account/Cash Reserves		None	J	T					
43. -- Parnassus Equity Income	A	Dividend	K	T	Redeemed (part)	12/28/23	J		
44. Chase	A	Interest	N	T					
45.									

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts

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Bencivengo, Cathy A.	4/24/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cathy A. Bencivengo

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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