AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bennett, Alfred H.	SOUTHERN DISTRICT OF TEXAS	08/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
UNITED STATES DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	

7. Chambers or Office Address

BOB CASEY COURTHOUSE
515 RUSK ST.
HOUSTON, TX 77002

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	BOARD MEMBER	UTLAW SCHOOL FOUNDATION
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	[redacted]
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bennett, Alfred H.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Bank of America	A	Interest	J	T					
2.	Wells Fargo	A	Interest	M	T					
3.	American Funds Capitol Income Builder	A	Dividend	K	T					
4.	American Funds Capitol World Growth and Income Fund	A	Dividend	K	T					
5.	American Funds EuroPacific Growth Fund	A	Dividend	J	T					
6.	American Funds Fundamental Investors	A	Dividend	K	T					
7.	American Funds High Index Income	A	Dividend	J	T					
8.	Investment Co of America Fund (American Funds)	A	Dividend	L	T	Sold (part)	10/25/23	L	C	
9.	American Funds New Perspective Fund	A	Dividend	J	T					
10.	American Fund SmallCap World Fund	A	Dividend	K	T					
11.	Growth Fund of America (American Funds)	A	Dividend	K	T	Sold (part)	10/25/23	M	C	
12.	Alphabet Inc.		None	K	T					
13.	AT&T	A	Dividend	J	T					
14.	Black Rock Equity Dividend 529 option		None	J	T					
15.	Black Rock Growth Target Risk 529 option		None	J	T					
16.	Black Rock Health Sciences	A	Dividend	J	T					
17.	Bond Fund of America	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bennett, Alfred H.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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18.	College America 529 Capital Income Builder		None	K	T					
19.	College America 529 Capital World Growth		None	L	T					
20.	College America 529 Investment Co of America		None	L	T					
21.	College America 529 New Perspective Fund		None	L	T					
22.	College America 529 Growth Fund of America		None	M	T					
23.	Columbia Seligman Communication and Information		None	J	T	Sold (part)	08/08/23	J	A	
24.	Consumer Discretionary Select Sector SPDR	A	Dividend	J	T					
25.	Delaware High Yield Opportunity Fund	A	Dividend	J	T					
26.	Delaware Ivy Science and Technology		None	J	T					
27.	Fidelity Advisor Health Care	A	Dividend	J	T					
28.	John Hancock Preferred Income	A	Dividend			Sold	06/16/23	J	A	
29.	LyondellBasell (stock)	A	Dividend	J	T					
30.	McDonald's (stock)	A	Dividend	J	T					
31.	Merck (stock)	A	Dividend	J	T					
32.	Microsoft (stock)	A	Dividend	K	T					
33.	Pimco Long Term US Government Fund	A	Dividend	J	T					
34.	Pimco Real Return Fund	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)
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35. Pimco Total Return Fund	B	Dividend	K	T					
36. Principal Mid Cap Instl Cl		None	J	T					
37. Invesco QQQ Trust Series 1 (ETF)		None	J	T	Sold (part)	08/08/23	J	A	
38. Sector Technology Select SPDR	A	Dividend	J	T					
39. SPDR S&P 500 ETF	A	Dividend	J	T					
40. Starbucks (stock)	A	Dividend	J	T					
41. Vanguard S&P 500 Index ETF	A	Dividend	J	T					
42. Insured Cash Account Manufactures and Traders Trust Goldman Sachs Bank	A	Interest			Closed	10/25/23	J	A	
43. TransAmerica Liberty 2008 Class L Variable Annuity (H)									
44. - US Balance Asset Allocation		None	N	T					
45. - Global Balance Asset Allocation		None	N	T					
46. Rental property #1, Houston, TX	E	Rent	N	W					
47. [redacted]		None	P2	U					
48. Series EE Savings Bonds	A	Interest	J	T					
49. Allstate Universal Life Insurance		None	K	T					
50. NY Variable Life Insurance - capital apppreciation option		None	K	T					

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Bennett, Alfred H.	08/13/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Bennett, Alfred H.	08/13/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alfred H. Bennett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544