AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Benton, William D.	U.S. Court of Appeals, 8th Cir	05/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 400 E. 9th St. Kansas City MO 64106		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Freedom's Frontier National Heritage Area, Inc.
2.	Trustee	Trust #1
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	State of Missouri - judicial retirement	$102,139.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Liberty University School of Law	September 15-17, 2023	Lynchburg VA	judge, moot court	Transportation, meals
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Benton, William D.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Equifax Inc. common stock	A	Dividend	M	T	Sold (part)	12/27/23	K	E	
2.	US Bancorp common stock	A	Dividend	J	T					
3.	MOST 529 plan fund #1-Vanguard Aggressive Growth Portfolio	A	Dividend	J	T					
4.	MOST 529 plan fund #2-Vanguard Interest Accumulat'n Portfoli	C	Interest	M	T					
5.	AIG American General universal life policy	A	Interest	K	T					
6.	IRA # 1									
7.	- Wells Fargo Bank deposit program	A	Interest	J	T					
8.	- Invesco Opt Yld ETF Div Com Strat K-1 PDBC	A	Dividend			Sold	09/29/23	J		
9.	-Ishares High Yield Corp Bond ETF USHY	A	Dividend	J	T					
10.	-Ishares Core S&P 500 Index Fd IVV	A	Dividend	J	T					
11.	-Schwab Strategic Tr ETF Fun'dl Em Mkt Large Co Index FNDE	A	Dividend	J	T	Buy	09/29/23	J		
12.	-Schwab Strategic Tr ETF Fun'dl Intl Large Co Index FNDF	A	Dividend	J	T					
13.	- SPDR Portfolio ETF Int Term SPIB	A	Dividend	J	T	Buy	09/29/23	J		
14.	-Vanguard FTSE Dev Markets ETF VEA	A	Dividend	K	T					
15.	-Vanguard Intl Equity Index Fds FTSE Emerging Mkts ETF VWO	A	Dividend	J	T					
16.	-Vanguard Mid Cap ETF VO	A	Dividend	J	T					
17.	-Vanguard REIT VNQ	A	Distribution			Sold	09/29/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -Vanguard Scot ETF I-Term Tr Ind VGIT	A	Dividend	J	T					
19. -Vanguard Short Term ETF BSV	A	Dividend	J	T					
20. -Vanguard Small Cap Valu ETF VBR	A	Dividend	J	T					
21. -Vanguard Small Cap VB	A	Dividend	J	T					
22. -Vanguard Total Bond Market BND	A	Interest	J	T					
23. -Vanguard Intermediate Term Corp Bond ET VCIT	A	Interest			Sold	09/29/23	J		
24. -Vanguard Mid-Cap Value Index Fund VOE	A	Dividend			Sold	09/29/23	J	A	
25. -Vanguard Total Stock Market ETF VTI	A	Dividend	K	T					
26. -Vanguard Value ETF VTV	A	Dividend	J	T					
27. IRA # 2									
28. -Brown Adv Small Cap Growth Fd BAFSX	A	Dividend	J	T					
29. -Bond Fund of Amer Class F2 ABNFX	A	Interest	K	T					
30. -Goldman Sachs Tr Finl Sq Treas Instrs Fd Instl Class FTIXX	A	Interest	J	T					
31. -Dodge & Cox Stk Fd DODGX	A	Dividend	J	T					
32. -American Funds Growth Fund of Am Class F2 GFFFX	A	Dividend	J	T					
33. -American Funds Washington Mutual Fd F2 WMFFX	A	Dividend	J	T	Sold (part)	10/13/23	J		
34. -John Hancock Funds III Disciplined Value Mid Cap Fd Class 1 JVMIX	A	Dividend	J	T					

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35. -American EuroPacific Growth FU Class F2 AEPFX	A	Dividend	J	T					
36. -Janus Funds Janus Perkins Small Cap Value Fd Cl1 JSCOX	A	Dividend	J	T					
37. -Jenson Portfolio Inc. Class 1 SHS JENIX	A	Dividend	J	T					
38. -MFS Mid-Cap Growth Fund-1 OTCIX	A	Dividend	J	T					
39. - MFS Ser Tr X Emerging Mtks Debt FD class 1 MEDIX	A	Dividend	J	T					
40. -Metropolitan West Fds Total Return Bd Fd Cla MWTIX	A	Dividend			Sold	10/17/23	J		
41. Allspring Emerging Mrkts Eq Fd Cl Inst (formerly "Wells Fargo") EMGNX	A	Dividend	J	T					
42. -PIMCO Com Plus Strat Fd Cl Inst PCLIX	A	Dividend	J	T					
43. -PIMCO Fds Pac Invt PTTRX	A	Dividend	J	T					
44. -PGIM High Yield C/Z PHYZX	A	Dividend	J	T					
45. -PIMCO Com. Real Return PCRIX	A	Dividend	J	T					
46. Pfizer Inc. common stock	A	Dividend	J	T					
47. Federated Kaufmann Large Cap FD class C - KLCCX	D	Distribution	K	T					
48. American Balanced Fund Cl A	B	Distribution	L	T					
49. Capital Income Builder Fund Cl A	C	Dividend	L	T					
50. Income Fund of America Fund Cl A	D	Distribution	M	T					
51. American Funds Global Balanced Fund Cl A	B	Dividend	K	T					

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52. Abbvie Inc ABBV	A	Dividend			Sold	11/30/23	J		
53. Apple Inc AAPL	A	Dividend	J	T	Sold (part)	02/07/23	J	C	
54. Berkshire Hathaway ser B new BRK/B		None	J	T	Buy	07/20/23	J		
55. Deere & Co DE	A	Dividend			Sold	04/20/23	J	A	
56. Eaton Corp Plc ETN	A	Dividend	J	T					
57. Eli Lilly & Co LLY	A	Dividend	J	T					
58. Energy Select Sector SPDR ETF XLE	A	Dividend	J	T					
59. Enphase Energy Inc. ENPH	A	Dividend			Sold	05/26/23	J		
60. Exxon Mobil Corp XOM		None	J	T	Buy	11/30/23	J		
61. Goldman Sachs Group Inc. GS	A	Dividend			Sold	11/30/23	J		
62. Hess Corp HES	A	Dividend			Sold	09/28/23	J	B	
63. Industrial Sel ETF Sector SPDR XLI	A	Dividend	K	T	Buy	04/19/23	J		
64. IShares ETF Russell 2000 IWM	A	Dividend	K	T	Buy (add'l)	12/26/23	K		
65. IShares ETF 10-20 Year Treas Bd TLH	A	Interest	K	T	Buy	09/26/23	K		
66. IShares Core MSCI EAFE ETF IEFA	A	Dividend	L	T	Buy (add'l)	12/26/23	K		
67. IShares Core MSCI ETF Emerging Markets ETF IEMG	A	Dividend	K	T	Buy (add'l)	12/26/23	J		
68. IShares Iboxx ETF High Yield Corp Bond HYG	A	Dividend	J	T	Buy (add'l)	12/26/23	J		

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69.	IShares JP Morgan USD Emerging Markets Bond EMB		None	K	T	Buy	12/22/23	K		
70.	IShares MBS ETF MBB	A	Dividend	J	T	Buy (add'l)	12/22/23	J		
71.	IShares MSCI ETF Australia EWA	A	Dividend			Sold	07/19/23	J		
72.	IShares MSCI ETF Switzerland EWL	A	Dividend			Sold	09/27/23	J		
73.	IShares MSCI ETF Canada EWC	A	Dividend			Sold	09/27/23	J		
74.	IShares MSCI ETF France EWQ	A	Dividend			Sold	11/30/23	J	A	
75.	IShares Russell Midcap Value IWS	A	Dividend			Sold	11/21/23	J		
76.	IShares Russell Midcap IWR	A	Dividend	L	T	Buy (add'l)	12/26/23	J		
77.	IShares S&P 500 Value ETF IVE	A	Dividend	K	T	Buy (add'l)	12/27/23	J		
78.	IShares Tr MSCI U.K. ETF new EWU	A	Dividend	J	T					
79.	Microsoft Corp MSFT	A	Dividend	J	T	Buy	09/26/23	J		
80.	Lowe's Companies Inc. LOW	A	Dividend	J	T					
81.	MetLife Inc. MET	A	Dividend			Sold	05/26/23	J		
82.	Morgan Stanley & Co. MS	A	Dividend	J	T	Buy (add'l)	12/26/23	J		
83.	Invesco TR 11 Pfd (formerly Powershares ET Preferred Portfolio) PGX	A	Dividend	J	T	Buy (add'l)	12/22/23	J		
84.	Invesco Optimium Yld ETF Diverified Commodity Strategy # K-1 PDBC	B	Dividend	K	T	Buy (add'l)	12/26/23	K		
85.	Nucor Corp. NUE	A	Dividend	J	T					

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86. Pepsico Inc PEP	A	Dividend	J	T					
87. Pioneer Nat Res Co PXD	A	Dividend			Sold	04/20/23	J	A	
88. Charles Schwab Corp New SCHW	A	Dividend			Sold	04/20/23	J		
89. Select Spdr TR ETF Tech Select Sector XLK	A	Dividend	L	T	Buy (add'l)	12/26/23	J		
90. Select Sector Spdr Tr ETF Financial XLF	A	Dividend	J	T	Buy	04/19/23	J		
91. Select Sector Spdr T ETF Utilities XLU	A	Dividend	J	T	Buy (add'l)	12/22/23	J		
92. Select Sector Spdr T ETF Consumer Staples XLP	A	Dividend	J	T	Buy (add'l)	12/22/23	J		
93. Select Sector Spdr F ETF Materials XLB	A	Dividend	J	T	Buy (add'l)	12/27/23	J		
94. Select Sector Spdr F ETF Health Care XLV	A	Dividend	J	T	Buy (add'l)	12/26/23	J		
95. Select Sector Spdr F ETF Consumer Discretionary XLY	A	Dividend	J	T	Buy (add'l)	12/26/23	J		
96. Select Sector Spdr F ETF Communications Svcs XLC	A	Dividend	K	T	Buy (add'l)	12/27/23	J		
97. SPDR S&P 500 Trust ETF SPY		None			Sold	02/17/23	J	A	
98. SPDR Ser Tr BB High Yield ETF JNK	B	Dividend	K	T	Buy (add'l)	12/22/23	J		
99. Tractor Supply Co TSCO	A	Dividend			Sold	05/26/23	J	A	
100. UnitedHealth Group Inc UNH	A	Dividend	J	T					
101. Vanguard Short Term ETF BSV	A	Dividend			Sold	09/27/23	J		
102. Vanguard Intermediate ETF Term Corp Bond ETF VCIT	A	Dividend	K	T	Buy (add'l)	12/27/23	J		

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Name of Person Reporting	Date of Report
Benton, William D.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103. Vanguard Intermediate Bond EFT BIV	A	Dividend	K	T	Buy (add'l)	12/26/23	J		
104. Vanguard Long Term Bond ETF BLV	A	Dividend			Sold	11/21/23	J		
105. Vanguard Short Term ETF Corp BD VCSH	A	Dividend			Sold	09/27/23	J		
106. Vanguard Short-Term Treasury Index ETF VGSH	A	Dividend	J	T	Buy (add'l)	05/25/23	J		
107. Vanguard Total Bond ETF Market BND	A	Dividend	K	T	Buy (add'l)	12/27/23	J		
108. Goldman Sachs Group Bonds	A	Interest	J	T					
109. Verizon Communications senior unsecured Bonds	A	Interest	J	T					
110. Gilead Sciences Inc senior unsecured Bonds	A	Interest	J	T					
111. Apple Inc senior unsecured Bonds	A	Interest	J	T					
112. Home Depot Inc senior unsecured Bonds	A	Interest	J	T	Buy (add'l)	12/27/23	J		
113. Cisco Systems Inc senior unsecured Bonds	A	Interest	J	T					
114. JP Morgan Chase senior unsecured Bonds	A	Interest	J	T					
115. Oracle Corp senior unsecured Bonds	A	Interest	J	T					
116. U.S. Treasury Bonds	B	Interest	K	T	Buy (add'l)	12/27/23	J		
117. Trust #1									
118. - Edward Jones money-market fund	A	Interest	J	T					
119. - American Balanced Fund Cl A	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Benton, William D.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. - Capital Income Builder Fund A	A	Dividend	K	T					
121. - Franklin Balanced Fund Cl A	A	Dividend	K	T					
122. - Income Fund of America Fund A	C	Dividend	K	T					
123. - American Funds Global Balanced Fund	A	Dividend	J	T					
124. - Altria Group Inc.	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Benton, William D.	05/01/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Vanguard Aggressive Growth Portfolio, listed on line 3 of Part VII, invests in two stock funds: (1) Vanguard Institutional Total Stock Market Index Fund (60%), and Vanguard Total Internatonal Stock Index Fund (40%). This allocation is made by the MOST plan, not by me.

The Vanguard Interest Accumulation Portfolio, listed on line 4 of Part VII, directs all its assets into Vanguard Short Term Reserves Account, which, in turn, invests in (1) Vanguard Federal Money Market Fund, and (2) funding agreements issued by one or more insurance companies, banks, and other issuers. This allocation is made by the MOST plan, not by me, and I do not know any specifics of item (2).

Name of Person Reporting	Date of Report
Benton, William D.	05/01/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ William D. Benton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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