AO-10T
Rev. 03/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. §§ 13101-13111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Richard	M	Berman	
***5. Title**	***6. District/Court/Unit**		***7. Circuit**
US District Judge Senior Sta	NEW YORK SOUTHERN		DC
8. Report Type	**9. Calendar Year**	***10. Date Filed**	**11. Check box if amending prior report and enter date of prior submission.**
Periodic Transaction Report	2023	11/16/2023	
***12. Date of First Transaction on this Report**		***13. Date of Last Transaction on this Report**	
10/24/2023		10/25/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1.	US Treasury Bill Matures 12/7/2023 Issue Date 6/8/2023	Buy	10/24/2023	11/05/2023	$15,001 - $50,000
2.	Battery PK City Auth NY Senior Rev A Matures 11/1/2028 5%	Sold	10/25/2023	11/06/2023	$50,001 - $100,000
3.	Bridgehampton NY Un Free Sch Dist Matures 3/15/2024 3%	Sold	10/25/2023	11/06/2023	$50,001 - $100,000
4.	Metropolitan Trans Auth NY Rev Matures 11/15/2025 5%	Sold	10/25/2023	11/06/2023	$100,001 - $250,000
5.	New York NY OID Matures 8/1/2053 4.125% Issue Date 8/17/2023	Buy	10/25/2023	11/06/2023	$50,001 - $100,000
6.	New York NYC Transitional Fin Auth Matures 11/1/2035 4% Issue Date	Buy	10/25/2023	11/06/2023	$50,001 - $100,000
7.	New York NYC Transitional Fin Auth Matures 2/1/2044 Coupon 2/1/2044 5%	Buy	10/25/2023	11/06/2023	$100,001 - $250,000
8.	New York St Urban Dev Corp Sales Tax Matures 3/15/2043 4% Issue Date	Buy	10/25/2023	11/06/2023	$50,001 - $100,000
9.	New York Dormitory Auth Sch Dist Rev Fing Prog Rev A Matues 10/1/2025 5%	Sold	10/25/2023	11/06/2023	$100,001 - $250,000
10.	New York Twy Auth St Pers Income Tax Matures 3/15/2039 4% Issue Date	Buy	10/25/2023	11/06/2023	$50,001 - $100,000
11.	Yonkers NY Matures 9/1/2024 5% Issue Date 9/6/2017	Sold	10/25/2023	11/06/2023	$50,001 - $100,000
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First Name Richard	Middle Initial M	Last Name Berman	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name	Middle Initial	Last Name	Suffix
Richard	M	Berman	

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Richard	Middle Initial M	Last Name Berman	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Richard	Middle Initial M	Last name Berman	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. § 13106)

**SIGNATURE:*

s/ Richard M Berman

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544