AO-10T
Rev. 03/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. §§ 13101-13111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Richard	M	Berman	
*5. Title	*6. District/Court/Unit		*7. Circuit
US District Judge Senior Sta	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2024	03/06/2024	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
02/22/2024		02/27/2024	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1.	Dunkirk NY Matures 3/15/2031 Coupon 4%	Sold	02/22/2024	02/28/2024	$100,001 - $250,000
2.	SPDR S&P 500 ETF Trust	Buy	02/27/2024	03/04/2024	$1,000 - $15,000
3.	Hudson Yds Infrastructure Corp Matures 2/15/2044 4%	Sold	02/22/2024	02/28/2024	$100,001 - $250,000
4.	Metropolitan Transportation Auth Matures 11/15/2034 Coupon 5%	Sold	02/22/2024	02/28/2024	$50,001 - $100,000
5.	NY Matures 8/1/2051 Coupon 5%	Buy	02/22/2024	02/28/2024	$50,001 - $100,000
6.	NYC Transitional Fin Auth OID Matures 5/1/2053 Coupon 4.375%	Buy	02/22/2024	02/28/2024	$100,001 - $250,000
7.	NYS Urban Dev Corp Rev Green Matures 3/15/2054 Coupon 5%	Buy	02/22/2024	02/28/2024	$50,001 - $100,000
8.	Rensselaer County OID Matures 8/1/2027 Coupon 2.125%	Sold	02/22/2024	02/28/2024	$15,001 - $50,000
9.	Suffolk County Gen Oblig Matures 10/15/2026 Coupon 5%	Sold	02/22/2024	02/28/2024	$15,001 - $50,000
10.	Triborough Brdg & Tunnel Auth NY Sales Matures 5/15/2024 Coupon 4%	Buy	02/22/2024	02/28/2024	$100,001 - $250,000
11.	YonkersNY Serial Gen Oblig Ser A Matures 9/1/2024 Coupon 5%	Sold	02/22/2024	02/28/2024	$15,001 - $50,000
12.	Vanguard Into Tech ETF	Buy	02/27/2024	03/04/2024	$1,000 - $15,000
13.	Mainstay McKay Hgyld Mu Bd	Sold	02/27/2024	03/04/2024	$1,000 - $15,000
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First Name Richard	Middle Initial M	Last Name Berman	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Richard	Middle Initial M	Last Name Berman	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Richard	Middle Initial M	Last Name Berman	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Richard	Middle Initial M	Last name Berman	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. § 13106)

**SIGNATURE:*

s/ Richard M Berman

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544