AO-10T
Rev. 03/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. §§ 13101-13111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Richard	M	Berman	
***5. Title**	***6. District/Court/Unit**		***7. Circuit**
US District Judge Senior Sta	NEW YORK SOUTHERN		02
8. Report Type	**9. Calendar Year**	***10. Date Filed**	**11. Check box if amending prior report and enter date of prior submission.**
Periodic Transaction Report	2024	06/18/2024	
***12. Date of First Transaction on this Report**		***13. Date of Last Transaction on this Report**	
05/28/2024		06/13/2024	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1.	Advanced Micro Devices	Buy (add'l)	05/28/2024	05/31/2024	$1,000 - $15,000
2.	Microsoft Corp	Buy (add'l)	05/28/2024	05/31/2024	$1,000 - $15,000
3.	Nvidia Corporation	Buy (add'l)	05/28/2024	05/31/2024	$15,001 - $50,000
4.	Taiwan Semiconductor Mfg Co	Buy (add'l)	05/28/2024	05/31/2024	$1,000 - $15,000
5.	Mainstay McKay High Yield Municipal Bond C	Sold (Part)	06/03/2024	06/06/2024	$1,000 - $15,000
6.	SPDR S&P 500 ETF Trust	Sold (Part)	06/10/2024	06/13/2024	$15,001 - $50,000
7.	Vanguard Info Tech ETF	Sold (Part)	06/10/2024	06/13/2024	$1,000 - $15,000
8.	SPDR S&P 500 ETF Trust	Buy (add'l)	06/13/2024	06/17/2024	$15,001 - $50,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name Richard	Middle Initial M	Last Name Berman	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Richard	Middle Initial M	Last Name Berman	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Richard	Middle Initial M	Last Name Berman	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Richard	Middle Initial M	Last name Berman	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. § 13106)

**SIGNATURE:*

s/ Richard M Berman

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544