AO-10T
Rev. 03/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. §§ 13101-13111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Richard	M	Berman	
*5. Title	*6. District/Court/Unit		*7. Circuit
US District Judge Senior Sta	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2024	10/17/2024	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
09/13/2024		10/10/2024	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Nvidia Corporation	Sold (Part)	09/13/2024	09/16/2024	$1,000 - $15,000
2. SPDR S&P 500 ETF Trust	Sold (Part)	09/13/2024	09/16/2024	$15,001 - $50,000
3. Microsoft Corp	Sold (Part)	10/02/2024	10/07/2024	$1,000 - $15,000
4. SPDR S&P 500 ETF Trust	Sold (Part)	10/02/2024	10/07/2024	$1,000 - $15,000
5. Nvidia Corporation	Buy (add'l)	10/09/2024	10/15/2024	$1,000 - $15,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name Richard	Middle Initial M	Last Name Berman	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Richard	Middle Initial M	Last Name Berman	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Richard	Middle Initial M	Last Name Berman	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Richard	Middle Initial M	Last name Berman	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. § 13106)

**SIGNATURE:*

s/ Richard M Berman

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544